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(54) Title: NTR3, A MEMBER OF THE TNF-RECEPTOR SUPERGENE FAMILY

(57) Abstract: The present invention provides a purified polynucleotide encoding a novel receptor, designated NTR3, which be-  
longs to the TNF receptor gene superfamily; to purified NTR3 polypeptide molecules; to antibodies that bind NTR3; to materials  
comprising such molecules; and to methods of using such molecules.

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**NTR3, A MEMBER OF THE TNF-RECEPTOR SUPERGENE FAMILY****RELATED APPLICATIONS**

5 This application claims priority under 35 U.S.C. § 119 from U.S. provisional patent application Serial No. 60/147,297 filed August 4, 1999.

**FIELD OF THE INVENTION**

10 The present invention is in the field of recombinant genetics. In particular, the present invention relates to a novel receptor NTR3, belonging to the TNF-receptor supergene family and nucleic acid molecules encoding the same. The invention also relates to vectors, host cells, anti-NTR3 antibodies and recombinant method NTR3 receptor polypeptides. The invention also relates to the use of the recombinant NTR3 polypeptide to identify putative binding proteins. In addition, provided for are methods and reagents for the diagnosis of diseases associated with abnormal NTR3 or abnormal expression of its putative ligand, and methods and pharmaceutical composition(s) for the treatment of diseases associated with abnormal NTR3 or abnormal expression of NTR3 and/or its ligand. The invention also discloses pharmaceutical compositions for use in the treatment of these diseases.

**BACKGROUND OF THE INVENTION**

20 Technical advances in the identification, cloning, expression and manipulation of nucleic acid molecules have greatly accelerated the discovery of novel therapeutics based upon deciphering the human genome. Rapid nucleic acid sequencing techniques can now generate sequence information at unprecedented rates, and coupled with computational analyses, allow the assembly of overlapping sequences into entire genome and the identification of polypeptide-encoding regions. Comparison of a predicted amino acid sequence against a database compilation of known amino acid sequences can allow one to determine the extent of homology to previously identified sequence and/or structure landmarks. Cloning and expression of a polypeptide-encoding

25

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region of a nucleic acid molecule provides a polypeptide product for structural and functional analysis. Manipulation of nucleic acid molecules and encoded polypeptides to produce variants and derivatives thereof may confer advantageous properties on a product for use as a therapeutic.

5                   However, in spite of the significant technical advances in genome research over the past decade, the potential for development of novel therapeutics based on the human genome is still largely unrealized. While a number of genes encoding potentially beneficial protein therapeutics, or those encoding polypeptides which may act as "targets" for therapeutic molecules, have been identified using recombinant DNA technology, the  
10                   structure and function of a vast number of genes in the genome of mammals are yet unknown.

#### **Identification and Characterization of TNF-Family of Ligands and Receptors**

                  Tumor necrosis factor (TNF) was first identified in the serum of mice and rabbits which had been infected with bacillus of Calmette and Guerin (BCG) and which  
15                   had been injected with endotoxin. TNF activity in the serum of these animals was recognized on the basis of its cytotoxic and anti-tumor activities. This TNF activity, referred to as TNF- $\alpha$ , is produced particularly by activated monocytes and macrophages, and has been implicated in normal growth processes as well as in a variety of diseases. Following the discovery of TNF- $\alpha$ , independent research led to the identification of  
20                   another cytokine associated with inflammatory responses, lymphotoxin- $\alpha$  (LT- $\alpha$ ), which was shown to be produced exclusively by lymphocytes. LT- $\alpha$  was subsequently shown to be 30% homologous with TNF- $\alpha$ , and was renamed TNF- $\beta$ . It is now clear that TNF- $\alpha$  and TNF- $\beta$  are members of a gene family that includes yet another member termed LT- $\beta$  (Browning *et al.*, *Cell* 72:847-856, 1993). The three genes are tightly linked within the  
25                   MHC complex and show similar organization. Moreover, the biologically active forms of TNF- $\alpha$  and TNF- $\beta$  are homotrimers and share many of the same biological activities including competing for the same cell-surface receptors (Agarwal *et al.*, *Nature* 318:665-667, 1985). Two distinct but structurally homologous receptors have been identified, and each has been shown to bind both the ligands and mediate their effects.

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However, it has been recognized that TNFs are only representative members of the rapidly expanding supergene family that includes TNF- $\alpha$ , TNF- $\beta$ /lymphotoxin- $\alpha$  (LT- $\alpha$ ), lymphotoxin- $\beta$  (LT- $\beta$ ), FasL, CD40L, CD30L, CD27L, 4-1BBL, and TNF-related apoptosis-inducing ligand (TRAIL), RANKL, GITRL and TNF-2. See generally, Orlinick *et al.*, *Cell Signal*, 10(8):543-551, 1998. The distinctive but overlapping cellular responses induced by members of the TNF family of ligands following their interaction(s) with their cognate cell-surface receptors result in clearly defined developmental and regulatory changes in cells of the lymphoid, hematopoietic, and other lineages. For example, the TNF family of ligands are involved in growth regulation and differentiation of cells which are involved in inflammation, immune processes and hematopoiesis (Bayert, R. and Fiers, W., *Tumor Necrosis Factor and Lymphokines* in: *Cytokines eds.* Anthony Mire-Sluis and Robin Thorpe, Academic Press San Diego, CA, 1998). The TNF family of ligands activates the immune defenses against parasites, and acts directly and/or indirectly as a mediator in immune reactions and inflammatory processes. However, administration of TNF and/or other members of the TNF family can also be accompanied by harmful phenomena such as shock and tissue damage (Bayert, R. and Fiers, W., *supra*). The main physiological role of the TNF family of ligands is likely the activation of first-line reaction of an organism to microbial, parasitic, viral, or to mechanical stress and cancer. For example, TNF-related apoptosis-inducing ligand (TRAIL) has been demonstrated to induce apoptosis of a number of different types of cancer cells as well as virally infected cells.

Furthermore, a number of observations have also led to the conclusion that the TNF family of ligands are also involved in a variety of pathological conditions including cachexia, toxic shock syndrome, inflammatory diseases such as rheumatoid and osteoarthritis, in the lethality resulting from graft-versus-host reaction (GVHR)(Bayert, R. and Fiers, W., *supra*), rapid necrosis of tumors, apoptosis, immunostimulation and resistance to parasites and viruses.

Like other cytokines, the members of the TNF family of ligands act via specific cell-surface receptors. The receptors, with two exceptions, are type 1 membrane associated proteins. The sequence homology amongst them is almost entirely confined to the extracellular domain. For example two TNF receptors have been cloned which

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differ in size and in binding affinity (Bayert, R. and Fiers, W., *supra*). Both receptors bind TNF- $\alpha$  and TNF- $\beta$ . The two receptors consist of extracellular domains which bind TNF and are homologous for 28%, single transmembrane domains, and intracellular domains which are totally different and does not contain any recognizable structure associated with any particular function. Based on similarities in their extracellular domains, these receptors belong to a receptor gene superfamily that includes the low-affinity nerve growth factor (NGF) receptor, the Fas antigen, the human B-lymphocyte activation molecule CD40, CD27, 4-1BB, PV-T2, CD30, TNFR-RP, TRAIL-R, PV-A53R, RANK, GITR, and the OX40 antigen found on activated T-cells (Smith *et al.*,  
5 *Cell*, 76(6):959-962, 1994; Baker and Reddy, *Oncogene*, 12(1):1-9, 1996).

In addition to the membrane associated receptor molecules described above, a number the receptors belonging to the TNF-receptor supergene family exist as soluble ligand binding proteins. Many of the soluble forms of the transmembrane receptors were subsequently identified as containing only the extracellular ligand binding  
15 domain(s) of the receptors. For example, a soluble form of TNF receptor has been found in urine and serum (see U.S. Patent No.: 5, 843,789 and Nophar *et al.*, *EMBO J.*, 9(10):3269-3278, 1990), and have been shown to arise by proteolytic cleavage of cell surface TNF-receptors (Wallach *et al.*, *Agents Actions Suppl.*, 35:51-57, 1991). These soluble forms of receptor molecules have been implicated in the modulation of TNF  
20 activity by not only interfering with TNF binding to its receptor, but also by stabilizing the TNF structure and preserving its activity, thus prolonging some of its effects (Aderka *et al.*, *Cytokine & Growth Factor Reviews*, 7(3):231-240, 1996).

The activity of members of the TNF family of ligands are tightly regulated at the levels of secretion and receptor expression. Additional regulatory mechanisms are  
25 provided by action of specific inhibitory proteins present on cell surfaces and in biological fluids. While some of these inhibitory proteins have been identified as soluble forms of receptor molecules, the identity of many of these cytokine regulatory proteins are as yet unknown. However, abnormalities in the production of these substances might contribute to the pathophysiology of a variety of diseases including immune and  
30 neoplastic diseases. Besides their role in regulating cytokine activity *in vivo*, these regulatory molecules hold significant potential for therapeutic use as very specific

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inhibitors/anti-cytokine agents, and as indicators in diagnosis and assessment of immune function and growth parameters in a variety of autoimmune and malignant diseases.

Because of the crucial role that members of the TNF family of ligands and their receptors (membrane-associated and soluble) play in the immunological system and in a variety of disease processes, a need exists to identify and characterize novel members of these families, for use to improve diagnosis and therapy.

### SUMMARY OF THE INVENTION

The present invention relates to a novel serine/threonine kinase family and uses thereof. More specifically, the present invention relates to novel NTR3 nucleic acid molecules and encoded polypeptides, and uses thereof.

The invention provides for an isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of:

- (a) the nucleotide sequence set forth in SEQ ID NO: 1;
- (b) a nucleotide sequence encoding the polypeptide set forth in SEQ ID NO: 2;
- (c) a nucleotide sequence which hybridizes under moderately or highly stringent conditions to the complement of (a) or (b), wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2; and
- (d) a nucleotide sequence complementary to any of (a) through (c).

The invention also provides for an isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of:

- (a) a nucleotide sequence encoding a polypeptide that is at least about 70, 75, 80, 85, 90, 95, 96, 97, 98, or 99 percent identical to the polypeptide set forth in SEQ ID NO: 2, wherein the polypeptide has an activity of the encoded polypeptide set forth in SEQ ID NO: 2 as determined using a computer program selected from the group consisting of GAP, BLASTP, BLASTN, FASTA, BLASTA, BLASTX, BestFit, and the Smith-Waterman algorithm;

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(b) a nucleotide sequence encoding an allelic variant or splice variant of the nucleotide sequence set forth in SEQ ID NO: 1, wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

5 (c) a nucleotide sequence of SEQ ID NO: 1, (a), or (b) encoding a polypeptide fragment of at least about 25 amino acid residues, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(d) a nucleotide sequence encoding a polypeptide that has a substitution and/or deletion of 1 to 251 amino acid residues set forth in any of SEQ ID NOS: 1-2 wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID  
10 NO: 2;

(e) a nucleotide sequence of SEQ ID NO: 1, or (a)-(d) comprising a fragment of at least about 16 nucleotides;

(f) a nucleotide sequence which hybridizes under moderately or highly stringent conditions to the complement of any of (a)-(e), wherein the encoded polypeptide  
15 has an activity of the polypeptide set forth in SEQ ID NO: 2; and

(g) a nucleotide sequence complementary to any of (a)-(e).

The invention further provides for an isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of:

20 (a) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one conservative amino acid substitution, wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(b) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one amino acid insertion, wherein the encoded polypeptide has an activity  
25 of the polypeptide set forth in SEQ ID NO: 2;

(c) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one amino acid deletion, wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(d) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 which has a C- and/or N- terminal truncation, wherein the encoded polypeptide has an  
30 activity of the polypeptide set forth in SEQ ID NO: 2;



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- 5 (e) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one modification selected from the group consisting of amino acid substitutions, amino acid insertions, amino acid deletions, C-terminal truncation, and N-terminal truncation, wherein the polypeptide has an activity of the encoded polypeptide set forth in SEQ ID NO: 2;
- (f) a nucleotide sequence of (a)-(e) comprising a fragment of at least about 16 nucleotides;
- (g) a nucleotide sequence which hybridizes under moderately or highly stringent conditions to the complement of any of (a)-(f), wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2; and
- 10 (h) a nucleotide sequence complementary to any of (a)-(e).

The invention also provides for an isolated polypeptide comprising the amino acid sequence selected from the group consisting of:

- 15 (a) the mature amino acid sequence set forth in SEQ ID NO: 2 comprising a mature amino terminus at residue 1, and optionally further comprising an amino-terminal methionine;
- (b) an amino acid sequence for an ortholog of SEQ ID NO: 2, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;
- 20 (c) an amino acid sequence that is at least about 70, 75, 80, 85, 90, 95, 96, 97, 98, or 99 percent identical to the amino acid sequence of SEQ ID NO: 2, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2 as determined using a computer program selected from the group consisting of GAP, BLASTP, BLASTN, FASTA, BLASTA, BLASTX, BestFit, and the Smith-Waterman algorithm;
- 25 (d) a fragment of the amino acid sequence set forth in SEQ ID NO: 2 comprising at least about 25 amino acid residues, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;
- (e) an amino acid sequence for an allelic variant or splice variant of either the amino acid sequence set forth in SEQ ID NO: 2, or at least one of (a)-(c) wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2.
- 30

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The invention further provides for an isolated polypeptide comprising the amino acid sequence selected from the group consisting of:

- 5 (a) the amino acid sequence set forth in SEQ ID NO: 2 with at least one conservative amino acid substitution, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;
- (b) the amino acid sequence set forth in SEQ ID NO: 2 with at least one amino acid insertion, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;
- 10 (c) the amino acid sequence set forth in SEQ ID NO: 2 with at least one amino acid deletion, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;
- (d) the amino acid sequence set forth in SEQ ID NO: 2 which has a C- and/or N-terminal truncation, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2; and
- 15 (e) the amino acid sequence set forth in SEQ ID NO: 2, with at least one modification selected from the group consisting of amino acid substitutions, amino acid insertions, amino acid deletions, C-terminal truncation, and N-terminal truncation, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2.

20 Also provided are fusion polypeptides comprising the polypeptide sequences of (a)-(e) above of the preceding paragraphs.

The present invention also provides for NTR3 analogs consisting of conservative and non-conservative amino acid substitutions within SEQ ID NO: 4.

25 The present invention also provides for an expression vector comprising the isolated nucleic acid molecules set forth herein, recombinant host cells comprising recombinant nucleic acid molecules set forth herein, and a method of producing a NTR3 polypeptide comprising culturing the host cells and optionally isolating the polypeptide so produced.

30 A transgenic non-human animal comprising a nucleic acid molecule encoding a NTR3 polypeptide is also encompassed by the invention. The NTR3 nucleic

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acid molecules are introduced into the animal in a manner that allows expression and increased levels of the NTR3 polypeptide, which may include increased circulating levels. The transgenic non-human animal is preferably a mammal.

5 Also provided are derivatives of the NTR3 polypeptides of the present invention.

Additionally provided are selective binding agents such as antibodies and peptides capable of specifically binding the NTR3 polypeptides of the invention. Such antibodies and peptides may be agonistic or antagonistic.

10 Pharmaceutical compositions comprising the nucleotides, polypeptides, or selective binding agents of the present invention and one or more pharmaceutically acceptable formulation agents are also encompassed by the invention. The pharmaceutical compositions are used to provide therapeutically effective amounts of the nucleotides or polypeptides of the present invention. The invention is also directed to methods of using the polypeptides, nucleic acid molecules, and selective binding agents.  
15 The invention also provides for devices to administer a NTR3 polypeptide encapsulated in a membrane.

The NTR3 polypeptides and nucleic acid molecules of the present invention may be used to treat, prevent, ameliorate, diagnose and/or detect diseases and disorders, including those recited herein. Expression analysis in biological, cellular or  
20 tissue samples suggests that NTR3 polypeptide may play a role in the diagnosis and/or treatment of diseases wherein injury that is mediated by member of the TNF ligand family including rheumatoid arthritis; osteoarthritis; rheumatoid spondylitis; gouty arthritis; inflammatory bowel disease; adult respiratory distress syndrome (ARDS); psoriasis; Crohn's disease; allergic rhinitis; ulcerative colitis; anaphylaxis; contact  
25 dermatitis; asthma; antiviral therapy including those viruses sensitive to TNF $\alpha$  inhibition - HIV-1, HIV-2, HIV-3, cytomegalovirus (CMV), influenza, adenovirus, and the herpes viruses including HSV-1, HSV-2, and herpes zoster; muscle degeneration; cachexia; Reiter's syndrome; type II diabetes; bone resorption diseases; graft vs. host reaction; ischemia reperfusion injury; atherosclerosis; brain trauma; Alzheimer's disease; multiple  
30 sclerosis; cerebral malaria; sepsis; septic shock; toxic shock syndrome; fever and

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mylagias due to infection. This expression can be detected with a diagnostic agent such as NTR3 nucleotide.

5           The invention encompasses diagnosing a pathological condition or a susceptibility to a pathological condition in a subject caused by or resulting from abnormal levels of NTR3 polypeptide comprising determining the presence or amount of expression of the NTR3 polypeptide in a sample; and comparing the level of said polypeptide in a biological, tissue or cellular sample from either normal subjects or the subject at an earlier time, wherein susceptibility to a pathological condition is based on the presence or amount of expression of the polypeptide.

10           The present invention also provides a method of assaying test molecules to identify a test molecule which binds to a NTR3 polypeptide. The method comprises contacting a NTR3 polypeptide with a test molecule and to determine the extent of binding of the test molecule to the polypeptide. The method further comprises determining whether such test molecules are agonists or antagonists of a NTR3 polypeptide. The present invention further provides a method of testing the impact of molecules on the expression of NTR3 polypeptide or on the activity of NTR3 polypeptide.

15           Methods of regulating expression and modulating (*i.e.*, increasing or decreasing) levels of a NTR3 polypeptide are also encompassed by the invention. One method comprises administering to an animal a nucleic acid molecule encoding a NTR3 polypeptide. In another method, a nucleic acid molecule comprising elements that regulate or modulate the expression of a NTR3 polypeptide may be administered. Examples of these methods include gene therapy, cell therapy, and anti-sense therapy as further described herein.

25           In another aspect of the present invention, the NTR3 polypeptides may be used for identifying NTR3 polypeptide binding partners ("NTR3 ligands" or "NTR3 binding partners"). Various forms of "expression cloning" have been extensively used to clone receptors for protein or co-factors. See, for example, Simonsen and Lodish, *Trends in Pharmacological Sciences*, 15: 437-441, 1994, and Tartaglia *et al.*, *Cell*, 30 83:1263-1271, 1995. The isolation of the NTR3 ligand(s) or NTR3 binding partner(s)

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is useful for identifying or developing novel agonists and antagonists of the NTR3 polypeptide-signaling pathway.

Such agonists and antagonists include soluble NTR3 ligand(s), anti-NTR3 selective binding agents (such as NTR3 antibodies and derivatives thereof), small molecules, peptides or derivatives thereof capable of binding NTR3 polypeptides, or antisense oligonucleotides, any of which can be used for potentially treating one or more diseases or disorders, including those recited herein.

In certain embodiments, a NTR3 polypeptide agonist or antagonist may be a protein, peptide, carbohydrate, lipid, or small molecular weight molecule which interacts with NTR3 polypeptide to regulate its activity.

The NTR3 polypeptide can be used for identifying ligands thereof. Various forms of "expression cloning" have been used for cloning ligands for receptors. See *e.g.*, Davis *et al.*, *Cell*, 87:1161-116, 1996. These and other NTR3 ligand cloning experiments are described in greater detail herein. Isolation of the NTR3 ligand(s) allows for the identification or development of novel agonists and/or antagonists of the NTR3 signaling pathway. Such agonists and antagonists include NTR3 ligand(s), anti-NTR3 ligand antibodies and derivatives thereof, small molecules, or antisense oligonucleotides, any of which can be used for potentially treating one or more diseases or disorders, including those recited herein.

## **DESCRIPTION OF THE FIGURES**

Figure 1 presents an alignment (Wisconsin GCG Program Package ver. 8.1) of the predicted amino acid sequence of NTR3 (SEQ ID NO: 2, top line) with the corresponding regions of OPG (SEQ ID NO: 3).

## **DETAIL DESCRIPTION OF THE INVENTION**

The section headings herein are for organizational purposes only and are not to be construed as limiting the subject matter described therein.

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**Definitions:**

The terms "NTR3 gene" or "NTR3 nucleic acid molecule" or "NTR3 polynucleotide" refers to a nucleic acid molecule comprising or consisting essentially of a nucleotide sequence as set forth in SEQ ID NO: 1, comprising or consisting essentially of a nucleotide sequence encoding the polypeptide as set forth in SEQ ID NO: 2, or nucleic acid molecules related thereto. "Related" nucleic acid molecules comprise or consist essentially of a nucleotide sequence that is about 70 percent identical to the nucleotide sequence as shown in SEQ ID NO: 1, or comprise or consist essentially of a nucleotide sequence encoding a polypeptide having an amino acid sequence that is about 70 percent identical to the amino acid sequence as set forth in SEQ ID NO: 2. In preferred embodiments, the nucleotide sequences are about 75 percent, or about 80 percent, or about 85 percent, or about 90 percent, or about 95, 96, 97, 98, or 99 percent identical to the nucleotide sequence as shown in SEQ ID NO: 1, or the nucleotide sequences encode a polypeptide that is about 75 percent, or about 80 percent, or about 85 percent, or about 90 percent, or about 95, 96, 97, 98, or 99 percent identical to the polypeptide sequence as set forth in SEQ ID NO: 2. Related nucleic acid molecules also include fragments of the above NTR3 nucleic acid molecules which are at least about 10 contiguous nucleotides, or about 15, or about 20, or about 25, or about 50, or about 75, or about 100, or greater than about 100 contiguous nucleotides. Related nucleic acid molecules also include fragments of the above NTR3 nucleic acid molecules which encode a polypeptide of at least about 25 amino acid residues, or about 50, or about 75, or about 100, or greater than about 100 amino acid residues. Related nucleic acid molecules also include a nucleotide sequence encoding a polypeptide comprising or consisting essentially of a substitution and/or a deletion of one or more of amino acids 1-300 with reference to the polypeptide in SEQ ID NO: 2. Related NTR3 nucleic acid molecules include those molecules which comprise nucleotide sequences which hybridize under moderate or highly stringent conditions as defined herein with any of the above nucleic acid molecules. In preferred embodiments, the related nucleic acid molecules comprise sequences which hybridize under moderate or highly stringent conditions with the sequence as shown in SEQ ID NO: 1, or with a molecule encoding a polypeptide, which polypeptide comprises the sequence as shown in SEQ ID NO: 2, or with a nucleic

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acid fragment as defined above, or with a nucleic acid fragment encoding a polypeptide as defined above. It is also understood that related nucleic acid molecules include allelic or splice variants of any of the above nucleic acids, and include sequences which are complementary to any of the above nucleotide sequences.

5                   The term "NTR3 polypeptide allelic variant" refers to one of several possible naturally occurring alternate forms of a gene occupying a given locus on a chromosome of an organism or a population of organisms.

                  The term "NTR3 polypeptide splice variant" refers to a nucleic acid molecule, usually RNA, which is generated by alternative processing of intron sequences  
10                   in an RNA transcript of NTR3 polypeptide amino acid sequence as set forth in SEQ ID NO: 2.

                  The term "expression vector" refers to a vector which is suitable for use in a host cell and contains nucleic acid sequences which direct and/or control the expression of heterologous nucleic acid sequences. Expression includes, but is not  
15                   limited to, processes such as transcription, translation, and RNA splicing, if introns are present.

                  The term "NTR3 polypeptide" refers to a polypeptide comprising the amino acid sequence of SEQ ID NO: 2, and related polypeptides described herein. Related polypeptides include: NTR3 allelic variants, NTR3 splice variants, NTR3 fragments,  
20                   NTR3 derivatives, NTR3-substitution, -deletion, and/or insertion variants, NTR3 fusion polypeptides, and orthologs. NTR3 polypeptides may be mature polypeptides, as defined herein, and may or may not have an amino terminal methionine residue, depending on the method by which they are prepared.

                  The term "NTR3 polypeptide fragment" refers to a peptide or polypeptide  
25                   that comprises less than the full length amino acid sequence of a NTR3 polypeptide as set forth in SEQ ID NO: 2. Such a fragment may arise, for example, from a truncation at the amino terminus (with or without a leader sequence), a truncation at the carboxy terminus, and/or an internal deletion of the amino acid sequence (where the resulting polypeptide is at least 6 amino acids in length). NTR3 fragments may result from alternative RNA  
30                   splicing or from *in vivo* protease activity. For transmembrane or membrane-bound forms of a NTR3 polypeptide, preferred fragments include soluble forms such as those lacking

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a transmembrane or membrane-binding domain. In preferred embodiments, truncations comprise about 10 amino acids, or about 20 amino acids, or about 50 amino acids, or about 75 amino acids, or about 100 amino acids, or more than about 100 amino acids. The polypeptide fragments so produced will comprise about 25 contiguous amino acids, or  
5 about 50 amino acids, or about 75 amino acids, or about 100 amino acids, or about 150 amino acids, or about 200 amino acids. Such NTR3 polypeptide fragments may optionally comprise an amino terminal methionine residue. It will be appreciated that such fragments can be used, for example, to generate antibodies to NTR3 polypeptides. The term "NTR3 polypeptide variants" refers to NTR3 polypeptides comprising amino acid sequences  
10 which contain one or more amino acid sequence substitutions, deletions (such as internal deletions and/or NTR3 fragments), and/or additions (such as internal additions and/or NTR3 fusion polypeptides) as compared to the NTR3 polypeptide amino acid sequence set forth in SEQ ID NO: 2 (with or without leader sequences). Variants may be naturally occurring (*e.g.*, NTR3 polypeptide allelic variants, NTR3 polypeptide orthologs and  
15 NTR3 splice variants) or artificially constructed. using recombinant DNA technology. Such NTR3 polypeptide variants may be prepared from the corresponding nucleic acid molecules encoding said variants, which have a DNA sequence that varies accordingly from the DNA sequences for wild type NTR3 polypeptides as set forth in SEQ ID NOS:  
7.

20 The term "NTR3 fusion polypeptide" refers to a fusion of one or more amino acids (such as a heterologous peptide or polypeptide) at the amino or carboxy terminus of the polypeptide as set forth in SEQ ID NO: 2, NTR3 polypeptide allelic variants, NTR3 polypeptide orthologs, NTR3 polypeptide splice variants, or NTR3 polypeptide variants having one or more amino acid deletions, substitutions or internal  
25 additions as compared to the NTR3 polypeptide amino acid sequence set forth in SEQ ID NO: 2.

The term "NTR3 polypeptide derivatives" refers to NTR3 polypeptides, variants, or fragments thereof, that have been chemically modified, as for example, by covalent attachment of one or more water soluble polymers, N-linked or O-linked  
30 carbohydrates, sugars, phosphates, and/or other such molecules. Such modifications may be introduced into the molecule by reacting targeted amino acid residues of the purified



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or crude protein with an organic derivatizing agent that is capable of reacting with selected side chains or terminal residues. The resulting covalent derivatives are also useful in programs directed at identifying residues important for biological activity. The derivatives are modified in a manner that is different from naturally occurring NTR3 polypeptide either in the type or location of the molecules attached to the polypeptide. Derivatives further include deletion of one or more chemical groups naturally attached to the NTR3 polypeptide.

The terms "biologically active NTR3 polypeptides", "biologically active NTR3 polypeptide fragments", "biologically active NTR3 polypeptide variants", and "biologically active NTR3 polypeptide derivatives" refer to NTR3 polypeptides having at least one activity characteristic of a NTR3 polypeptide comprising the amino acid sequence of SEQ ID NO: 2, such as the ability to bind and neutralize TNF-like ligand activity in biological assays. Immunogenic fragments of NTR3 polypeptides are those capable of inducing in a host animal antibodies directed to the NTR3 fragment.

The term "NTR3 polypeptide ortholog" refers to a polypeptide from another species that corresponds to a NTR3 polypeptide amino acid sequence set forth as SEQ ID NO: 2. For example, mouse and human NTR3 polypeptides are considered orthologs of each other.

The term "antigen" refers to a molecule or a portion of a molecule capable of being bound by a selective binding agent, such as an antibody, and additionally capable of being used in an animal to produce antibodies capable of binding to an epitope of that each antigen. An antigen may have one or more epitopes.

The term "mature NTR3 polypeptide" refers to a NTR3 polypeptide lacking a leader sequence. A mature polypeptide may also include other modifications of a polypeptide such as proteolytic processing of the amino terminus (with or without a leader sequence) and/or the carboxy terminus, cleavage of a smaller polypeptide from a larger precursor, N-linked and/or O-linked glycosylation, and the like.

The term "mutein" refers to a mutant protein, polypeptide, variants, analogs or fragments of NTR3 ligand polypeptide. Muteins of NTR3 may be prepared by deletion, insertion, substitution, point mutation, truncation, addition, transposition, PCR amplification, site-directed mutagenesis or other methods known in the art.

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The terms "effective amount" and "therapeutically effective amount" refer to the amount of a NTR3 polypeptide (or NTR3 antagonist) necessary to support an observable change in the level one or more biological activities of the TNF-receptor polypeptides as set forth above, to bring about a meaningful patient benefit, *i.e.* treatment, healing, prevention, or amelioration of a condition. When applied to an individual active ingredient, administered alone, the term refers to that ingredient alone. When applied to combination, the term refers to combined amounts of active ingredients that result in therapeutic effect, when administered in combination, serially or simultaneously. The NTR3 polypeptides that have use in practicing the present invention may be naturally occurring full length polypeptides, or truncated polypeptides or variant homologs or analogs or derivatives or peptide fragments. Illustrative analogs include those in which one or more divergent amino acids between two species are substituted with the divergent amino acid from another species. Divergent amino acids may also be substituted with any other amino acid whether it be a conservative or a non-conservative amino acid.

The term "identity", as known in the art, refers to a relationship between the sequences of two or more polypeptide molecules or two or more nucleic acid molecules, as determined by comparing the sequences. In the art, "identity" also means the degree of sequence relatedness between two or more nucleic acid molecules or polypeptides, as the case may be, as determined by the match between strings of nucleotide or two or more amino acid sequences. "Identity" measures the percent of identical matches between the smaller of two or more sequences with gap alignments (if any) addressed by a particular mathematical model or computer program (*i.e.*, "algorithms").

The term "similarity" is a related concept, but in contrast to "identity", refers to a measure of similarity which includes both identical matches and conservative substitution matches. If two polypeptide sequences have, for example, 10/20 identical amino acids, and the remainder are all non-conservative substitutions, then the percent identity and similarity would both be 50%. If, in the same example, there are five more positions where there are conservative substitutions, then the percent identity remains 50%, but the per cent similarity would be 75% (15/20). Therefore, in cases where there are conservative substitutions, the degree of percent similarity between two polypeptide sequences will be higher than the percent identity between those two sequences.

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The term "isolated nucleic acid molecule" refers to a nucleic acid molecule of the invention that (1) has been separated from at least about 50 percent of proteins, lipids, carbohydrates or other materials with which it is naturally found when total DNA is isolated from the source cells, (2) is not linked to all or a portion of a polynucleotide to which the "isolated" isolated nucleic acid molecule" molecule" is linked in nature, (3) is operably linked to a polynucleotide which it is not linked to in nature, or (4) does not occur in nature as part of a larger polynucleotide sequence. Preferably, the isolated nucleic acid molecule of the present invention is substantially free from any other contaminating nucleic acid molecule(s) or other contaminants that are found in its natural environment that would interfere with its use in polypeptide production or its therapeutic, diagnostic, prophylactic or research use.

The term "isolated polypeptide" refers to a polypeptide of the present invention that (1) has been separated from at least about 50 percent of polynucleotides, lipids, carbohydrates or other materials with which it is naturally found when isolated from the source cell, (2) is not linked (by covalent or noncovalent interaction) to all or a portion of a polypeptide to which the "isolated polypeptide" is linked in nature, (3) is operably linked (by covalent or noncovalent interaction) to a polypeptide with which it is not linked in nature, or (4) does not occur in nature. Preferably, the isolated polypeptide is substantially free from any other contaminating polypeptides or other contaminants that are found in its natural environment that would interfere with its therapeutic, diagnostic, prophylactic or research use.

The term "nucleic acid sequence" or "nucleic acid molecule" refer terms "nucleic acid sequence" or "nucleic acid molecule" refer to a DNA or RNA sequence. The term encompasses terms encompass molecules formed from any of the known base analogs of DNA and RNA such as, but not limited to 4-4-acetylcytosine, 8-hydroxy-N6-methyladenosine, aziridinyl-cytosine, pseudoisocytosine, 5-(carboxyhydroxymethyl) uracil, 5-fluorouracil, 5-bromouracil, 5-carboxymethylaminomethyl-2-thiouracil, 5-carboxy-methylaminomethyluracil, dihydrouracil, inosine, N6-iso-pentenyladenine, 1-methyladenine, 1-methylpseudouracil, 1-methylguanine, 1-methylinosine, 2,2-dimethyl-guanine, 2-methyladenine, 2-methylguanine, 3-methylcytosine, 5-methylcytosine, N6-methyladenine, 7-methylguanine, 5-methylaminomethyluracil, 5-methoxyamino-methyl-2-

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thiouracil, beta-D-mannosylqueosine, 5'-methoxycarbonyl-methyluracil, 5-methoxyuracil, 2-methylthio-N6-isopentenyladenine, uracil-5-oxyacetic acid methylester, uracil-5-oxyacetic acid, oxybutoxosine, pseudouracil, queosine, 2-thiocytosine, 5-methyl-2-thiouracil, 2-thiouracil, 4-thiouracil, 5-methyluracil, N-uracil-5-oxyacetic acid methylester, uracil-5-oxyacetic acid, pseudouracil, queosine, 2-thiocytosine, and 2,6-diaminopurine.

The term "naturally occurring" or "native" when used in connection with biological materials such as nucleic acid molecules, polypeptides, host cells, and the like, refers to materials which are found in nature and are not manipulated by man. Similarly, "non-naturally occurring" or "non-native" as used herein refers to a material that is not found in nature or that has been structurally modified or synthesized by man.

The term "operably linked" is used herein to refer to an arrangement method of flanking sequences wherein the flanking sequences so described are configured or assembled so as to perform their usual function. Thus, a flanking sequence operably linked to a coding sequence may be capable of effecting the replication, transcription and/or translation of the coding sequence. For example, a coding sequence is operably linked to a promoter when the promoter is capable of directing transcription of that coding sequence. A flanking sequence need not be contiguous with the coding sequence, so long as it functions correctly. Thus, for example, intervening untranslated yet transcribed sequences can be present between a promoter sequence and the coding sequence, and the promoter sequence can still be considered "operably linked" to the coding sequence.

The term "pharmaceutically acceptable carrier" or "physiologically acceptable carrier" as used herein refer terms "pharmaceutically acceptable carrier" or "physiologically acceptable carrier" as used herein refer to one or more formulation materials suitable for accomplishing or enhancing the delivery of the NTR3 polypeptide, NTR3 nucleic acid molecule or NTR3 selective binding agent as a pharmaceutical composition.

The term "selective binding agent" refers to a molecule or molecules having specificity for an NTR3 polypeptide. As used herein, the terms, "specific" and "specificity" refer to the ability of the selective binding agents to bind to human NTR3 polypeptides and not to bind to human non-NTR3 polypeptides. It will be appreciated, however, that the selective binding agents may also bind orthologs of the polypeptide as

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set forth in SEQ ID NO: 2, that is, interspecies versions thereof, such as mouse and rat polypeptides.

5 The term "transduction" is used to refer to the transfer of genes from one bacterium to another, usually by a phage. "Transduction" also refers to the acquisition and transfer of eukaryotic cellular sequences by retroviruses.

10 The term "transfection" is used to refer to the uptake of foreign or exogenous DNA by a cell, and a cell has been "transfected" when the exogenous DNA has been introduced inside the cell membrane. A number of transfection techniques are well known in the art and are disclosed herein. See, for example, Graham *et al.*, *Virology*, 52:456, 1973; Sambrook *et al.*, *Molecular Cloning, a Laboratory Manual*, Cold Spring Harbor Laboratories (New York, 1989); Davis *et al.*, *Basic Methods in Molecular Biology*, Elsevier, 1986; and Chu *et al.*, *Gene*, 13:197, 1981. Such techniques can be used to introduce one or more exogenous DNA moieties into suitable host cells.

15 The term "transformation" as used herein refers to a change in a cell's genetic characteristics, and a cell has been transformed when it has been modified to contain a new DNA. For example, a cell is transformed where it is genetically modified from its native state. Following transfection or transduction, the transforming DNA may recombine with that of the cell by physically integrating into a chromosome of the cell, it may be maintained transiently as an episomal element without being replicated, or may replicate independently as a plasmid. A cell is considered to have been stably transformed when the DNA is replicated with the division of the cell.

20

The term "vector" is used to refer to any molecule (*e.g.*, nucleic acid, plasmid, or virus) used to transfer coding information to a host cell.

#### **Relatedness of Nucleic Acid Molecules and/or Polypeptides**

25 It is understood that related nucleic acid molecules include allelic or splice variants of the nucleic acid molecule of SEQ ID NO: 1, and include sequences which are complementary to any of the above nucleotide sequences. Related nucleic acid molecules also include a nucleotide sequence encoding a polypeptide comprising or consisting essentially of a substitution, modification, addition and/or a deletion of one or more amino acid residues compared to the polypeptide in SEQ ID NO: 2.

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Fragments include molecules which encode a polypeptide of at least about 25 amino acid residues, or about 50, or about 75, or about 100, or greater than about 100, amino acid residues of the polypeptide of SEQ ID NO: 2.

5 In addition, related NTR3 nucleic acid molecules include those molecules which comprise nucleotide sequences which hybridize under moderately or highly stringent conditions as defined herein with the fully complementary sequence of the nucleic acid molecule of SEQ ID NO: 1, or of a molecule encoding a polypeptide, which polypeptide comprises the amino acid sequence as shown in SEQ ID NO: 2, or of a nucleic acid fragment as defined herein, or of a nucleic acid fragment encoding a polypeptide as defined  
10 herein. Hybridization probes may be prepared using the NTR3 sequences provided herein to screen cDNA, genomic or synthetic DNA libraries for related sequences. Regions of the DNA and/or amino acid sequence of NTR3 polypeptide that exhibit significant identity to known sequences are readily determined using sequence alignment algorithms as described herein, and those regions may be used to design probes for screening.

15 The term "highly stringent conditions" refers to those conditions that are designed to permit hybridization of DNA strands whose sequences are highly complementary, and to exclude hybridization of significantly mismatched DNAs. Hybridization stringency is principally determined by temperature, ionic strength, and the concentration of denaturing agents such as formamide. Examples of "highly stringent  
20 conditions" for hybridization and washing are 0.015 M sodium chloride, 0.0015 M sodium citrate at 65-68°C or 0.015 M sodium chloride, 0.0015 M sodium citrate, and 50% formamide at 42°C. See Sambrook, Fritsch & Maniatis, *Molecular Cloning: A Laboratory Manual*, 2nd Ed., Cold Spring Harbor Laboratory, (Cold Spring Harbor, N.Y. 1989); and Anderson *et al.*, *Nucleic Acid Hybridization: a Practical Approach*, Ch. 4, IRL Press  
25 Limited (Oxford, England).

More stringent conditions (such as higher temperature, lower ionic strength, higher formamide, or other denaturing agent) may also be used; however, the rate of hybridization will be affected. Other agents may be included in the hybridization and washing buffers for the purpose of reducing non-specific and/or background hybridization.  
30 Examples are 0.1% bovine serum albumin, 0.1% polyvinyl-pyrrolidone, 0.1% sodium pyrophosphate, 0.1% sodium dodecylsulfate (NaDodSO<sub>4</sub> or SDS), ficoll, Denhardt's

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solution, sonicated salmon sperm DNA (or another non-complementary DNA), and dextran sulfate, although other suitable agents can also be used. The concentration and types of these additives can be changed without substantially affecting the stringency of the hybridization conditions. Hybridization experiments are usually carried out at pH 6.8-7.4; however, at typical ionic strength conditions, the rate of hybridization is nearly independent of pH. See Anderson *et al.*, *Nucleic Acid Hybridization: a Practical Approach*, Ch. 4, IRL Press Limited (Oxford, England).

Factors affecting the stability of a DNA duplex include base composition, length, and degree of base pair mismatch. Hybridization conditions can be adjusted by one skilled in the art in order to accommodate these variables and allow DNAs of different sequence relatedness to form hybrids. The melting temperature of a perfectly matched DNA duplex can be estimated by the following equation:

$$T_m(^{\circ}\text{C}) = 81.5 + 16.6(\log[\text{Na}^+]) + 0.41(\% \text{G+C}) - 600/N - 0.72(\% \text{formamide})$$

where N is the length of the duplex formed,  $[\text{Na}^+]$  is the molar concentration of the sodium ion in the hybridization or washing solution, %G+C is the percentage of (guanine+cytosine) bases in the hybrid. For imperfectly matched hybrids, the melting temperature is reduced by approximately 1 °C for each 1% mismatch.

The term "moderately/moderately stringent conditions" conditions" refers to conditions under which a DNA duplex with a greater degree of base pair mismatching than could occur under "highly stringent conditions" is able to form. Examples of typical "moderately stringent conditions" are 0.015 M sodium chloride, 0.0015 M sodium citrate at 50-65°C or 0.015 M sodium chloride, 0.0015 M sodium citrate, and 20% formamide at 37-50°C. By way of example, a "moderately stringent" condition of 50°C in 0.015 M sodium ion will allow about a 21% mismatch.

It will be appreciated by those skilled in the art that there is no absolute distinction between "highly" and "moderately" stringent conditions. For example, at 0.015 M sodium ion (no formamide), the melting temperature of perfectly matched long DNA is about 71°C. With a wash at 65°C (at the same ionic strength), this would allow for approximately a 6% mismatch. To capture more distantly related sequences, one skilled in the art can simply lower the temperature or raise the ionic strength.

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A good estimate of the melting temperature in 1M NaCl\* for oligonucleotide probes up to about 20nt is given by:

$$T_m = 2^{\circ}\text{C per A-T base pair} + 4^{\circ}\text{C per G-C base pair}$$

\*The sodium ion concentration in 6X salt sodium citrate (SSC) is 1 M. See Suggs *et al.*,  
5 *Developmental Biology Using Purified Genes*, p. 683, Brown and Fox (eds.) (1981).

High stringency washing conditions for oligonucleotides are usually at a temperature of 0-5°C below the  $T_m$  of the oligonucleotide in 6x SSC, 0.1% SDS.

In another embodiment, related nucleic acid molecules comprise or consist of a nucleotide sequence that is about 70 percent (70%) identical to the nucleotide  
10 sequence as shown in SEQ ID NO: 1, or comprise or consist essentially of a nucleotide sequence encoding a polypeptide that is about 70 percent (70%) identical to the polypeptide as set forth in SEQ ID NO: 2. In preferred embodiments, the nucleotide sequences are about 75 percent, or about 80 percent, or about 85 percent, or about 90 percent, or about 95, 96, 97, 98, or 99 percent identical to the nucleotide sequence as  
15 shown in SEQ ID NO: 1, or the nucleotide sequences encode a polypeptide that is about 75 percent, or about 80 percent, or about 85 percent, or about 90 percent, or about 95, 96, 97, 98, or 99 percent identical to the polypeptide sequence as set forth in SEQ ID NO: 2. Differences in the nucleic acid sequence may result in conservative and/or non-conservative modifications of the amino acid sequence relative to the amino acid sequence  
20 of SEQ ID NO: 2.

Conservative modifications to the amino acid sequence of SEQ ID NO: 2 (and corresponding modifications to the encoding nucleotides) will produce NTR3 polypeptides having functional and chemical characteristics similar to those of a naturally occurring NTR3 polypeptide. In contrast, substantial modifications in the functional  
25 and/or chemical characteristics of NTR3 polypeptides may be accomplished by selecting substitutions in the amino acid sequence of SEQ ID NO: 2 that differ significantly in their effect on maintaining (a) the structure of the molecular backbone in the area of the substitution, for example, as a sheet or helical conformation, (b) the charge or hydrophobicity of the molecule at the target site, or (c) the bulk of the side chain.

30 The term "conservative amino acid substitution" refers to a substitution of a native amino acid residue with a nonnative residue such that there is little or no effect on



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the polarity or charge of the amino acid residue at that position. For example, a conservative substitution results from the replacement of a non-polar residue in a polypeptide with any other non-polar residue. Furthermore, any native residue in the polypeptide may also be substituted with alanine, as has been previously described for

5 "alanine scanning mutagenesis".

Conservative amino acid substitutions also encompass non-naturally occurring amino acid residues which are typically incorporated by chemical peptide synthesis rather than by synthesis in biological systems. These include peptidomimetics, and other reversed or inverted forms of amino acid moieties.

10 Naturally occurring residues may be divided into groups based on common side chain properties:

- 1) hydrophobic: norleucine, Met, Ala, Val, Leu, Ile;
- 2) neutral hydrophilic: Cys, Ser, Thr;
- 3) acidic: Asp, Glu;
- 15 4) basic: Asn, Gln, His, Lys, Arg;
- 5) residues that influence chain orientation: Gly, Pro; and
- 6) aromatic: Trp, Tyr, Phe.

Non-conservative substitutions may involve the exchange of a member of one of these classes for a member from another class. Such substituted residues may be

20 introduced into regions of the human NTR3 polypeptide that are homologous with non-human NTR3 polypeptide orthologs, or into the non-homologous regions of the molecule.

In making such changes, the hydropathic index of amino acids may be considered. Each amino acid has been assigned a hydropathic index on the basis of their hydrophobicity and charge characteristics, these characteristics. They are: isoleucine (+4.5); valine (+4.2); leucine (+3.8); phenylalanine (+2.8); cysteine/cystine (+2.5); methionine (+1.9); alanine (+1.8); glycine (-0.4); threonine (-0.7); serine (-0.8); tryptophan (-0.9); tyrosine (-1.3); proline (-1.6); histidine (-3.2); glutamate (-3.5); glutamine (-3.5); aspartate (-3.5); asparagine (-3.5); lysine (-3.9); and arginine (-4.5).

25

30 The importance of the hydropathic amino acid index in conferring interactive biological function on a protein is understood in the art. Kyte *et al.*, *J. Mol.*

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*Biol.*, 157:105-131 1982. It is known that certain amino acids may be substituted for other amino acids having a similar hydrophatic index or score and still retain a similar biological activity. In making changes based upon the hydrophatic index, the substitution of amino acids whose hydrophatic indices are within  $\pm 2$  is preferred, those which are within  $\pm 1$  are particularly preferred, and those within  $\pm 0.5$  are even more particularly preferred.

It is also understood in the art that the substitution of like amino acids can be made effectively on the basis of hydrophilicity, particularly where the biologically functionally equivalent protein or peptide thereby created is intended for use in immunological embodiments, as in the present case. The greatest local average hydrophilicity of a protein, as governed by the hydrophilicity of its adjacent amino acids, correlates with its immunogenicity and antigenicity, *i.e.*, with a biological property of the protein.

The following hydrophilicity values have been assigned to these amino acid residues: arginine (+3.0); lysine (+3.0); aspartate ( $+3.0 \pm 1$ ); glutamate ( $+3.0 \pm 1$ ); serine (+0.3); asparagine (+0.2); glutamine (+0.2); glycine (0); threonine (-0.4); proline ( $-0.5 \pm 1$ ); alanine (-0.5); histidine (-0.5); cysteine (-1.0); methionine (-1.3); valine (-1.5); leucine (-1.8); isoleucine (-1.8); tyrosine (-2.3); phenylalanine (-2.5); (-2.5) and tryptophan (-3.4). In making changes based upon similar hydrophilicity values, the substitution of amino acids whose hydrophilicity values are within  $\pm 2$  is preferred, those which are within  $\pm 1$  are particularly preferred, and those within  $\pm 0.5$  are even more particularly preferred. One may also identify epitopes from primary amino acid sequences on the basis of hydrophilicity. These regions are also referred to as "epitopic core regions."

Desired amino acid substitutions (whether conservative or non-conservative) can be determined by those skilled in the art at the time such substitutions are desired. For example, amino acid substitutions can be used to identify important residues of the NTR3 polypeptide, or to increase or decrease the affinity of the NTR3 polypeptides described herein.

Exemplary amino acid substitutions are set forth in Table I.

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TABLE I

	Original Residues	Exemplary Substitutions	Preferred Substitutions
	Ala	Val, Leu, Ile	Val
	Arg	Lys, Gln, Asn	Lys
5	Asn	Gln	Gln
	Asp	Glu	Glu
	Cys	Ser, Ala	Ser
	Gln	Asn	Asn
	Glu	Asp	Asp
10	Gly	Pro, Ala	Ala
	His	Asn, Gln, Lys, Arg	Arg
	Ile	Leu, Val, Met, Ala, Phe, Norleucine	Leu
	Leu	Norleucine, Ile, Val, Met, Ala, Phe	Ile
	Lys	Arg, 1,4 Diamino-butyrlic Acid, Gln, Asn	Arg
15	Met	Leu, Phe, Ile	Leu
	Phe	Leu, Val, Ile, Ala, Tyr	Leu
	Pro	Ala	Gly
	Ser	Thr, Ala, Cys	Thr
	Thr	Ser	Ser
20	Trp	Tyr, Phe	Tyr
	Tyr	Trp, Phe, Thr, Ser	Phe
	Val	Ile, Met, Leu, Phe, Ala, Norleucine	Leu

A skilled artisan will be able to determine suitable variants of the polypeptide as set forth in SEQ ID NO: 2 using well-known techniques. For identifying suitable areas of the molecule that may be changed without destroying activity, one skilled in the art may target areas not believed to be important for activity. For example, when

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similar polypeptides with similar activities from the same species or from other species are known, one skilled in the art may compare the amino acid sequence of an NTR3 polypeptide to such similar polypeptides. With such a comparison, one can identify residues and portions of the molecules that are conserved among similar polypeptides. It will be appreciated that changes in areas of an NTR3 polypeptide that are not conserved relative to such similar polypeptides would be less likely to adversely affect the biological activity and/or structure of the NTR3 polypeptide. One skilled in the art would also know that, even in relatively conserved regions, one may substitute chemically similar amino acids for the naturally occurring residues while retaining activity (conservative amino acid residue substitutions). Therefore, even areas that may be important for biological activity or for structure may be subject to conservative amino acid substitutions without destroying the biological activity or without adversely affecting the polypeptide structure.

Additionally, one skilled in the art can review structure-function studies identifying residues in similar polypeptides that are important for activity or structure. In view of such a comparison, one can predict the importance of amino acid residues in an NTR3 polypeptide that correspond to amino acid residues that which are important for activity or structure in similar polypeptides. One skilled in the art may opt for chemically similar amino acid substitutions for such predicted important amino acid residues of NTR3 polypeptides.

One skilled in the art can also analyze the three-dimensional structure and amino acid sequence in relation to that structure in similar polypeptides. In view of such information, one skilled in the art may predict the alignment of amino acid residues of an NTR3 polypeptide with respect to its three dimensional structure. One skilled in the art may choose not to make radical changes to amino acid residues predicted to be on the surface of the protein, since such residues may be involved in important interactions with other molecules. Moreover, one skilled in the art may generate test variants containing a single amino acid substitution at each desired amino acid residue. The variants can then be screened using activity assays known to those skilled in the art. Such variants could be used to gather information about suitable variants. For example, if one discovered that a change to a particular amino acid residue resulted in destroyed, undesirably reduced, or unsuitable activity, variants with such a change would be avoided. In other words, based

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on information gathered from such routine experiments, one skilled in the art can readily determine the amino acids where further substitutions should be avoided either alone or in combination with other mutations.

A number of scientific publications have been devoted to the prediction of secondary structure. See Moulton J., *Curr. Op. in Biotech.*, 7(4):422-427, 1996, Chou *et al.*, *Biochemistry*, 13(2):222-245, 1974; Chou *et al.*, *Biochemistry*, 113(2):211-222, 1974; Chou *et al.*, *Adv. Enzymol. Relat. Areas Mol. Biol.*, 47:45-148, 1978; Chou *et al.*, *Ann. Rev. Biochem.*, 47:251-276 and Chou *et al.*, *Biophys. J.*, 26:367-384, 1979. Moreover, computer programs are currently available to assist with predicting secondary structure.

One method of predicting secondary structure is based upon homology modeling. For example, two polypeptides or proteins which have a sequence identity of greater than 30%, or similarity greater than 40% often have similar structural topologies. The recent growth of the protein structural data base (PDB) has provided enhanced predictability of secondary structure, including the potential number of folds within a polypeptide's or protein's structure. See Holm *et al.*, *Nucl. Acid. Res.*, 27(1):244-247, 1999. It has been suggested (Brenner *et al.*, *Curr. Op. Struct. Biol.*, 7(3):369-376, 1997) that there are a limited number of folds in a given polypeptide or protein and that once a critical number of structures have been resolved, structural prediction will gain become dramatically in accuracy. more accurate.

Additional methods of predicting secondary structure include "threading" (Jones, D., *Curr. Opin. Struct. Biol.*, 7(3):377-87, 1997; Sippl *et al.*, *Structure*, 4(1):15-19, 1996), "profile analysis" (Bowie *et al.*, *Science*, 253:164-170, 1991; Gribskov *et al.*, *Meth. Enzym.*, 183:146-159, 1990; Gribskov *et al.*, *Proc. Nat. Acad. Sci U.S.A.*, 84(13):4355-4358, 1987), and "evolutionary linkage" (See Home, *supra* and Brenner, *supra*)).

Preferred NTR3 polypeptide variants include glycosylation variants wherein the number and/or type of glycosylation sites has been altered compared to the amino acid sequence set forth in SEQ ID NO: 2. In one embodiment, NTR3 polypeptide variants comprise a greater or a lesser number of N-linked glycosylation sites than the amino acid sequence set forth in SEQ ID NO: 2. An N-linked glycosylation site is characterized by the sequence: Asn-X-Ser or Asn-X-Thr, wherein the amino acid residue designated as X may be any amino acid residue except proline. The substitution(s) of amino acid residues

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to create this sequence provides a potential new site for the addition of an N-linked carbohydrate chain. Alternatively, substitutions which eliminate this sequence will remove an existing N-linked carbohydrate chain. Also provided is a rearrangement of N-linked carbohydrate chains wherein one or more N-linked glycosylation sites (typically those that are naturally occurring) are eliminated and one or more new N-linked sites are created.

Additional preferred NTR3 variants include cysteine variants, wherein one or more cysteine residues are deleted from or substituted for another amino acid (*e.g.*, serine) as compared to the amino acid sequence set forth in SEQ ID NO: 2. Cysteine variants are useful when NTR3 polypeptides must be refolded into a biologically active conformation such as after the isolation of insoluble inclusion bodies. Cysteine variants generally have fewer cysteine residues than the native protein, and typically have an even number to minimize interactions resulting from unpaired cysteines

In addition, the polypeptide comprising the amino acid sequence of SEQ ID NO: 2 or an NTR3 polypeptide variant may be fused to a homologous polypeptide to form a homodimer or to a heterologous polypeptide to form a heterodimer. Heterologous peptides and polypeptides include, but are not limited to: an epitope to allow for the detection and/or isolation of an NTR3 fusion polypeptide; a transmembrane receptor protein or a portion thereof, such as an extracellular domain, or a transmembrane and intracellular domain; a ligand or a portion thereof which binds to a transmembrane receptor protein; an enzyme or portion thereof which is catalytically active; a polypeptide or peptide which promotes oligomerization, such as a leucine zipper domain; a polypeptide or peptide which increases stability, such as an immunoglobulin constant region; and a polypeptide which has a therapeutic activity different from the polypeptide comprising the amino acid sequence as set forth in SEQ ID NO: 2 or an NTR3 polypeptide variant.

Fusions can be made either at the amino terminus or at the carboxy terminus of the polypeptide comprising the amino acid sequence set forth in SEQ ID NO: 2 or an NTR3 polypeptide variant. Fusions may be direct with no linker or adapter molecule, or indirect using a linker or adapter molecule. A linker or adapter molecule may be one or more amino acid residues, typically up to from about 20 to about 50 amino acid residues. A linker or adapter molecule may also be designed with a cleavage site for a DNA restriction endonuclease or for a protease to allow for the separation of the fused moieties.

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It will be appreciated that once constructed, the fusion polypeptides can be derivatized according to the methods described herein.

5 In a further embodiment of the invention, the polypeptide comprising the amino acid sequence of SEQ ID NO: 2 or an NTR3 polypeptide variant is fused to one or more domains of an Fc region of human IgG. Antibodies comprise two functionally independent parts, a variable domain known as "Fab", which binds antigens, and a constant domain known as "Fc", which is involved in effector functions such as complement activation and attack by phagocytic cells. An Fc has a long serum half-life, whereas an Fab is short-lived. Capon et al., Nature, 337:525-31 (1989). When constructed together with a therapeutic  
10 protein, an Fc domain can provide longer half-life or incorporate such functions as Fc receptor binding, protein A binding, complement fixation and perhaps even placental transfer. *Id.*

Table II summarizes the use of certain Fc fusions known in the art.

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TABLE II

**Fc Fusion with Therapeutic Proteins**

Form of Fc	Fusion partner	Therapeutic implications	Reference
IgG1	N-terminus of CD30-L	Hodgkin's disease; anaplastic lymphoma; T-cell leukemia	U.S. Patent No. 5,480,981
Murine Fcg2a	IL-10	anti-inflammatory; transplant rejection	Zheng <i>et al.</i> (1995), <i>J. Immunol.</i> , <u>154</u> : 5590-5600
IgG1	TNF receptor	septic shock	Fisher <i>et al.</i> (1996), <i>N. Engl. J. Med.</i> , <u>334</u> : 1697-1702; Van Zee <i>et al.</i> , (1996), <i>J. Immunol.</i> , <u>156</u> : 2221-2230
IgG, IgA, IgM, or IgE (excluding the first domain)	TNF receptor	inflammation, autoimmune disorders	U.S. Pat. No. 5,808,029, issued September 15, 1998
IgG1	CD4 receptor	AIDS	Capon <i>et al.</i> (1989), <i>Nature</i> <u>337</u> : 525-531
IgG1, IgG3	N-terminus of IL-2	anti-cancer, antiviral	Harvill <i>et al.</i> (1995), <i>Immunotech.</i> , <u>1</u> : 95-105
IgG1	C-terminus of OPG	osteoarthritis; bone density	WO 97/23614, published July 3, 1997
IgG1	N-terminus of leptin	anti-obesity	PCT/US 97/23183, filed December 11, 1997
Human Ig Cg1	CTLA-4	autoimmune disorders	Linsley (1991), <i>J. Exp. Med.</i> , <u>174</u> :561-569

In one example, all or a portion of the human IgG hinge, CH2 and CH3 regions may be fused at either the N-terminus or C-terminus of the NTR3 polypeptides using methods known to the skilled artisan. The resulting NTR3 fusion



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polypeptide may be purified by use of a Protein A affinity column. Peptides and proteins fused to an Fc region have been found to exhibit a substantially greater half-life *in vivo* than the unfused counterpart. Also, a fusion to an Fc region allows for dimerization/multimerization of the fusion polypeptide. The Fc region may be a naturally occurring Fc region, or may be altered to improve certain qualities, such as therapeutic qualities, circulation time, reduce aggregation, etc.

Identity and similarity of related nucleic acid molecules and polypeptides can be readily calculated by known methods. Such methods include, but are not limited to, those described in *Computational Molecular Biology*, Lesk, A.M., ed., Oxford University Press, New York, 1988; *Biocomputing: Informatics and Genome Projects*, Smith, D.W., ed., Academic Press, New York, 1993; *Computer Analysis of Sequence Data*, Part 1, Griffin, A.M., and Griffin, H.G., eds., Humana Press, New Jersey, 1994; *Sequence Analysis in Molecular Biology*, von Heinje, G., Academic Press, 1987; *Sequence Analysis Primer*, Gribskov, M. and Devereux, J., eds., M. Stockton Press, New York, 1991; and Carillo *et al.*, *SIAM J. Applied Math.*, 48:1073, 1988.

Preferred methods to determine identity and/or similarity are designed to give the largest match between the sequences tested. Methods to determine identity and similarity are described in publicly available computer programs. Preferred computer program methods to determine identity and similarity between two sequences include, but are not limited to, the GCG program package, including GAP (Devereux, J., *et al.*, *Nucleic Acids Research* 12(1):387, 1984); Genetics Computer Group, University of Wisconsin, Madison, WI), BLASTP, BLASTN, and FASTA (Atschul, S.F. *et al.*, *J. Molec. Biol.* 215:403-410, 1990). The BLASTX program is publicly available from the National Center for Biotechnology Information (NCBI) and other sources (BLAST Manual, Altschul, S., *et al.* NCB NLM NIH Bethesda, MD 20894; Altschul, S., *et al.*, *J. Mol. Biol.* 215:403-410, 1990). The well-known Smith-Waterman algorithm may also be used to determine identity.

Certain alignment schemes for aligning two amino acid sequences may result in the matching of only a short region of the two sequences, and this small aligned region may have very high sequence identity even though there is no significant relationship between the two full length sequences. Accordingly, in a preferred

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embodiment, the selected alignment method (GAP program) will result in an alignment that spans at least 50 contiguous amino acids of the target polypeptide.

For example, using the computer algorithm GAP (Genetics Computer Group, University of Wisconsin, Madison, WI), two polypeptides for which the percent sequence identity is to be determined are aligned for optimal matching of their respective amino acids (the "matched span", as determined by the algorithm). A gap opening penalty (which is calculated as 3x the average diagonal; the "average diagonal" is the average of the diagonal of the comparison matrix being used; the "diagonal" is the score or number assigned to each perfect amino acid match by the particular comparison matrix) and a gap extension penalty (which is usually 1/10 times the gap opening penalty), as well as a comparison matrix such as PAM 250 or BLOSUM 62 are used in conjunction with the algorithm. A standard comparison matrix (see Dayhoff *et al.*, in: *Atlas of Protein Sequence and Structure*, vol. 5, supp.3, 1978, for the PAM250 comparison matrix; see Henikoff *et al.*, *Proc. Natl. Acad. Sci USA*, 89:10915-10919, 1992, for the BLOSUM 62 comparison matrix) is also used by the algorithm.

Preferred parameters for polypeptide sequence comparison include the following:

Algorithm: Needleman and Wunsch, *J. Mol. Biol.* 48:443-453, 1970,

Comparison matrix: BLOSUM 62 from Henikoff and Henikoff, *Proc. Natl. Acad. Sci. USA* 89:10915-10919, 1992.

Gap Penalty: 12

Gap Length Penalty: 4

Threshold of Similarity: 0

The GAP program is useful with the above parameters. The aforementioned parameters are the default parameters for polypeptide comparisons (along with no penalty for end gaps) using the GAP algorithm.

Preferred parameters for nucleic acid molecule sequence comparison include the following:

Algorithm: Needleman and Wunsch, *J. Mol Biol.* 48:443-453, 1970.

Comparison matrix: matches = +10, mismatch = 0

Gap Penalty: 50

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### Gap Length Penalty: 3

The GAP program is also useful with the above parameters. The aforementioned parameters are the default parameters for nucleic acid molecule comparisons.

5 Other exemplary algorithms, gap opening penalties, gap extension penalties, comparison matrices, thresholds of similarity, etc. may be used by those of skill in the art, including those set forth in the Program Manual, Wisconsin Package, Version 9, September, 1997. The particular choices to be made will be apparent to those of skill in the art and will depend on the specific comparison to be made, such as DNA-to-DNA,  
10 protein-to-protein, protein-to-DNA; and additionally, whether the comparison is between pairs of sequences (in which case GAP or BestFit are generally preferred) or between one sequence and a large database of sequences (in which case FASTA or BLASTA are preferred).

### Synthesis

15 It will be appreciated by those skilled in the art the nucleic acid and polypeptide molecules described herein may be produced by recombinant and other means.

### Nucleic Acid Molecules

The nucleic acid molecules encode a polypeptide comprising the amino acid sequence of an NTR3 polypeptide and can readily be obtained in a variety of ways  
20 including, without limitation, chemical synthesis, cDNA or genomic library screening, expression library screening and/or PCR amplification of cDNA.

Recombinant DNA methods used herein are generally those set forth in Sambrook *et al.* (*Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989) and/or Ausubel *et al.*, eds., (*Current  
25 Protocols in Molecular Biology*, Green Publishers Inc. and Wiley and Sons, NY, 1994).

The present invention provides for nucleic acid molecules as described herein and methods for obtaining such molecules. Where the gene encoding NTR3 polypeptide has been identified from one species, all or a portion of that gene may be used as a probe to identify orthologs or related genes from the same species. The probes or

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primers may be used to screen cDNA libraries from various tissue sources believed to express the NTR3 polypeptide.

5 In addition, part or all of a nucleic acid molecule having the sequence as set forth in SEQ ID NO: 1 may be used to screen a genomic library to identify and isolate a gene encoding NTR3. Typically, conditions of moderate or high stringency will be employed for screening to minimize the number of false positives obtained from the screening.

10 Nucleic acid molecules encoding the amino acid sequence of NTR3 polypeptides may also be identified by expression cloning which employs the detection of positive clones based upon a property of the expressed protein. Typically, nucleic acid libraries are screened by the binding of an antibody or other binding partner (*e.g.*, receptor or ligand) to cloned proteins which are expressed and displayed on a host cell surface. The antibody or binding partner is modified with a detectable label to identify those cells expressing the desired clone.

15 Recombinant expression techniques conducted in accordance with the descriptions set forth below may be followed to produce these polynucleotides and to express the encoded polypeptides. For example, by inserting a nucleic acid sequence which encodes the amino acid sequence of an NTR3 polypeptide into an appropriate vector, one skilled in the art can readily produce large quantities of the desired nucleotide sequence. 20 The sequences can then be used to generate detection probes or amplification primers. Alternatively, a polynucleotide encoding the amino acid sequence of an NTR3 polypeptide can be inserted into an expression vector. By introducing the expression vector into an appropriate host, the encoded NTR3 polypeptide may be produced in large amounts.

25 Another method for obtaining a suitable nucleic acid sequence is the polymerase chain reaction (PCR). In this method, cDNA is prepared from poly(A)+RNA or total RNA using the enzyme reverse transcriptase. Two primers, typically complementary to two separate regions of cDNA (oligonucleotides) encoding the amino acid sequence of an NTR3 polypeptide, are then added to the cDNA along with a polymerase such as Taq polymerase, and the polymerase amplifies the cDNA region 30 between the two primers.

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Another means of preparing a nucleic acid molecule encoding the amino acid sequence of a NTR3 polypeptide is by chemical synthesis using methods well known to the skilled artisan, such as those described by Engels *et al.*, (*Angew. Chem. Intl. Ed.*, 28:716-734, 1989). These methods include, *inter alia*, the phosphotriester, phosphoramidite, and H-phosphonate methods for nucleic acid synthesis. A preferred method for such chemical synthesis is polymer-supported synthesis using standard phosphoramidite chemistry. Typically, the DNA encoding the amino acid sequence of a NTR3 polypeptide will be several hundred nucleotides in length. Nucleic acids larger than about 100 nucleotides can be synthesized as several fragments using these methods. The fragments can then be ligated together to form the full-length nucleic acid sequence of NTR3 polynucleotide. Usually, the DNA fragment encoding the amino terminus of the polypeptide will have an ATG, which encodes a methionine residue. This methionine may or may not be present on the mature form of the NTR3 polypeptide, depending on whether the polypeptide produced in the host cell is designed to be secreted from the cell. Other methods known to the skilled artisan may be used as well.

In certain embodiments, nucleic acid variants contain codons which have been altered for the optimal expression of a NTR3 polypeptide in a given host cell. Particular codon alterations will depend upon the NTR3 polypeptide(s) and host cell(s) selected for expression. Such "codon optimization" can be carried out by a variety of methods, for example, by selecting codons which are preferred for use in highly expressed genes in a given host cell. Computer algorithms which incorporate codon frequency tables such as "Ecohigh.cod" for codon preference of highly expressed bacterial genes may be used and are provided by the University of Wisconsin Package Version 9.0, Genetics Computer Group, Madison, WI. Other useful codon frequency tables include "Celegans\_high.cod", "Celegans\_low.cod", "Drosophila\_high.cod", "Human\_high.cod", "Maize\_high.cod", and "Yeast\_high.cod".

#### Vectors and Host Cells

A nucleic acid molecule encoding the amino acid sequence of a NTR3 polypeptide may be inserted into an appropriate expression vector using standard ligation techniques. The vector is typically selected to be functional in the particular host cell

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employed (*i.e.*, the vector is compatible with the host cell machinery such that amplification of the gene and/or expression of the gene can occur). A nucleic acid molecule encoding the amino acid sequence of a NTR3 polypeptide may be amplified/expressed in prokaryotic, yeast, insect (baculovirus systems), and/or eukaryotic host cells. Selection of the host cell will depend in part on whether the NTR3 polypeptide is to be post-translationally modified (*e.g.*, glycosylated and/or phosphorylated). If so, yeast, insect, or mammalian host cells are preferable. For a review of expression vectors see *Meth. Enz.* vol. 185 D.V. Goeddel, ed. Academic Press Inc., San Diego CA, 1990.

Typically, expression vectors used in any of the host cells will contain sequences for plasmid maintenance and for cloning and expression of exogenous nucleotide sequences. Such sequences, collectively referred to as "flanking sequences", in certain embodiments, will typically include one or more of the following nucleotide sequences: a promoter, one or more enhancer sequences, an origin of replication, a transcriptional termination sequence, a complete intron sequence containing a donor and acceptor splice site, a sequence encoding a leader sequence for secretion, a ribosome binding site, a polyadenylation sequence, a polylinker region for inserting the nucleic acid encoding the polypeptide to be expressed, and a selectable marker element. Each of these sequences is discussed below.

Optionally, the vector may contain a "tag" sequence, *i.e.*, an oligonucleotide molecule located at the 5' or 3' end of the NTR3 polypeptide coding sequence; the oligonucleotide molecule encodes polyHis (such as hexaHis), or another "tag" such as FLAG, HA (hemagglutinin influenza virus) or myc for which commercially available antibodies exist. Optionally, the NTR3 gene can also be fused in frame at the N-terminal for example to an IgG Fc region. This tag is typically fused to the polypeptide upon expression of the polypeptide, and can serve as a means for affinity purification of the NTR3 polypeptide from the host cell although it may also prolong the circulatory half life of a NTR3 polypeptide. Affinity purification can be accomplished, for example, by column chromatography using antibodies against the tag as an affinity matrix. Optionally, the tag can subsequently be removed from the purified NTR3 polypeptide by various means such as using certain peptidases for cleavage.

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Flanking sequences may be homologous (*i.e.*, from the same species and/or strain as the host cell), heterologous (*i.e.*, from a species other than the host cell species or strain), hybrid (*i.e.*, a combination of flanking sequences from more than one source), or synthetic, or the flanking sequence may be native sequences which normally function to regulate NTR3 expression. As such, the source of a flanking sequence may be any prokaryotic or eukaryotic organism, any vertebrate or invertebrate organism, or any plant, provided that the flanking sequences is functional in, and can be activated by, the host cell machinery.

The flanking sequences useful in the vectors of this invention may be obtained by any of several methods well known in the art. Typically, flanking sequences useful herein other than the sequences flanking the NTR3 gene will have been previously identified by mapping and/or by restriction endonuclease digestion and can thus be isolated from the proper tissue source using the appropriate restriction endonucleases. In some cases, the full nucleotide sequence of a flanking sequence may be known. Here, the flanking sequence may be synthesized using the methods described herein for nucleic acid synthesis or cloning.

Where all or only a portion of the flanking sequence is known, it may be obtained using PCR and/or by screening a genomic library with suitable oligonucleotide and/or flanking sequence fragments from the same or another species.

Where the flanking sequence is not known, a fragment of DNA containing a flanking sequence may be isolated from a larger piece of DNA that may contain, for example, a coding sequence or even another gene or genes. Isolation may be accomplished by restriction endonuclease digestion to produce the proper DNA fragment followed by isolation using agarose gel purification, Qiagen® column chromatography (Chatsworth, CA), or other method known to the skilled artisan. The selection of suitable enzymes to accomplish this purpose will be readily apparent to one of ordinary skill in the art.

An origin of replication is typically a part of those prokaryotic expression vectors purchased commercially, and aids in the amplification of the vector in a host cell. Amplification of the vector to a certain copy number can, in some cases, be important for optimal expression of the NTR3 polypeptide. If the vector of choice does not contain an origin of replication site, one may be chemically synthesized based on a known sequence,

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and ligated into the vector. For example, the origin of replication from the plasmid pBR322 (Product No. 303-3s, New England Biolabs, Beverly, MA) is suitable for most gram-negative bacteria and various origins (*e.g.*, SV40, polyoma, adenovirus, vesicular stomatitis virus (VSV) or papillomaviruses such as HPV or BPV) are useful for cloning  
5 vectors in mammalian cells. Generally, the origin of replication component is not needed for mammalian expression vectors (for example, the SV40 origin is often used only because it contains the early promoter).

A transcription termination sequence is typically located 3' of the end of a polypeptide coding region and serves to terminate transcription. Usually, a transcription  
10 termination sequence in prokaryotic cells is a G-C rich fragment followed by a poly T sequence. While the sequence is easily cloned from a library or even purchased commercially as part of a vector, it can also be readily synthesized using methods for nucleic acid synthesis such as those described herein.

A selectable marker gene element encodes a protein necessary for the survival and growth of a host cell grown in a selective culture medium. Typical selection  
15 marker genes encode proteins that (a) confer resistance to antibiotics or other toxins, *e.g.*, ampicillin, tetracycline, or kanamycin for prokaryotic host cells, (b) complement auxotrophic deficiencies of the cell; or (c) supply critical nutrients not available from complex media. Preferred selectable markers are the kanamycin resistance gene, the  
20 ampicillin resistance gene, and the tetracycline resistance gene. A neomycin resistance gene may also be used for selection in prokaryotic and eukaryotic host cells.

Other selection genes may be used to amplify the gene which will be expressed. Amplification is the process wherein genes which are in greater demand for the production of a protein critical for growth are reiterated in tandem within the chromosomes  
25 of successive generations of recombinant cells. Examples of suitable, selectable markers for mammalian cells include dihydrofolate reductase (DHFR) and thymidine kinase. The mammalian cell transformants are placed under selection pressure which only the transformants are uniquely adapted to survive by virtue of the selection gene present in the vector. Selection pressure is imposed by culturing the transformed cells under conditions  
30 in which the concentration of selection agent in the medium is successively changed, thereby leading to the amplification of both the selection gene and the DNA that encodes



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NTR3 ligand. As a result, increased quantities of NTR3 ligand are synthesized from the amplified DNA.

5 A ribosome binding site is usually necessary for translation initiation of mRNA and is characterized by a Shine-Dalgarno sequence (prokaryotes) or a Kozak sequence (eukaryotes). The element is typically located 3' to the promoter and 5' to the coding sequence of the NTR3 polypeptide to be expressed. The Shine-Dalgarno sequence is varied but is typically a polypurine (*i.e.*, having a high A-G content). Many Shine-Dalgarno sequences have been identified, each of which can be readily synthesized using methods set forth herein and used in a prokaryotic vector.

10 A leader, or signal, sequence may be used to direct the secretion of NTR3 polypeptide out of the host cell where it is synthesized. Typically, a nucleotide sequence encoding the signal sequence is positioned in the coding region of the NTR3 nucleic acid molecule, or directly at the 5' end of the NTR3 polypeptide coding region. Many signal sequences have been identified, and any of those that are functional in the selected host cell  
15 may be used in conjunction with the NTR3 gene or cDNA. Therefore, a signal sequence may be homologous (naturally occurring) or heterologous to the NTR3 gene or cDNA, and may be homologous or heterologous to the NTR3 gene or cDNA. Additionally, a signal sequence may be chemically synthesized using methods described herein. In most cases, the secretion of an NTR3 polypeptide from the host cell via the presence of a signal peptide  
20 will result in the removal of the signal peptide from the NTR3 polypeptide.

The signal sequence may be a component of the vector, or it may be a part of NTR3 nucleic acid molecule that is inserted into the vector. The native NTR3 DNA encodes a signal sequence at the amino terminus of the protein that is cleaved during post-translational processing of the molecule to form the mature NTR3 protein product.  
25 Included within the scope of this invention are NTR3 nucleotides with the native signal sequence as well as NTR3 nucleotides wherein the native signal sequence is deleted and replaced with a heterologous signal sequence. The heterologous signal sequence selected should be one that is recognized and processed, *i.e.*, cleaved by a signal peptidase, by the host cell. For prokaryotic host cells that do not recognize and process the native NTR3  
30 signal sequence, the signal sequence is substituted by a prokaryotic signal sequence selected, for example, from the group of the alkaline phosphatase, penicillinase, or

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heat-stable enterotoxin II leaders. For yeast secretion, the native NTR3 signal sequence may be substituted by the yeast invertase, alpha factor, or acid phosphatase signal sequences. For mammalian cell expression the native signal sequence of the NTR3 polypeptides satisfactory, although other mammalian signal sequences may be suitable.

5                   In some cases, such as where glycosylation is desired in a eukaryotic host cell expression system, one may manipulate the various presequences to improve glycosylation or yield. For example, one may alter the peptidase cleavage site of a particular signal peptide, or add prosequences, which also may affect glycosylation. The final protein product may have, in the -1 position (relative to the first amino acid of the  
10                   mature protein), one or more additional amino acid residues incident to expression, which may not have been totally removed. For example, the final protein product may have one or two amino acids found in the peptidase cleavage site, attached to the N-terminus. Alternatively, use of some enzyme cleavage sites may result in a slightly truncated form of the desired NTR polypeptide, if the enzyme cuts at such area within the mature  
15                   polypeptide.

                  In many cases, transcription of a nucleic acid molecule is increased by the presence of one or more introns in the vector; this is particularly true where a polypeptide is produced in eukaryotic host cells, especially mammalian host cells. The introns used may be naturally occurring within the NTR3 gene, especially where the gene used is a full length  
20                   genomic sequence or a fragment thereof. Where the intron is not naturally occurring within the gene (as for most cDNAs), the intron(s) may be obtained from another source. The position of the intron with respect to flanking sequences and the NTR3 gene is generally important, as the intron must be transcribed to be effective. Thus, when an NTR3 cDNA molecule is being transcribed, the preferred position for the intron is 3' to the transcription  
25                   start site, and 5' to the polyA transcription termination sequence. Preferably, the intron or introns will be located on one side or the other (*i.e.*, 5' or 3') of the cDNA such that it does not interrupt the this coding sequence. Any intron from any source, including viral, prokaryotic and eukaryotic (plant or animal) organisms, may be used to practice this invention, provided that it is compatible with the host cell(s) into which it is inserted. Also  
30                   included herein are synthetic introns. Optionally, more than one intron may be used in the vector.

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The expression and cloning vectors of the present invention will each typically contain a promoter that is recognized by the host organism and operably linked to the molecule encoding the NTR3 polypeptide

Promoters are untranscribed sequences located upstream (5') to the start  
5 codon of a structural gene (generally within about 100 to 1000 bp) that control the transcription and translation of a particular molecule, such as that encoding ymkz. Promoters are conventionally grouped into one of two classes, inducible promoters and constitutive promoters. Inducible promoters initiate increased levels of transcription from DNA under their control in response to some change in culture conditions, such as the  
10 presence or absence of a nutrient or a change in temperature. Constitutive promoters, on the other hand, initiate continual gene production; that is, there is little or no control over gene expression. A large number of promoters, recognized by a variety of potential host cells, are well known. A suitable promoter is operably linked to the DNA encoding NTR3 by removing the promoter from the source DNA by restriction enzyme digestion and inserting  
15 the desired promoter sequence into the vector. The native NTR3 promoter sequence may be used to direct amplification and/or expression of NTR3 encoding nucleic acids molecule. A heterologous promoter is preferred, however, if it permits greater transcription and higher yields of the expressed protein as compared to the native promoter, and if it is compatible with the host cell system that has been selected for use.

Promoters suitable for use with prokaryotic hosts include, but are not limited  
20 to the beta-lactamase and lactose promoter systems; alkaline phosphatase, a tryptophan (trp) promoter system; and hybrid promoters such as the tac promoter. Other known bacteria promoters are also suitable. Their sequences have been published, thereby enabling one skilled in the art to ligate them to the desired DNA sequence(s), using linkers or adapters  
25 as needed to supply any useful restriction sites.

Suitable promoters for use with yeast hosts are also well known in the art. Yeast enhancers are advantageously used with yeast promoters. Suitable promoters for use with mammalian host cells are well known and include, but are not limited to, those  
30 obtained from the genomes of viruses such as polyoma virus, fowl pox virus, adenovirus (such as Adenovirus 2), bovine papilloma virus, avian sarcoma virus, cytomegalovirus, a retrovirus, hepatitis-B virus, herpes virus and most preferably Simian Virus 40 (SV40).

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Other suitable mammalian promoters include heterologous mammalian promoters, *e.g.*, heat-shock promoters and the actin promoter.

Additional promoters which may be of interest in controlling NTR3 transcription include, but are not limited to, the SV40 early promoter region (Bernoist and Chambon, *Nature*, 290:304-310, 1981), the CMV promoter; the promoter contained in the 3' long terminal repeat (LTR) of Rous sarcoma virus (RSV), (Yamamoto *et al.*, *Cell*, 22:787-797, 1980), the herpes thymidine kinase promoter, (Wagner *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 78:144-1445, 1981), the regulatory sequences of the metallothionine gene, (Brinster *et al.*, *Nature*, 296:39-42, 1982), prokaryotic expression vectors such as the beta-lactamase promoter, (Villa-Kamaroff, *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 75:3727-3731, 1978), or the tac promoter, (DeBoer, *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 80:21-25, 1983). Also of interest are the following animal transcriptional control regions, which exhibit tissue specificity and have been utilized in transgenic animals: the elastase I gene control region which is active in pancreatic acinar cells, (Swift *et al.*, *Cell*, 38:639-646, 1984; Ornitz *et al.*, *Cold Spring Harbor Symp. Quant. Biol.*, 50:399-409, 1986; MacDonald, *Hepatology*, 7:425-515, 1987); the insulin gene control region which is active in pancreatic beta cells (Hanahan, *Nature*, 315:115-122, 1985); the immunoglobulin gene control region which is active in lymphoid cells (Grosschedl *et al.*, *Cell*, 38:647-658, 1984; Adames *et al.*, *Nature*, 318:533-538, 1985; Alexander *et al.*, *Mol. Cell. Biol.*, 7:1436-1444, 1987); the mouse mammary tumor virus control region which is active in testicular, breast, lymphoid and mast cells (Leder *et al.*, *Cell*, 45:485-495, 1986), the albumin gene control region which is active in liver (Pinkert *et al.*, *Genes and Devel.*, 1:268-276, 1987); the alphafetoprotein gene control region which is active in liver (Krumlauf *et al.*, *Mol. Cell. Biol.*, 5:1639-1648, 1985); Hammer *et al.*, *Science*, 235:53-58, 1987); the alpha 1-antitrypsin gene control region which is active in the liver (Kelsey *et al.*, *Genes and Devel.*, 1:161-171, 1987); the beta-globin gene control region which is active in myeloid cells (Mogam *et al.*, *Nature*, 315:338-340, 1985; Kollias *et al.*, *Cell*, 46:89-94, 1986); the myelin basic protein gene control region which is active in oligodendrocyte cells in the brain (Readhead *et al.*, *Cell*, 48:703-712, 1987); the myosin light chain-2 gene control region which is active in skeletal muscle (Sani, *Nature*, 314:283-286, 1985); and the

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gonadotropic releasing hormone gene control region which is active in the hypothalamus (Mason *et al.*, *Science*, 234:1372-1378, 1986).

An enhancer sequence may be inserted into the vector to increase the transcription of a DNA encoding a NTR3 polypeptide of the present invention by higher eukaryotes. Enhancers are cis-acting elements of DNA, usually about 10-300 bp in length, that act on the promoter to increase its transcription. Enhancers are relatively orientation and position independent. They have been found 5' and 3' to the transcription unit. Several enhancer sequences available from mammalian genes are known (*e.g.*, globin, elastase, albumin, alpha-feto-protein and insulin). Typically, however, an enhancer from a virus will be used. The SV40 enhancer, the cytomegalovirus early promoter enhancer, the polyoma enhancer, and adenovirus enhancers are exemplary enhancing elements for the activation or upregulation of eukaryotic promoters. While an enhancer may be spliced into the vector at a position 5' or 3' to NTR3 nucleic acid molecules, it is typically located at a site 5' from the promoter.

Expression vectors of the invention may be constructed from a starting vectors such as a commercially available vector. Such vectors may or may not contain all of the desired flanking sequences. Where one or more of the desired flanking sequences are not already present in the vector, they may be individually obtained and ligated into the vector. Methods used for obtaining each of the flanking sequences are well known to one skilled in the art.

Preferred vectors for practicing this invention are those which are compatible with bacterial, insect, and mammalian host cells. Such vectors include, *inter alia*, pCRII, pCR3, and pcDNA3.1 (Invitrogen Company, Carlsbad, CA), pBSII (Stratagene Company, La Jolla, CA), pET15 (Novagen, Madison, WI), pGEX (Pharmacia Biotech, Piscataway, NJ), pEGFP-N2 (Clontech, Palo Alto, CA), pETL (BlueBacII; Invitrogen), pDSR-alpha (PCT Publ. No. WO 90/14364) and pFast Bac Dual (Gibco-Brl, Grand Island, NY).

Additional suitable vectors include, but are not limited to, cosmids, plasmids or modified viruses, but it will be appreciated that the vector system must be compatible with the selected host cell. Such vectors include, but are not limited to plasmids such as Bluescript® plasmid derivatives (a high copy number ColE1-based phagemid, Stratagene Cloning Systems Inc., La Jolla CA), PCR cloning plasmids designed for cloning

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Taq-amplified PCR products (e.g., TOPO™ TA Cloning® Kit, PCR2.1® plasmid derivatives, Invitrogen, Carlsbad, CA), and mammalian, yeast or virus vectors such as a baculovirus expression system (pBacPAK plasmid derivatives, Clontech, Palo Alto, CA).

5 After the vector has been constructed and a nucleic acid molecule encoding an NTR3 polypeptide has been inserted into the proper site of the vector, the completed vector may be inserted into a suitable host cell for amplification and/or polypeptide expression.

The transformation of an expression vector for an NTR3 polypeptide into a selected host cell may be accomplished by well known methods including well-known methods such as transfection, infection, calcium chloride, electroporation, microinjection, lipofection or the DEAE-dextran method or other known techniques. The method selected will in part be a function of the type of host cell to be used. These methods and other suitable methods are well known to the skilled artisan, and are set forth, for example, in Sambrook *et al.*, *supra*.

15 Host cells may be prokaryotic host cells (such as *E. coli*) or eukaryotic host cells (yeast, insect, or vertebrate cells). The host cell, when cultured under appropriate conditions, synthesizes an NTR3 polypeptide which can subsequently be collected from the culture medium (if the host cell secretes it into the medium) or directly from the host cell producing it (if it is not secreted). The selection of an appropriate host cell will depend upon various factors, such as desired expression levels, polypeptide modifications that are desirable or necessary for activity, (such as glycosylation or phosphorylation), and ease of folding into a biologically active molecule.

20 Yeast and mammalian cells are preferred hosts of the present invention. The use of such hosts provides substantial advantages in that they can also carry out post-translational peptide modifications including glycosylation. A number of recombinant DNA strategies exist which utilize strong promoter sequences and high copy number of plasmids which can be utilized for production of the desired proteins in these hosts.

25 Yeast recognize leader sequences on cloned mammalian gene products and secrete peptides bearing leader sequences (*i.e.*, pre-peptides). Mammalian cells provide post-translational modifications to protein molecules including correct folding or glycosylation at correct sites.

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Mammalian cells which may be useful as hosts include cells of fibroblast origin such as VERO or CHO-K1, and their derivatives. For a mammalian host, several possible vector systems are available for the expression of the desired NTR3 protein. A wide variety of transcriptional and translational regulatory sequences may be employed, depending upon the nature of the host. The transcriptional and translational regulatory signals may be derived from viral sources, such as adenovirus, bovine papilloma virus, simian virus, or the like, where the regulatory signals are associated with a particular gene which has a high level of expression. Alternatively, promoters from mammalian expression products, such as actin, collagen, myosin, etc., may be employed. Transcriptional initiation regulatory signals may be selected which allow for repression or activation, so that expression of the genes can be modulated. Useful signals are regulatory signals which are temperature-sensitive so that by varying the temperature, expression can be repressed or initiated, or are subject to chemical regulation, e.g., metabolite.

As is widely known, translation of eukaryotic mRNA is initiated at the codon which encodes the first methionine. For this reason, it is preferable to ensure that the linkage between a eukaryotic promoter and a DNA sequence which encodes the desired receptor molecule does not contain any intervening codons which are capable of encoding a methionine (*i.e.*, AUG). The presence of such codons results either in the formation of a fusion protein (if the AUG codon is in the same reading frame as the desired receptor molecule encoding DNA sequence) or a frame-shift mutation (if the AUG codon is not in the same reading frame as the desired NTR3 protein encoding sequence).

The expression of the NTR3 proteins can also be accomplished in procaryotic cells. Preferred procaryotic hosts include bacteria such as *E. coli*, *Bacillus*, *Streptomyces*, *Pseudomonas*, *Salmonella*, *Serratia*, etc. The most preferred procaryotic host is *E. coli*. Bacterial hosts of particular interest include *E. coli* K12 strain 294 (ATCC 31446), *E. coli* X1776 (ATCC 31537), *E. coli* W3110 ( $F^-$ ,  $\lambda^-$ , prototrophic (ATCC 27325), and other enterobacteria (such as *Salmonella typhimurium* or *Serratia marcescens*), and various *Pseudomonas* species. The procaryotic host must be compatible with the replicon and control sequences in the expression plasmid.

To express the desired NTR3 protein in a procaryotic cell (such as, for example, *E. coli*, *B. subtilis*, *Pseudomonas*, *Streptomyces*, etc.), it is necessary to operably

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link the desired receptor molecule encoding sequence to a functional prokaryotic promoter. Such promoters may be either constitutive or, more preferably, regulatable (*i.e.*, inducible or derepressible). Examples of constitutive promoters include the int promoter of bacteriophage  $\lambda$ , and the bla promoter of the  $\beta$ -lactamase gene of pBR322, etc. Examples of inducible prokaryotic promoters include the major right and left promoters, of bacteriophage  $\lambda$  ( $P_L$  and  $P_R$ ), the trp, recA, lacZ, lacI, gal, and tac promoters of *E. coli*, the  $\alpha$ -amylase (Ulmanen *et al.*, *J. Bacteriol.*, 162:176-182, 1985), the  $\sigma$ -28-specific promoters of *B. subtilis* (Gilman *et al.*, *Gene*, 32:11-20, 1984), the promoters of the bacteriophages of *Bacillus* (Gryczan, T. J., In: *The Molecular Biology of the Bacilli*, Academic Press, Inc., New York, NY, 1982), and *Streptomyces* promoters (Ward *et al.*, *Mol. Gen. Genet.*, 203:468-478, 1986). Prokaryotic promoters are reviewed by Glick, B.R., *J. Ind. Microbiol.*, 1:277-282, 1987; Cenatiempo, Y., *Biochimie* 68:505-516, 1986; and Gottesman, S. *Ann. Rev. Genet.*, 18:415-442, 1984.

Proper expression in a prokaryotic cell also requires the presence of a ribosome binding site upstream from the gene-encoding sequence. Such ribosome binding sites are disclosed, for example, by Gold *et al.*, *Ann. Rev. Microbiol.*, 35:365-404, 1981.

The desired NTR3 protein encoding sequence and an operably linked promoter may be introduced into a recipient prokaryotic or eukaryotic cell either as a non-replicating DNA (or RNA) molecule, which may either be linear or, more preferably, a closed covalent circular molecule. Since such molecules are incapable of autonomous replication, the expression of the desired receptor molecule may occur through the transient expression of the introduced sequence. Alternatively, permanent expression may occur through the integration of the introduced sequence into the host chromosome.

In one embodiment, a vector is employed which is capable of integrating the desired gene sequences into the host cell chromosome. Cells which have stably integrated the introduced DNA into their chromosomes can be selected by also introducing one or more markers which allow for selection of host cells which contain the expression vector. The marker may complement an auxotrophy in the host (such as leu21, or ura3, which are common yeast auxotrophic markers), biocide resistance, *e.g.*, antibiotics, or heavy metals, such as copper, or the like. The selectable marker gene can either be directly linked to the DNA gene sequences to be expressed, or introduced into the same cell by co-transfection.



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In a preferred embodiment, the introduced sequence will be incorporated into a plasmid or viral vector capable of autonomous replication in the recipient host. Any of a wide variety of vectors may be employed for this purpose. Factors of importance in selecting a particular plasmid or viral vector include, for *e.g.* the ease with which recipient  
5 cells that contain the vector may be recognized and selected from those recipient cells which do not contain the vector; the number of copies of the vector which are desired in a particular host; and whether it is desirable to be able to "shuttle" the vector between host cells of different species.

Any of a series of yeast gene expression systems can also be utilized.  
10 Examples of such expression vectors include the yeast 2-micron circle, the expression plasmids YEP13, YVP and YRP, etc., or their derivatives. Such plasmids are well known in the art (Botstein *et al.*, *Miami Wntr. Symp.*, 19:265-274, 1982; Broach, J. R., In: *The Molecular Biology of the Yeast Saccharomyces: Life Cycle and Inheritance*, Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y., p. 445-470, 1981; Broach, J. R., *Cell*,  
15 28:203-204, 1982).

For a mammalian host, several possible vector systems are available for expression. One class of vectors utilize DNA elements which provide autonomously replicating extra-chromosomal plasmids, derived from animal viruses such as bovine papilloma virus, polyoma virus, adenovirus, or SV40 virus. A second class of vectors relies  
20 upon the integration of the desired gene sequences into the host chromosome. Cells which have stably integrated the introduced DNA into their chromosomes may be selected by also introducing one or more markers which allow selection of host cells which contain the expression vector. The marker may provide for prototrophy to an auxotrophic host, biocide resistance, *e.g.*, antibiotics, or heavy metals, such as copper or the like. The selectable  
25 marker gene can either be directly linked to the DNA sequences to be expressed, or introduced into the same cell by co-transformation. Additional elements may also be needed for optimal synthesis of mRNA. These elements may include splice signals, as well as transcription promoters, enhancers, and termination signals. The cDNA expression vectors incorporating such elements include those described by Okayama, H., *Mol. Cell.*  
30 *Biol.* 3:280, 1983. Preferred eukaryotic vectors include PWLNEO, PSV2CAT, POG44, PXT1, pSG, pSVK3, pBPV, pMSG, pSVL (Pharmacia).

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Preferred prokaryotic vectors include plasmids such as those capable of replication in *E. coli* such as, for example, pBR322, ColE1, pSC101, pACYC 184,  $\pi$ VX, pQE70, pQE60, pQE9, pBG, pD10, Phage script, psix174, pbmescript SK, pbsks, pNH8A, pNHIBa, pNH18A, pNH46A (SL rare gone), ptrc99a, pKK223-3, pKK233-3, pDR540, pRIT5. Such plasmids are, for example, disclosed by Maniatis, T., *et al.* (In: *Molecular Cloning, A Laboratory Manual*, Cold Spring Harbor Press, Cold Spring Harbor, NY, 1982). Bacillus plasmids include pC194, pC221, pT127, etc. Such plasmids are disclosed by Gryczan, T. (In: *The Molecular Biology of the Bacilli*, Academic Press, New York, NY, 1982, pp. 307-329). Suitable Streptomyces plasmids include pISJ101 (Kendall *et al.*, *J. Bacteriol.*, 169:4177-4183, 1987), and Streptomyces bacteriophages such as  $\phi$ C31 (Chater *et al.*, In: *Sixth International Symposium on Actinomycetales Biology*, Akademiai Kiado, Budapest, Hungary, 1986, pp 45-54). Pseudomonas plasmids are reviewed by John *et al.*, *Rev. Infect. Dis.*, 8:693-704, 1986, and Izaki, K., *Jpn. J. Bacteriol.*, 33:729-742, 1978. However, any other plasmid or vector may be used as long as they are replicable and viable in the host cell.

Once the vector or DNA sequence containing the constructs has been prepared for expression, the DNA constructs may be introduced into an appropriate host. Various techniques may be employed, such as a protoplast fusion, calcium phosphate precipitation, electroporation or other conventional techniques. After the fusion, the cells are grown in media and screened for appropriate activities. Expression of the sequence results in the production of the NTR3 protein.

Suitable host cells or cell lines may be mammalian cells, such as Chinese hamster ovary cells (CHO; ATCC No. CCL161), CHO DHFR cell (Urlaub *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 97: 4216-4220, 1980), human embryonic kidney (HEK) 293 or 293T cells (ATCC No. CRL 1563), or 3T3 cells (ATCC No. CRL 92). The selection of suitable mammalian host cells and methods for transformation, culture, amplification, screening, product production and purification are known in the art. Other suitable mammalian cell lines, are the monkey COS-1 (ATCC No. CRL 1650) and COS-7 (ATCC No. CRL 1651) cell lines, and the CV-1 cell line (ATCC No. CCL70). Further exemplary mammalian host cells include primate cell lines and rodent cell lines, including transformed cell lines. Normal diploid cells, cell strains derived from *in vitro* culture of primary tissue, as well as primary

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explants, are also suitable. Candidate cells may be genotypically deficient in the selection gene, or may contain a dominant acting selection gene.

5 Other suitable mammalian cell lines include, but are not limited to, mouse neuroblastoma N2A cells, HeLa, mouse L-L-929 cells, 3T3 lines derived from Swiss, Balb-c or NIH mice, BHK or HaK hamster cell lines, which are available from the ATCC. Each of these cell lines is known by and available to those skilled in the art of protein expression.

Similarly useful as host cells suitable for the present invention are bacterial cells. For example, the various strains of *E. coli* (e.g., HB101, DH5 $\alpha$  (ATCC No. 33694), DH10, and MC1061(ATCC No. 53330)) are well known as host cells in the field of  
10 biotechnology. Various strains of *B. subtilis*, *Pseudomonas* spp., other *Bacillus* spp., *Streptomyces* spp., and the like may also be employed in this method.

Many strains of yeast cells known to those skilled in the art are also available as host cells for expression of the polypeptides of the present invention. Preferred yeast strains include, for example, *Saccharomyces cerevisiae* and *Pichia pastoris*.

15 Additionally, where desired, insect cell systems may be utilized in the methods of the present invention. Such systems are described for example in Kitts *et al.* *Biotechniques*, 14:810-817, 1993; Lucklow *et al.*, *Curr. Opin. Biotechnol.*, 4:564-572, 1993; and Lucklow *et al.*, *J. Virol.*, 67:4566-4579, 1993. Preferred insect cells are Sf-9 and Hi5 (Invitrogen, Carlsbad, CA ).

20 One may also use transgenic animals to express glycosylated NTR3 polypeptides. For example, one may use a transgenic milk-producing animal (e.g., a cow or goat) and obtain the present glycosylated polypeptide in the animal milk. One may also use plants to produce NTR3 polypeptides, polypeptides; however, in general, the glycosylation occurring in plants is different from that produced in mammalian cells, and  
25 may result in a glycosylated product which is not suitable for human therapeutic use.

### **Polypeptide Production**

Host cells comprising an NTR3 polypeptide expression vector (*i.e.*, transformed or transfected) may be cultured using standard media well known to the skilled artisan. The media will usually contain all nutrients necessary for the growth and survival  
30 of the cells. Suitable media for culturing *E. coli* cells include for example, Luria Broth (LB)

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and/or Terrific Broth (TB). Suitable media for culturing eukaryotic cells are Rosewell Park Memorial Media 1640 (RPMI 1640), Minimal Essential Media (MEM), Dulbecco's Modified Eagle Media (DMEM), all of which may be supplemented with serum and/or growth factors as indicated by the particular cell line being cultured. A suitable medium for  
5 insect cultures is Grace's medium supplemented with yeastolate, lactalbumin hydrolysate and/or fetal calf serum, as necessary.

Typically, an antibiotic or other compound useful for selective growth of transformed cells is added as a supplement to the media. The compound to be used will be dictated by the selectable marker element present on the plasmid with which the host cell  
10 was transformed. For example, where the selectable marker element is kanamycin resistance, the compound added to the culture medium will be kanamycin. Other compounds for selective growth include ampicillin, tetracycline and neomycin.

The amount of NTR3 polypeptide produced by a host cell can be evaluated using standard methods known in the art. Such methods include, without limitation,  
15 Western blot analysis, SDS-polyacrylamide gel electrophoresis, non-denaturing gel electrophoresis, HPLC separation, immunoprecipitation, and/or activity assays such as DNA binding gel shift assays.

If a NTR3 polypeptide has been designed to be secreted from the host cells, the majority of polypeptide may be found in the cell culture medium. If however, the NTR3  
20 polypeptide is not secreted from the host cells, it will be present in the cytoplasm and/or the nucleus (for eukaryotic host cells) or in the cytosol (for bacterial host cells).

The intracellular material (including inclusion bodies for gram-negative bacteria) can be extracted from the host cell using any standard technique known to the skilled artisan. For example, the host cells can be lysed to release the contents of the  
25 periplasm/cytoplasm by French press, homogenization, and/or sonication followed by centrifugation.

If a NTR3 polypeptide has formed inclusion bodies in the cytosol, the inclusion bodies can often bind to the inner and/or outer cellular membranes and thus will be found primarily in the pellet material after centrifugation. The pellet material can then  
30 be treated at pH extremes or with a chaotropic agent such as a detergent, guanidine, guanidine derivatives, urea, or urea derivatives in the presence of a reducing agent such as

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dithiothreitol at alkaline pH or tris carboxyethyl phosphine at acid pH to release, break apart, and solubilize the inclusion bodies. The NTR3 polypeptide in its now soluble form can then be analyzed using gel electrophoresis, immunoprecipitation or the like. If it is desired to isolate the NTR3 polypeptide, isolation may be accomplished using standard methods such as those described herein and in Marston *et al.*, *Meth. Enz.*, 182:264-275, 1990.

In some cases, a NTR3 polypeptide may not be biologically active upon isolation. Various methods for "refolding" or converting the polypeptide to its tertiary structure and generating disulfide linkages, can be used to restore biological activity. Such methods include exposing the solubilized polypeptide to a pH usually above 7 and in the presence of a particular concentration of a chaotrope. The selection of chaotrope is very similar to the choices used for inclusion body solubilization, but usually the chaotrope is used at a lower concentration and is not necessarily the same as chaotropes used for the solubilization. In most cases the refolding/oxidation solution will also contain a reducing agent or the reducing agent plus its oxidized form in a specific ratio to generate a particular redox potential allowing for disulfide shuffling to occur in the formation of the protein's cysteine bridge(s). Some of the commonly used redox couples include cysteine/cystamine, glutathione (GSH)/dithiobis GSH, cupric chloride, dithiothreitol(DTT)/dithiane DTT, 2-mercaptoethanol( $\beta$ ME)/dithio- $\beta$ (ME). A cosolvent is necessary to increase the efficiency of the refolding, and the more common reagents used for this purpose include glycerol, polyethylene glycol of various molecular weights, arginine and the like.

If inclusion bodies are not formed to a significant degree upon expression of a NTR3 polypeptide, then the polypeptide will be found primarily in the supernatant after centrifugation of the cell homogenate. The polypeptide may be further isolated from the supernatant using methods such as those described herein.

The purification of an NTR3 polypeptide from solution can be accomplished using a variety of techniques. If the polypeptide has been synthesized such that it contains a tag such as Hexahistidine (NTR3 polypeptide/hexaHis) or other small peptide such as FLAG (Eastman Kodak Co., New Haven, CT) or myc (Invitrogen, Carlsbad, CA) at either its carboxyl or amino terminus, it may be purified in a one-step process by passing the solution through an affinity column where the column matrix has a high affinity for the tag.

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For example, polyhistidine binds with great affinity and specificity to nickel, thus annickel; thus affinity column of nickel (such as the Qiagen® nickel columns) can be used for purification of NTR3 polypeptide/polyHis. See for example, Ausubel *et al.*, eds., *Current Protocols in Molecular Biology*, Section 10.11.8, John Wiley & Sons, New York  
5 (1993).

Additionally, the NTR3 polypeptide may be purified through the use of a monoclonal antibody which is capable of specifically recognizing and binding to the NTR3 polypeptide.

Suitable procedures for purification thus include, without limitation,  
10 affinity chromatography, immunoaffinity chromatography, ion exchange chromatography, molecular sieve chromatography, High Performance Liquid Chromatography (HPLC), electrophoresis (including native gel electrophoresis) followed by gel elution, and preparative isoelectric focusing ("Isoprime" machine/technique, Hoefer Scientific, San Francisco, CA). In some cases, two or more purification techniques may be combined to  
15 achieve increased purity.

NTR3 polypeptides, fragments, and/or derivatives thereof may also be prepared by chemical synthesis methods (such as solid phase peptide synthesis) using techniques known in the art, such as those set forth by Merrifield *et al.*, *J. Am. Chem. Soc.*, 85:2149, 1963; Houghten *et al.*, *Proc Natl Acad. Sci. USA*, 82:5132, 1985; and Stewart and  
20 Young, *Solid Phase Peptide Synthesis*, Pierce Chemical Co., Rockford, IL, 1984. Such polypeptides may be synthesized with or without a methionine on the amino terminus. Chemically synthesized NTR3 polypeptides or fragments may be oxidized using methods set forth in these references to form disulfide bridges. Chemically synthesized NTR3 polypeptides, fragments or derivatives are expected to have comparable biological activity  
25 to the corresponding NTR3 polypeptides, fragments or derivatives produced recombinantly or purified from natural sources, and thus may be used interchangeably with recombinant or natural NTR3 polypeptide.

Another means of obtaining NTR3 polypeptide is via purification from biological samples such as source tissues and/or fluids in which the NTR3 polypeptide is  
30 naturally found. Such purification can be conducted using methods for protein purification as described above. The presence of the NTR3 polypeptide during purification may be

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monitored, for example, using an antibody prepared against recombinantly produced NTR3 polypeptide or peptide fragments thereof.

A number of additional methods for producing nucleic acids and polypeptides are known in the art, and the methods can be used to produce polypeptides having specificity for NTR3. See for example, Roberts *et al.*, *Proc. Natl. Acad. Sci. USA*, 94: 12297-12303, 1997, which describes the production of fusion proteins between an mRNA and its encoded peptide. See also Roberts, R., *Curr. Opin. Chem. Biol.*, 3:268-273, 1999. Additionally, U.S. Patent No. 5,824,469 describes methods of obtaining oligonucleotides capable of carrying out a specific biological function. The procedure involves generating a heterogeneous pool of oligonucleotides, each having a 5' randomized sequence, a central preselected sequence, and a 3' randomized sequence. The resulting heterogeneous pool is introduced into a population of cells that do not exhibit the desired biological function. Subpopulations of the cells are then screened for those which exhibit a predetermined biological function. From that subpopulation, oligonucleotides capable of carrying out the desired biological function are isolated. U.S. Patent Nos. 5,763,192, 5,814,476, 5,723,323, and 5,817,483 describe processes for producing peptides or polypeptides. This is done by producing stochastic genes or fragments thereof, and then introducing these genes into host cells which produce one or more proteins encoded by the stochastic genes. The host cells are then screened to identify those clones producing peptides or polypeptides having the desired activity.

Another method for producing peptides or polypeptides is described in PCT/US98/20094 (WO99/15650) filed by Athersys, Inc. Known as "Random Activation of Gene Expression for Gene Discovery" (RAGE-GD), the process involves the activation of endogenous gene expression or over-expression of a gene by in situ recombination methods. For example, expression of an endogenous gene is activated or increased by integrating a regulatory sequence into the target cell which is capable of activating expression of the gene by non-homologous or illegitimate recombination. The target DNA is first subjected to radiation, and a genetic promoter inserted. The promoter eventually locates a break at the front of a gene, initiating transcription of the gene. This results in expression of the desired peptide or polypeptide.

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It will be appreciated that these methods can also be used to create comprehensive IL-17 like protein expression libraries, which can subsequently be used for high throughput phenotypic screening in a variety of assays, such as biochemical assays, cellular assays, and whole organism assays (*e.g.*, plant, mouse, etc.).

5     **Proteins, Polypeptides, Fragments, Variants and Muteins of NTR3:**

Polypeptides of the invention include isolated NTR3 polypeptides and polypeptides related thereto including fragments, variants, fusion polypeptides, and derivatives as defined hereinabove.

10     NTR3 fragments of the invention may result from truncations at the amino terminus (with or without a leader sequence), truncations at the carboxy terminus, and/or deletions internal to the polypeptide. Most deletions and insertions, and substitutions in particular, are not expected to produce radical changes in the characteristics of the NTR3 protein. However, when it is difficult to predict the exact effect of the substitution, deletion, or insertion in advance of doing so, one skilled in the art will appreciate that the effect will  
15     be evaluated by routine screening assays. For example, a variant typically is made by site-specific mutagenesis of the NTR3 protein-encoding nucleic acid, expression of the variant nucleic acid in recombinant cell culture, and, optionally, purification from the cell culture, for example, by immunoaffinity adsorption on a polyclonal anti NTR3 antibody column (to absorb the variant by binding it to at least one remaining immune epitope). In preferred  
20     embodiments, truncations and/or deletions comprise about 10 amino acids, or about 20 amino acid, or about 50 amino acids, or about 75 amino acids, or about 100 amino acids, or more than about 100 amino acids. The polypeptide fragments so produced will comprise about 25 contiguous amino acids, or about 50 amino acids, or about 75 amino acids, or about 100 amino acids, or about 150 amino acids, or about 200 amino acids or about 250  
25     amino acids, or about 275 amino acids, or 300 amino acids. Such NTR3 polypeptides fragments may optionally comprise an amino terminal methionine residue.

NTR3 polypeptide variants of the invention include one or more amino acid substitutions, additions and/or deletions as compared to SEQ ID NO: 2. In preferred  
30     embodiments, the variants have from 1 to 3, or from 1 to 5, or from 1 to 10, or from 1 to 15, or from 1 to 20, or from 1 to 25, or from 1 to 50, or from 1 to 75, or from 1 to 100, or more



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than 100 amino acid substitutions, insertions, additions and/or deletions, wherein the substitutions may be conservative, as defined above, or non-conservative or any combination thereof. More particularly, NTR3 variants may comprise the amino acid sequence set out as SEQ ID NO:2, wherein one or more amino acids from the group

5 consisting of amino acids 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113,

10 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203,

15 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293,

20 294, 295, 296, 297, 298, 299, and 300 is/are substituted with another amino acid. The variants may have additions of amino acid residues either at the carboxy terminus or at the amino terminus (with or without a leader sequence).

Preferred NTR3 polypeptide variants include glycosylation variants wherein the number and/or type of glycosylation sites has been altered compared to native NTR3

25 polypeptide. In one embodiment, NTR3 variants comprise a greater or a lesser number of N-linked glycosylation sites. An N-linked glycosylation site is characterized by the sequence: Asn-X-Ser or Thr, where the amino acid residue designated as X may be any type of amino acid except proline. Substitution(s) of amino acid residues to create this sequence provides a potential new site for addition of an N-linked carbohydrate chain. Alternatively,

30 substitutions to eliminate this sequence will remove an existing N-linked carbohydrate chain. Also provided is a rearrangement of N-linked carbohydrate chains wherein one or

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more N-linked glycosylation sites (typically those that are naturally occurring) are eliminated and one or more new N-linked sites are created.

One skilled in the art will be able to determine suitable variants of the native NTR3 polypeptide using well known techniques. For example, one may be able to predict  
5 suitable areas of the molecule that may be changed without destroying biological activity. Also, one skilled in the art will realize that even areas that may be important for biological activity or for structure may be subject to conservative amino acid substitutions without destroying the biological activity or without adversely affecting the polypeptide structure.

For predicting suitable areas of the molecule that may be changed without  
10 destroying activity, one skilled in the art may target areas not believed to be important for activity. For example, when similar polypeptides with similar activities from the same species or from other species are known, one skilled in the art may compare the amino acid sequence of NTR3 polypeptide to such similar polypeptides. After making such a comparison, one skilled in the art would be able to determine residues and portions of the  
15 molecules that are conserved among similar polypeptides. One skilled in the art would know that changes in areas of the NTR3 molecule that are not conserved would be less likely to adversely affect biological activity and/or structure. One skilled in the art would also know that, even in relatively conserved regions, one could have likely substituted chemically similar amino acids for the naturally occurring residues while retaining activity  
20 (e.g. conservative amino acid residue substitutions).

Also, one skilled in the art may review structure-function studies identifying residues in similar polypeptides that are important for activity or structure. In view of such a comparison, one skilled in the art can predict the importance of amino acid residues in NTR3 that correspond to amino acid residues that are important for activity or structure in  
25 similar polypeptides. One skilled in the art may opt for chemically similar amino acid substitutions for such predicted important amino acid residues of NTR3 .

If available, one skilled in the art can also analyze the crystal structure and amino acid sequence in relation to that structure in similar polypeptides. In view of that information, one skilled in the art may be able to predict the alignment of amino acid  
30 residues of NTR3 polypeptide with respect to its three dimensional structure. One skilled in the art may choose not to make radical changes to amino acid residues predicted to be on

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the surface of the protein, since such residues may be involved in important interactions with other molecules.

Moreover, one skilled in the art can generate test variants containing a single amino acid substitution at each amino acid residue. The variants can be screened using activity assays disclosed in this application. Such variants are used to gather information about suitable variants. For example, if one discovered that a change to a particular amino acid residue resulted in destroyed activity, variants with such a change would be avoided. Thus, based on information gathered from such experiments, when attempting to find additional acceptable variants, one skilled in the art can determine the amino acids where further substitutions should be avoided either alone or in combination with other mutations.

NTR3 polypeptide analogs of the invention can be determined by comparing the amino acid sequence of NTR3 polypeptide with related family members. Exemplary NTR3 polypeptide related family members include, but are not limited to, the TNF receptor and OPG receptor. This comparison can be accomplished by using a pileup alignment (Wisconsin GCG program package) or an equivalent (overlapping) comparison with multiple family members within conserved or non-conserved regions. As shown in Figure 1, the predicted amino acid sequence of NTR3 polypeptide (SEQ ID NO: 2) is aligned with the corresponding regions of OPG (SEQ ID NO: 3). Other NTR3 polypeptide analogs can be determined using these or other methods known to those skilled in the art. These overlapping sequences provide guidance for conservative or non-conservative amino acid substitutions resulting in additional NTR3 analogs. It will be appreciated that these amino acid substitutions can be naturally occurring or non-naturally occurring amino acids. For example, as depicted in Figure 1, NTR3 analogs include those which substitute one or more of the Cys residues at positions 49, 52, 91, 132, 150 or 193 with a Ser or Ala residue.

NTR3 fusion polypeptides of the invention comprise NTR3 polypeptides, fragments, variants, or derivatives fused to a heterologous functional portion of peptide(s) or protein(s). Heterologous peptide(s) and protein(s) include, but are not limited to, an epitope to allow for detection and/or isolation of a NTR3 fusion polypeptide, a transmembrane receptor protein or a portion thereof, such as an extracellular domain, or a transmembrane, a ligand or a portion thereof which binds to a transmembrane receptor

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protein, an enzyme or portion thereof which is catalytically active, a protein or peptide which promotes oligomerization, such as leucine zipper domain, and a protein or peptide which increase stability, or circulatory half-life, such as an immunoglobulin constant region. A NTR3 polypeptide may be fused to itself or to a fragment, variant, or derivative thereof.

5 Fusions may be made either at the amino terminus or at the carboxy terminus of a NTR3 polypeptide, and may be direct with no linker or adapter molecule or may be through a linker or adapter molecule, such as one or more amino acid residues up to about 20 amino acids residues, or up to about 50 amino acid residues. Alternatively, the NTR3 fusion protein may comprise one or two NTR3 polypeptides covalently linked to one or two TNF-receptor polypeptide(s), or a member of the TNF-receptor family or a cytokine receptor such as interleukin-1 R (IL-1R) polypeptide. The receptors preferably are produced as fusion proteins using recombinant DNA technology. A linker or adapter molecule may also be designed with a cleavage site for a DNA restriction endonuclease or for proteolytic cleavage to allow for separation and subsequent folding of the fused moieties.

10 Also envisioned as a part of the invention are circularly permuted structural analogs of the NTR3 polypeptide.

The development of recombinant DNA methods has made it possible to study the effects of sequence transposition on protein folding, structure and function. The approach used in creating new sequences resembles that of naturally occurring pairs of proteins that are related by linear reorganization of their amino acid sequences (Cunningham *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 76:3218-3222, 1979; Teather & Erfle, *J. Bacteriol.*, 172:3837-3841, 1990; Schimming *et al.*, *Eur. J. Biochem.*, 204:13-19, 1992; Yamiuchi and Minamikawa, *FEBS Lett.*, 260:127-130, 1991; MacGregor *et al.*, *FEBS Lett.*, 378:263-266, 1996). The first *in vitro* application of this type of rearrangement to proteins was described by Goldenberg and Creighton, *J. Mol. Biol.*, 165:407-413, 1983. A new N-terminus is selected at an internal site (breakpoint) of the original sequence, the new sequence having the same order of amino acids as the original from the breakpoint until it reaches an amino acid that is at or near the original C-terminus. At this point the new sequence is joined, either directly or through an additional portion of sequence (linker), to an amino acid that is at or near the original N-terminus, and the new sequence continues with the same sequence as the original until it reaches a point that is at or near the amino

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acid that was N-terminal to the breakpoint site of the original sequence, this residue forming the new C-terminus of the chain.

This approach has been applied to proteins which range in size from 58 to 462 amino acids (Goldenberg & Creighton, *J. Mol. Biol.*, 165:407-413, 1983; Li & Coffino, *Mol. Cell. Biol.*, 13:2377-2383, 1993). The proteins examined have represented a broad range of structural classes, including proteins that contain predominantly  $\alpha$ -helix (interleukin-4; Kreitman *et al.*, *Cytokine*, 7:311-318, 1995), predominantly  $\beta$ -sheet (interleukin-1; Horlick *et al.*, *Protein Eng.*, 5:427-431, 1992), or mixtures of the two (yeast phosphoribosyl anthranilate isomerase; Luger *et al.*, *Science*, 243:206-210, 1989).

In a preferred embodiment, a NTR3 polypeptide, fragment, variant and/or derivative is fused to an Fc region of human IgG. In one example, a human IgG hinge, CH2 and CH3 region may be fused at either the N-terminus or C-terminus of the NTR3 polypeptides using methods known to the skilled artisan. In another example, a portion of a hinge regions and CH2 and CH3 regions may be fuse. The NTR3 Fc-fusion polypeptide so produced may be purified by use of a Protein A affinity column (Pierce, Rockford, IL). In addition, peptide and proteins fused to an Fc region have been found to exhibit a substantially greater half-life *in vivo* than the unfused counterpart. Also, a fusion to an Fc region allows for dimerization/multimerization of the fusion polypeptide. The Fc region may be naturally occurring Fc region, or may be altered to improve certain qualities such as therapeutic qualities, circulation time, reduce aggregation, etc.

NTR3 polypeptide derivatives are also included in the scope of the present invention. Covalent modifications of the NTR3 proteins of the present invention are included within the scope of this invention. Variant NTR3 proteins may be conveniently prepared by *in vitro* synthesis. Such modifications may be introduced into the molecule by reacting targeted amino acid residues of the purified or crude protein with an organic derivatizing agent that is capable of reacting with selected side chains or terminal residues. The resulting covalent derivatives are useful in programs directed at identifying residues important for biological activity.

Cysteiny residues most commonly are reacted with  $\alpha$ -haloacetates (and corresponding amines), such as chloroacetic acid or chloroacetamide, to give carboxymethyl or carbocynamidomethyl derivatives. Cysteiny residues also are derivatized by reaction with

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bromotrifluoroacetone,  $\alpha$ -bromo- $\beta$ (5-imidazolyl)propionic acid, chloroacetyl phosphate, N-alkylmaleimides, 3-nitro-2-pyridyl disulfide, methyl 2-pyridyl disulfide, p-chloromercuribenzoate, 2-chloromercuri-4-nitrophenol, ortho-chloro-7-nitrobenzo-2-oxa-1,3-diazole.

5                   Histidyl residues are derivatized by reaction with diethylprocarbonate at pH 5.5-7.0 because this agent is relatively specific for the histidyl side chain. Para-bromophenacyl bromide also is useful; the reaction is preferably performed in 0.1M sodium cacodylate at pH 6.0.

10                   Lysinyl and amino terminal residues are reacted with succinic or carboxylic acid anhydrides. Derivatization with these agents has the effect of reversing the charge of the lysinyl residues. Other suitable reagents for derivatizing  $\alpha$ -amino-containing residues include imidoesters such as methyl picolinimide; pyridoxal phosphate; pyridoxal; chloroborohydride; trinitrobenzenesulfonic acid; O-methylisourea; 2,4 pentanedione; and transaminase catalyzed reaction with glyoxylate.

15                   Arginyl residues are modified by reaction with one or several conventional reagents, among them phenylglyoxal, 2,3-butanedione, 1,2-cyclohexanedione, and ninhydrin. Derivatization of arginine residues requires that the reaction be performed in alkaline conditions because of the high  $pK_a$  of the guanidine functional group. Furthermore, these reagents may react with the groups of lysine as well as the arginine Epsilon-amino group.

20                   The specific modification of tyrosyl residues *per se* has been studied extensively, with particular interest in introducing spectral labels into tyrosyl residues by reaction with aromatic diazonium compounds or tetranitromethane. Most commonly, N-acetylimidazole and tetranitromethane are used to form O-acetyl tyrosyl species and 3-nitro derivatives, respectively. Tyrosyl residues are iodinated using  $^{125}\text{I}$  or  $^{131}\text{I}$  to prepare labeled proteins for use in radioimmunoassay, the chloramine T method described above being suitable.

25                   Carboxyl side groups (aspartyl or glutamyl) are selectively modified by reaction with carbodiimides ( $R^1$ ) such as 1-cyclohexyl-3-(2-morpholinyl-(4-ethyl) carbodiimide or 1-ethyl-3 (4 azonia 4,4-dimethylpentyl) carbodiimide. Furthermore,

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aspartyl and glutamyl residues are converted to asparaginy and glutaminy residues by reaction with ammonium ions.

Derivatization with bifunctional agents is useful for crosslinking the NTR3 proteins to water-insoluble support matrixes or surfaces for use in the method for cleaving the NTR3 protein-fusion polypeptide to release and recover the cleaved polypeptide. Commonly used crosslinking agents include, *e.g.*, 1,1-bis(diazoacetyl)-2-phenylethane, glutaraldehyde, N-hydroxysuccinimide esters, for example, esters with 4-azidosalicylic acid, homo-bifunctional imidoesters, including disuccinimidyl esters such as 3,3'-dithiobis(succinimidylpropionate), and bifunctional maleimides such as bis-N-maleimido-1,8-octane. Derivatizing agents such as methyl-3-[p-azidophenyl] dithio]propioimide yield photoactivatable intermediates that are capable of forming cross links in the presence of light. Alternatively, reactive water-insoluble matrices such as cyanogen bromide-activated carbohydrates and the reactive substrates described in U.S. Pat. Nos. 3,969,287; 3,691,016; 4,195,128; 4,247,642; 4,229,537; and 4,330,440, incorporated herein by reference, are employed for protein immobilization.

Glutaminy and asparaginy residues are frequently deamidated to the corresponding glutamyl and aspartyl residues. Alternatively, these residues are deamidated under mildly acidic conditions. Either form of these residues falls within the scope of this invention.

Other modifications include hydroxylation of proline and lysine, phosphorylation of hydroxyl groups of seryl or thionyl residues, methylation of the  $\alpha$ -amino groups of lysine, arginine, and histidine side chains (T. E. Creighton, *Proteins: Structure and Molecule Properties*, W. H. Freeman & Co., San Francisco, pp. 79-86, 1983), acetylation of the N-terminal amine, and, in some instances, amidation of the C-terminal carboxyl groups. Such derivatives are chemically modified NTR3 polypeptide compositions in which NTR3 polypeptide is linked to a polymer. The polymer selected is typically water soluble so that the protein to which it is attached does not precipitate in an aqueous environment, such as a physiological environment. The polymer selected is usually modified to have a single reactive group, such as an active ester for acylation or an aldehyde for alkylation, so that the degree of polymerization may be controlled as provided for in the present methods. The polymer may be of any molecular weight, and may be branched or

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unbranched. Included within the scope of the NTR3 polypeptide polymers is a mixture of polymers. Preferably, for therapeutic use of the end-product preparation, the polymer will be pharmaceutically acceptable.

5 The polymers each may be of any molecular weight and may be branched or unbranched. The polymers each typically have an average molecular weight of between about 2 kDa to about 100 kDa (the term "about" indicating that in preparations of a water soluble polymer, some molecules will weigh more, some less, than the stated molecular weight). The average molecular weight of each polymer is preferably is between about 5 kDa and 5 kDa, about 50 kDa, more preferably between about 12 kDa and to about 40 kDa  
10 and most preferably between about 20 kDa and to about 35 kDa.

Suitable water soluble polymers or mixtures thereof include, but are not limited to, N-linked or O-linked carbohydrates, sugars, phosphates,carbohydrates; sugars; phosphates; polyethylene glycol (PEG) (including the forms of PEG that have been used to derivatize proteins, including mono-(C1-C10) alkoxy- or aryloxy-polyethylene glycol);  
15 monomethoxy-polyethylene glycol, glycol; dextran (such as low molecular weight dextran, of, for example about 6 kD), cellulose, or otherdextran of, for example, about 6 kDa), cellulose; or carbohydrate basedother carbohydrate-based polymers, poly-(N-vinyl pyrrolidone) polyethylene glycol, propylene glycol homopolymers, a polypropylene oxide/ethylene oxide co-polymer, polyoxyethylated polyols (*e.g.*, glycerol) and polyvinyl  
20 alcohol. Also encompassed by the present invention are bifunctional crosslinking molecules which may be used to prepare covalently attached multimers of the polypeptide comprising the amino acid sequence of SEQ ID NO: 2 or an NTR3 polypeptide variant.

In general, chemical derivatization may be performed under any suitable condition used to react a protein with an activated polymer molecule. Methods for  
25 preparing chemical derivatives of polypeptides will generally comprise the steps of (a) reacting the polypeptide with the activated polymer molecule (such as a reactive ester or aldehyde derivative of the polymer molecule) under conditions whereby the polypeptide comprising the amino acid sequence of SEQ ID NO: 2, or an NTR3 polypeptide variant becomes attached to one or more polymer molecules, and (b) obtaining the reaction  
30 product(s). The optimal reaction conditions will be determined based on known parameters and the desired result. For example, the larger the ratio of polymer molecules:protein, the



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greater the percentage of attached polymer molecule. In one embodiment, the NTR3 polypeptide derivative may have a single polymer molecule moiety at the amino terminus. (See, for example, U.S. Patent No. 5,234,784).

5 A particularly preferred water-soluble polymer for use herein is polyethylene glycol, abbreviated PEG. As used herein, polyethylene glycol is meant to encompass any of the forms of PEG that have been used to derivatize other proteins, such as mono-(C1-C10) alkoxy- or aryloxy-polyethylene glycol. PEG is a linear or branched neutral polyether, available in a broad range of molecular weights, and is soluble in water and most organic solvents. PEG is effective at excluding other polymers or peptides when present  
10 in water, primarily through its high dynamic chain mobility and hydrophobic nature, thus creating a water shell or hydration sphere when attached to other proteins or polymer surfaces. PEG is nontoxic, non-immunogenic, and approved by the Food and Drug Administration for internal consumption.

Proteins or enzymes when conjugated to PEG have demonstrated bioactivity,  
15 non-antigenic properties, and decreased clearance rates when administered in animals. (Veronese *et al.*, *Preparation and Properties of Monomethoxypoly(ethylene glyco)-modified Enzymes for Therapeutic Applications*, in J. M. Harris *ed.*, *Poly(Ethylene Glycol) Chemistry--Biotechnical and Biomedical Applications* 127-36, 1992), incorporated herein by reference. This is due to the exclusion properties of PEG in preventing recognition by  
20 the immune system. In addition, PEG has been widely used in surface modification procedures to decrease protein adsorption and improve blood compatibility. S. W. Kim *et al.*, *Ann. N.Y. Acad. Sci.* 516: 116-30, 1987; Jacobs *et al.*, *Artif. Organs* 12: 500-501, 1988; Park *et al.*, *J. Poly. Sci., Part A* 29:1725-31, 1991), incorporated herein by reference. Hydrophobic polymer surfaces, such as polyurethanes and polystyrene were modified by  
25 the grafting of PEG (MW 3,400) and employed as nonthrombogenic surfaces. In these studies, surface properties (contact angle) were more consistent with hydrophilic surfaces, due to the hydrating effect of PEG. More importantly, protein (albumin and other plasma proteins) adsorption was greatly reduced, resulting from the high chain motility, hydration sphere, and protein exclusion properties of PEG.

30 PEG (MW 3,4000) was determined as an optimal size in surface immobilization studies, Park *et al.*, *J. Biomed. Mat. Res.* 26:739-45, 1992), while PEG

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(MW 5,000) was most beneficial in decreasing protein antigenicity. (Veronese *et al.*, In J. M. Harris *et. al.*, *Poly(Ethylene Glycol) Chemistry--Biotechnical and Biomedical Applications* 127-36, *supra.*, incorporated herein by reference)

5 In general, chemical derivatization may be performed under any suitable conditions used to react a biologically active substance with an activated polymer molecule. Methods for preparing pegylated NTR3 polypeptides will generally comprise the steps of (a) reacting the polypeptide with polyethylene glycol (such as a reactive ester or aldehyde derivative of PEG) under conditions whereby NTR3 polypeptide becomes attached to one or more PEG groups, and (b) obtaining the reaction product(s). In general, the optimal  
10 reaction conditions for the acylation reactions will be determined based on known parameters and the desired result. For example, the larger the ratio of PEG: protein, the greater the percentage of poly-pegylated product.

In a preferred embodiment, the NTR3 polypeptide derivative will have a single PEG moiety at the N terminus. See U.S. Patent No.: 8,234,784, herein incorporated  
15 by reference.

Generally, conditions which may be alleviated or modulated by administration of the present NTR3 polypeptide derivative include those described herein for NTR3 polypeptides. However, the NTR3 polypeptide derivative disclosed herein may have additional activities, enhanced or reduced biological activity, or other characteristics,  
20 such as increased or decreased half-life, as compared to the non-derivatized molecules.

In another embodiment, NTR3 polypeptides may be chemically coupled to biotin, and the biotin/NTR3 polypeptide molecules which are conjugated are then allowed to bind to avidin, resulting in tetravalent avidin/biotin/NTR3 polypeptide molecules. NTR3 polypeptides may also be covalently coupled to dinitrophenol (DNP) or trinitrophenol (TNP) and the resulting conjugates precipitated with anti-DNP or anti-TNP-IgM to form  
25 decameric conjugates with a valency of 10.

Generally, conditions which may be alleviated or modulated by the administration of the present NTR3 polypeptide derivatives include those described herein for NTR3 polypeptides. However, the NTR3 polypeptide derivatives disclosed herein may have additional activities, enhanced or reduced biological activity, or other characteristics,  
30 such as increased or decreased half-life, as compared to the non-derivatized molecules.

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**Genetically Engineered Non-Human Animals**

Additionally included within the scope of the present invention are non-human animals such as mice, rats, or other rodents, rabbits, goats, or sheep, or other farm animals, in which the gene (or genes) encoding the native NTR3 polypeptide has (have) been disrupted ("knocked out") such that the level of expression of this gene or genes is (are) significantly decreased or completely abolished. Such animals may be prepared using techniques and methods such as those described in U.S. Patent No. 5,557,032.

The present invention further includes non-human animals such as mice, rats, or other rodents, rabbits, goats, sheep, or other farm animals, in which either the native form of the NTR3 gene(s) for that animal or a heterologous NTR3 gene(s) is (are) over-expressed by the animal, thereby creating a "transgenic" animal. Such transgenic animals may be prepared using well known methods such as those described in U.S. Patent No. 5,489,743 and PCT Application No. WO 94/28122.

The present invention further includes non-human animals in which the promoter for one or more of the NTR3 polypeptides of the present invention is either activated or inactivated (*e.g.*, by using homologous recombination methods) to alter the level of expression of one or more of the native NTR3 polypeptides.

These non-human animals may be used for drug candidate screening. In such screening, the impact of a drug candidate on the animal may be measured. For example, drug candidates may decrease or increase the expression of the NTR3 gene. In certain embodiments, the amount of NTR3 polypeptide, that is produced may be measured after the exposure of the animal to the drug candidate. Additionally, in certain embodiments, one may detect the actual impact of the drug candidate on the animal. For example, the overexpression of a particular gene may result in, or be associated with, a disease or pathological condition. In such cases, one may test a drug candidate's ability to decrease expression of the gene or its ability to prevent or inhibit a pathological condition. In other examples, the production of a particular metabolic product such as a fragment of a polypeptide, may result in, or be associated with, a disease or pathological condition. In such cases, one may test a drug candidate's ability to decrease the production of such a metabolic product or its ability to prevent or inhibit a pathological condition.

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### Microarray

It will be appreciated that DNA microarray technology can be utilized in accordance with the present invention. DNA microarrays are miniature, high density arrays of nucleic acids positioned on a solid support, such as glass. Each cell or element within the array has numerous copies of a single species of DNA which acts as a target for hybridization for its cognate mRNA. In expression profiling using DNA microarray technology, mRNA is first extracted from a cell or tissue sample and then converted enzymatically to fluorescently labeled cDNA. This material is hybridized to the microarray and unbound cDNA is removed by washing. The expression of discrete genes represented on the array is then visualized by quantitating the amount of labeled cDNA which is specifically bound to each target DNA. In this way, the expression of thousands of genes can be quantitated in a high throughput, parallel manner from a single sample of biological material.

This high throughput expression profiling has a broad range of applications with respect to the NTR3 molecules of the invention, including, but not limited to: the identification and validation of NTR3 disease-related genes as targets for therapeutics; molecular toxicology of NTR3 molecules and inhibitors thereof; stratification of populations and generation of surrogate markers for clinical trials; and enhancing NTR3 the enhancement of an NTR3 related small molecule drug discovery by aiding in the identification of selective compounds in high throughput screens (HTS).

### Selective Binding Agents

As used herein, the term "selective binding agent" refers to a molecule which has specificity for one or more NTR3 polypeptides. Suitable selective binding agents include, but are not limited to, antibodies and derivatives thereof, polypeptides, and small molecules. Suitable selective binding agents may be prepared using methods known in the art. An exemplary NTR3 polypeptide selective binding agent of the present invention is capable of binding a certain portion of the NTR3 polypeptide thereby inhibiting the binding of the polypeptide to the NTR3 polypeptide receptor(s).

Selective binding agents such as antibodies and antibody fragments that bind NTR3 polypeptides are within the scope of the present invention. The antibodies may be

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polyclonal including monospecific polyclonal, monoclonal (mAbs), recombinant, chimeric, humanized such as CDR-grafted, human, single chain, and/or bispecific, as well as fragments, variants or derivatives thereof. Antibody fragments include those portions of the antibody which bind to an epitope on the NTR3 polypeptide. Examples of such fragments include Fab and F(ab') fragments generated by enzymatic cleavage of full-length antibodies. Other binding fragments include those generated by recombinant DNA techniques, such as the expression of recombinant plasmids containing nucleic acid sequences encoding antibody variable regions.

NTR3 polypeptides, fragments, variants and derivatives may be used to prepare antibodies using methods known in the art. Thus, antibodies and antibody fragments that bind NTR3 polypeptides are within the scope of the present invention. Antibodies may be polyclonal, monoclonal, recombinant, chimeric, humanized, fully human, single chain and/or bispecific.

Polyclonal antibodies directed toward a NTR3 polypeptide generally are produced in animals (e.g., rabbits or mice) by means of multiple subcutaneous or intraperitoneal injections of NTR3 and an adjuvant. It may be useful to conjugate a NTR3 polypeptide, or a variant, fragment or derivative thereof to a carrier protein that is immunogenic in the species to be immunized, such as keyhole limpet heocyanin, serum, albumin, bovine thyroglobulin, or soybean trypsin inhibitor. Also, aggregating agents such as alum are used to enhance the immune response. After immunization, the animals are bled and the serum is assayed for anti-NTR3 antibody titer.

Monoclonal antibodies directed toward NTR3 are produced using any method which provides for the production of antibody molecules by continuous cell lines in culture. Examples of suitable methods for preparing monoclonal antibodies include the hybridoma method of Kohler *et al.*, *Nature*, 256:495-497, 1975, and the human B-cell hybridoma method (Kozbor, *J. Immunol.*, 133:3001, 1984; Brodeur *et al.*, *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63, Marcel Dekker, Inc., New York, NY, 1987).

Also provided by the invention are hybridoma cell lines which produce monoclonal antibodies reactive with NTR3 polypeptides.

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Monoclonal antibodies of the invention may be modified for use as therapeutics. One embodiment is a "chimeric" antibody in which a portion of the heavy and/or light chain is identical with or homologous to a corresponding sequence in antibodies derived from a particular species or belonging to a particular antibody class or subclass, while the remainder of the chain(s) is/are identical with or homologous a to corresponding sequence in antibodies derived from another species or belonging to another antibody class or subclass. Also included are fragments of such antibodies, so long as they exhibit the desired biological activity (*see* U.S. Patent No. 4,816,567; Morrison, *et al.*, *Proc. Natl. Acad. Sci.* 81, 6851-6855 (1985) incorporated herein by reference).

In another embodiment, a monoclonal antibody of the invention is a "humanized" antibody. Methods for humanizing non-human antibodies are well known in the art. (*See* U.S. Patent Nos. 5,585,089 and 5,693,762). Generally, a humanized antibody has one or more amino acid residues introduced into it from a source which is non-human. Humanization can be performed, for example, using methods described in the art (Jones *et al.*, *Nature*, 321:522-525, 1986; Riechmann *et al.*, *Nature*, 332:323-327, 1988; Verhoeyen *et al.*, *Science*, 239:1534-1536, 1988), by substituting at least a portion of a rodent complementarity-determining region (CDR) for the corresponding regions of a human antibody.

Also encompassed by the invention are fully human antibodies which bind NTR3 polypeptides, fragments, variants and/or derivatives. Such antibodies are produced by immunization with a NTR3 antigen (*i.e.*, having at least 6 contiguous amino acids) optionally conjugated to a carrier. Using transgenic animals (*e.g.*, mice) that are capable of producing a repertoire of human antibodies in the absence of endogenous immunoglobulin production. (*See, e.g.*, Jakobovits *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 90:2551-2555, 1993; Jakobovits *et al.*, *Nature*, 362:255-258, 1993; and Bruggermann *et al.*, *Year in Immuno.*, 7:33, 1993).

In one method, such transgenic animals are produced by incapacitating the endogenous loci encoding the heavy and light immunoglobulin chains therein, and inserting loci encoding human heavy and light chain proteins into the genome thereof. Partially modified animals, that is those having less than the full complement of modifications, are then cross-bred to obtain an animal having all of the desired immune system modifications.

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When administered an immunogen, these transgenic animals produce antibodies with human (rather than *e.g.*, murine) amino acid sequences, including variable regions which are immunospecific for these antigens. See PCT application nos. PCT/US96/05928 and PCT/US93/06926. Additional methods are described in U.S. Patent No. 5,545,807, PCT application nos. PCT/US91/245, PCT/GB89/01207, and in EP 546073B1 and EP 546073A1. Human antibodies may also be produced by the expression of recombinant DNA in host cells or by expression in hybridoma cells as described herein.

In an alternative embodiment, human antibodies can be produced from phage-display libraries (Hoogenboom *et al.*, *J. Mol. Biol.*, 227:381, 1991); and Marks *et al.*, *J. Mol. Biol.*, 222:581, 1991). These processes mimic immune selection through the display of antibody repertoires on the surface of filamentous bacteriophage, and subsequent selection of phage by their binding to an antigen of choice. One such technique is described in PCT Application No. PCT/US98/17364, which describes the isolation of high affinity and functional agonistic antibodies for MPL- and msk- receptors using such an approach. Chimeric, CDR grafted, and humanized antibodies are typically produced by recombinant methods. Nucleic acids encoding the antibodies are introduced into host cells and expressed using materials and procedures described herein. In a preferred embodiment, the antibodies are produced in mammalian host cells, such as CHO cells. Monoclonal (*e.g.*, human) antibodies may be produced by the expression of recombinant DNA in host cells or by expression in hybridoma cells as described herein.

The anti-NTR3 like antibodies of the invention may be employed in any known assay method, such as competitive binding assays, direct and indirect sandwich assays, and immunoprecipitation assays (Sola, *Monoclonal Antibodies: A Manual of Techniques*, pp. 147-158 (CRC Press, Inc., 1987)) for the detection and quantitation of NTR3 polypeptides. The antibodies will bind NTR3 polypeptides with an affinity which is appropriate for the assay method being employed.

For diagnostic applications, in certain embodiments anti-NTR3 antibodies typically may be labeled with a detectable moiety. The detectable moiety can be any one which is capable of producing, either directly or indirectly, a detectable signal. For example, the detectable moiety may be a radioisotope, such as  $^3\text{H}$ ,  $^{14}\text{C}$ ,  $^{32}\text{P}$ ,  $^{35}\text{S}$ , or  $^{125}\text{I}$ , a fluorescent or chemiluminescent compound, such as fluorescein isothiocyanate, rhodamine,

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or luciferin; or an enzyme, such as alkaline phosphatase,  $\beta$ -galactosidase, or horseradish peroxidase. (See Bayer *et al.*, *Meth. Enz.*, 184:138-163, 1990).

5 The anti-NTR3 antibodies of the invention may be employed in any known assay method, such as competitive binding assays, direct and indirect sandwich assays, and immunoprecipitation assays (Sola, *Monoclonal Antibodies: A Manual of Techniques*, pp. 147-158 (CRC Press, Inc., 1987)) for detection and quantitation of NTR3 polypeptides. The antibodies will bind NTR3 polypeptides with an affinity which is appropriate for the assay method being employed.

10 The activity of the cell lysate or purified NTR3 protein variant is then screened in a suitable screening assay for the desired characteristic. For example, a change in the binding affinity for a ligand or immunological character of the NTR3 protein, such as affinity for a given antibody, is measured by a competitive type immunoassay. Changes in immunomodulation activity are measured by the appropriate assay. Modifications of such protein properties as redox or thermal stability hydrophobicity, susceptibility to proteolytic degradation or the tendency to aggregate with carriers or into multimers are  
15 assayed by methods well known to the ordinarily skilled artisan. Competitive binding assays rely on the ability of a labeled standard (*e.g.*, a NTR3 polypeptide, or an immunologically reactive portion thereof) to compete with the test sample analyte (a NTR3 polypeptide) for binding with a limited amount of antibody. The amount of a NTR3 polypeptide in the test  
20 sample is inversely proportional to the amount of standard that becomes bound to the antibodies. To facilitate determining the amount of standard that becomes bound, the antibodies typically are insolubilized before or after the competition, so that the standard and analyte that are bound to the antibodies may conveniently be separated from the standard and analyte which remain unbound.

25 Sandwich immuno- assays typically involve the use of two antibodies, each capable of binding to a different immunogenic portion, or epitope, of the protein to be detected and/or quantitated. In a sandwich assay, the test sample analyte typically is bound by a first antibody which is immobilized on a solid support, and thereafter a second antibody binds to the analyte, thus forming an insoluble three-part complex. See, *e.g.*, U.S.  
30 Patent No. 4,376,110. The second antibody may itself be labeled with a detectable moiety (direct sandwich assays) or may be measured using an anti-immunoglobulin antibody that



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is labeled with a detectable moiety (indirect sandwich assays). For example, one type of sandwich assay is an enzyme-linked immunosorbant assay (ELISA), in which case the detectable moiety is an enzyme.

5           The selective binding agents, including anti-NTR3 antibodies, are also useful for *in vivo* imaging. An antibody labeled with a detectable moiety may be administered to an animal, preferably into the bloodstream, and the presence and location of the labeled antibody in the host is assayed. The antibody may be labeled with any moiety that is detectable in an animal, whether by nuclear magnetic resonance, radiology, or other detection means known in the art.

10           Selective binding agents of the invention, including antibodies, may be used as therapeutics. These therapeutic agents are generally agonists or antagonists, in that they either enhance or reduce, respectively, at least one of the biological activities of an NTR3 polypeptide. In one embodiment, antagonist antibodies of the invention are antibodies or binding fragments thereof which are capable of specifically binding to an NTR3 polypeptide and which are capable of inhibiting or eliminating the functional activity of an NTR3 polypeptide *in vivo* or *in vitro*. In preferred embodiments, the selective binding agent, *e.g.*,  
15           an antagonist antibody, will inhibit the functional activity of an NTR3 polypeptide by at least about 50%, and preferably by at least about 80%. In another embodiment, the selective binding agent may be an antibody that is capable of interacting with an NTR3 binding partner (a ligand or receptor) thereby inhibiting or eliminating NTR3 activity *in vitro* or *in vivo*. Selective binding agents, including agonist and antagonist anti-NTR3 antibodies, are identified by screening assays which are well known in the art.

20           The invention also relates to a kit comprising NTR3 selective binding agents (such as antibodies) and other reagents useful for detecting NTR3 polypeptide levels in biological samples. Such reagents may include, a detectable label, blocking serum, positive and negative control samples, and detection reagents.

25           NTR3 polypeptides can be used to clone NTR3 ligand(s) using an "expression cloning" strategy. Radiolabeled (125-Iodine) NTR3 polypeptide or "affinity/activity-tagged" NTR3 polypeptide (such as an Fc fusion or an alkaline phosphatase fusion) can be used in binding assays to identify a cell type or a cell line or  
30           tissue that expresses NTR3 ligand(s). RNA isolated from such cells or tissues can then be

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converted to cDNA, cloned into a mammalian expression vector, and transfected into mammalian cells (for example, COS, or 293) to create an expression library. Radiolabeled or tagged NTR3 polypeptide can then be used as an affinity reagent to identify and isolate the subset of cells in this library expressing NTR3 ligand(s). DNA is then isolated from these cells and transfected into mammalian cells to create a secondary expression library in which the fraction of cells expressing NTR3 ligand(s) would be many-fold higher than in the original library. This enrichment process can be repeated iteratively until a single recombinant clone containing an NTR3 ligand is isolated. Isolation of NTR3 ligand(s) is useful for identifying or developing novel agonists and antagonists of the NTR3 signaling pathway. Such agonists and antagonists include NTR3 ligand(s), anti-NTR3 ligand antibodies, small molecules or antisense oligonucleotides.

#### **Diagnostic Kits and Reagents**

This invention also contemplates use of NTR3 proteins, fragments thereof, peptides, binding compositions, and their fusion products in a variety of diagnostic kits and methods for detecting the presence of receptors and/or antibodies, or ligands. Typically the kit will have a compartment containing a NTR3 peptide or gene segment or a reagent which recognizes one or the other, *e.g.*, binding reagents.

A kit for determining the binding affinity of a ligand or test compound to the NTR3 would typically comprise a test compound; a labeled compound, for example an antibody having known binding affinity for the protein; or a source of ligand (naturally occurring or recombinant), and a means for separating bound from free labeled compound, such as a solid phase for immobilizing the ligand or receptor. Once compounds are screened, those having suitable binding affinity to the ligand or receptor can be evaluated in suitable biological assays, as are well known in the art, to determine whether they act as agonists or antagonists to the receptor. The availability of recombinant ligand or receptor polypeptides also provide well defined standards for calibrating such assays or as positive control samples.

A preferred kit for determining the concentration of, for example, NTR3 or ligand in a sample would typically comprise a labeled compound, *e.g.*, antibody, having known binding affinity for the target, a source of ligand or receptor (naturally occurring or

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recombinant), and a means for separating the bound from free labeled compound, for example, a solid phase for immobilizing the ligand or receptor. Compartments containing reagents, and instructions for use or disposal, will normally be provided.

Antibodies, including antigen binding fragments, specific for the ligand or receptor, or fragments are useful in diagnostic applications to detect the presence of elevated levels of ligand, receptor, and/or its fragments. Such diagnostic assays can employ lysates, live cells, fixed cells, immunofluorescence, cell cultures, body fluids, and further can involve the detection of antigens related to the ligand or receptor in serum, or the like. Diagnostic assays may be homogeneous (without a separation step between free reagent and antigen complex) or heterogeneous (with a separation step). Various commercial assays exist, such as radioimmunoassay (RIA), enzyme-linked immunosorbent assay (ELISA), enzyme immunoassay (EIA), enzyme-multiplied immunoassay technique (EMIT), substrate-labeled fluorescent immunoassay (SLFIA), and the like. For example, unlabeled antibodies can be employed by using a second antibody which is labeled and which recognizes the primary antibody to a ligand or receptor or to a particular fragment thereof. Similar assays have also been extensively discussed in the literature. *See, e.g., Harlow and Lane, Antibodies: A Laboratory Manual, Cold Spring Harbor Laboratory Press, 1988).*

Anti-idiotypic antibodies may have similar uses to diagnose presence of antibodies against a ligand or receptor, as such may be diagnostic of various abnormal states. For example, overproduction of a ligand or receptor may result in production of various immunological reactions which may be diagnostic of abnormal physiological states, particularly in various inflammatory or allergic conditions.

Frequently, the reagents for diagnostic assays are supplied in kits, so as to optimize the sensitivity of the assay. For the subject invention, depending upon the nature of the assay, the protocol, and the label, either labeled or unlabeled antibody or labeled ligand or receptor is provided. This is usually in conjunction with other additives, such as buffers, stabilizers, materials necessary for signal production such as substrates for enzymes, and the like. Preferably, the kit will also contain instructions for proper use and disposal of the contents after use. Typically the kit has compartments or containers for each useful reagent. Desirably, the reagents are provided as a dry lyophilized powder, where the

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reagents may be reconstituted in an aqueous medium providing appropriate concentrations of reagents for performing the assay.

The aforementioned constituents of the drug screening and the diagnostic assays may be used without modification or may be modified in a variety of ways. For example, labeling may be achieved by covalently or non-covalently joining a moiety which directly or indirectly provides a detectable signal. In any of these assays, the ligand, test compound, receptor, or antibodies thereto can be labeled either directly or indirectly. Possibilities for direct labeling include label groups: radiolabels such as <sup>125</sup>I, enzymes (U.S. Pat. No. 3,645,090) such as peroxidase and alkaline phosphatase, and fluorescent labels (U.S. Pat. No. 3,940,475) capable of monitoring the change in fluorescence intensity, wavelength shift, or fluorescence polarization. Possibilities for indirect labeling include biotinylation of one constituent followed by binding to avidin coupled to one of the above label groups.

There are also numerous methods of separating bound from the free ligand, or alternatively bound from free test compound. The ligand or receptor can be immobilized on various matrixes, perhaps with detergents or associated lipids, followed by washing. Suitable matrixes include plastic such as an ELISA plate, filters, and beads. Methods of immobilizing the ligand or receptor to a matrix include, without limitation, direct adhesion to plastic, use of a capture antibody, chemical coupling, and biotin-avidin. The last step in this approach may involve the precipitation of antigen/antibody complex by any of several methods including those utilizing, *e.g.*, an organic solvent such as polyethylene glycol or a salt such as ammonium sulfate. Other suitable separation techniques include, without limitation, the fluorescein antibody magnetizable particle method described in Rattle, *et al.*, *Clin. Chem.*, 30:1457-1461, 1984), and the double antibody magnetic particle separation as described in U.S. Pat. No. 4,659,6178, incorporated herein by reference.

Methods for linking proteins or their fragments to the various labels have been extensively reported in the literature and do not require detailed discussion here. Many of the techniques involve the use of activated carboxyl groups either through the use of carbodiimide or active esters to form peptide bonds, the formation of thioethers by reaction of a mercapto group with an activated halogen such as chloroacetyl, or an activated olefin

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such as maleimide, for linkage, or the like. Fusion proteins will also find use in these applications.

Nucleic acid molecules of the invention may be used to map the locations of the NTR3 gene and related genes on chromosomes. Mapping may be done by techniques known in the art, such as PCR amplification, in situ hybridization, and FISH.

This invention is also related to the use of the NTR3 gene as part of a diagnostic assay for detecting diseases or susceptibility to diseases related to the presence of mutated NTR3 gene. Such diseases are related to an abnormal expression of NTR3, for example, abnormal cellular proliferation such as tumors and cancers.

Individuals carrying mutations in the human NTR3 gene may be detected at the DNA level by a variety of techniques. Nucleic acids for diagnosis may be obtained from a patient's cells, such as from blood, urine, saliva, tissue biopsy and autopsy material. The genomic DNA may be used directly for detection or may be amplified enzymatically by using PCR (Saiki *et al.*, *Nature*, 324:163-166, 1986), prior to analysis. RNA or cDNA may also be used for the same purpose. As an example, PCR primers complementary to the nucleic acid encoding NTR3 polypeptide can be used to identify and analyze NTR3 mutations. For example, deletions and insertions can be detected by a change in size of the amplified product in comparison to the normal genotype. Point mutations can be identified by hybridizing amplified DNA to radiolabeled NTR3 RNA or alternatively radiolabeled NTR3 antisense DNA sequences. Perfectly matched sequences can be distinguished from mismatched duplexes by RNase A digestion or by differences in melting temperatures.

Genetic testing based on DNA sequence differences may be achieved by detection of alteration in electrophoretic mobility of DNA fragments in gels with or without denaturing agents. Small sequence deletions and insertions can be visualized by high resolution gel electrophoresis. DNA fragments of different sequences may be distinguished on denaturing, formamide gradient gels in which the mobilities of different DNA fragments are retarded in the gel at different positions according to their specific melting or partial melting temperatures (*see, e.g.*, Myers *et al.*, *Science*, 230:1242, 1985).

Sequence changes at specific locations may also be revealed by nuclease protection assays, such as RNase and S1 protection or the chemical cleavage method (*e.g.*, Cotton *et al.*, *Proc. Natl. Acad. Sci., USA*, 85:4397-4401, 1985).

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Thus, the detection of a specific DNA sequence may be achieved by methods such as hybridization, RNase protection, chemical cleavage, direct DNA sequencing or the use of restriction enzymes, (*e.g.*, Restriction Fragment Length Polymorphisms (RFLP)) and Southern blotting of genomic DNA.

5                   In addition to more conventional gel-electrophoresis and DNA sequencing, mutations can also be detected by *in situ* analysis.

                  The present invention also relates to a diagnostic assay for detecting altered levels of NTR3 protein in various tissues since an over-expression of the proteins compared to normal control tissue samples may detect the presence of a disease or susceptibility to a disease, for example, tumors, cerebral malaria and hereditary periodic fever syndromes. Assays used to detect levels of NTR3 protein in a sample derived from a host are well-known to those of skill in the art and include radioimmunoassays, competitive-binding assays, Western Blot analysis, ELISA assays and "sandwich" assay. An ELISA assay (Coligan, *et al.*, *Current Protocols in Immunology*, 1(2), Chapter 6, 1991) partially  
10                   comprises preparing an antibody specific to the NTR3 antigen, preferably a monoclonal antibody. In addition a reporter antibody is prepared against the monoclonal antibody. To the reporter antibody is attached a detectable reagent such as radioactivity, fluorescence or in this example a horseradish peroxidase enzyme. A sample is now removed from a host and incubated on a solid support, *e.g.*, a polystyrene dish, that binds the proteins in the  
15                   sample. Any free protein binding sites on the dish are then covered by incubating with a non-specific protein like bovine serum albumin (BSA). Next, the monoclonal antibody is incubated in the dish during which time the monoclonal antibodies attach to any NTR3 proteins attached to the polystyrene dish. All unbound monoclonal antibody is washed out with buffer. The reporter antibody linked to horseradish peroxidase is now placed in the  
20                   dish resulting in binding of the reporter antibody to any monoclonal antibody bound to NTR3. Unattached reporter antibody is then washed out. Peroxidase substrates are then added to the dish and the amount of color developed in a given time period is a measurement of the amount of NTR3 protein present in a given volume of patient sample when compared against a standard curve.

30                   A competition assay may be employed wherein antibodies specific to NTR3 are attached to a solid support and labeled NTR3 and a sample derived from the host are

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passed over the solid support and the amount of label detected, for example, by liquid scintillation chromatography, can be correlated to a quantity of NTR3 in the sample. In addition, a "sandwich" immuno-assay as described above may also be carried out to quantify the amount of NTR3 ligand in a biological sample.

5           The sequences of the present invention are also valuable for chromosome identification and mapping. The sequence can be specifically targeted to and can hybridize with a particular location on an individual human chromosome. Moreover, there is a current need for identifying particular sites on the chromosome wherein a gene can be localized. Few chromosome marking reagents based on actual sequence data (repeat  
10 polymorphisms) are presently available for marking chromosomal location. The mapping of DNAs to chromosomes according to the present invention is an important first step in correlating those sequences with genes associated with disease.

Briefly, sequences can be mapped to chromosomes by preparing PCR  
15 primers (preferably 15-25 bp) from the cDNA. Computer analysis of the 3'- untranslated region of the sequence is used to rapidly select primers that do not span more than one exon in the genomic DNA, thus complicating the amplification process. These primers are then used for PCR screening of somatic cell hybrids containing individual human chromosomes. Only those hybrids containing the human gene corresponding to the primer will yield an amplified fragment.

20           PCR mapping of somatic cell hybrids is a rapid procedure for assigning a particular DNA to a particular chromosome. Using the present invention with the same oligonucleotide primers, sublocalization can be achieved with panels of fragments from specific chromosomes or pools of large genomic clones in an analogous manner. Other mapping strategies that can similarly be used to map NTR3 ligand to its chromosome  
25 include *in situ* hybridization, prescreening with labeled flow-sorted chromosomes and preselection by hybridization to construct chromosome specific-cDNA libraries.

Fluorescence *in situ* hybridization (FISH) of a cDNA clone to a metaphase  
chromosomal spread can be used to provide a precise chromosomal location in one step. This technique can be used with cDNA as short as 500 or 600 bases; however, clones larger  
30 than 2,000 bp have a higher likelihood of binding to a unique chromosomal location with sufficient signal intensity for simple detection. FISH requires use of genomic clones or

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clones from which the express sequence tag (EST) was derived, and the longer the better. For example, 2,000 bp is good, 4,000 is better, and more than 4,000 is probably not necessary to get good results a reasonable percentage of the time. For a review of this technique see Verma *et al.*, *Human Chromosomes: A Manual of Basic Techniques*,  
5 Pergamon Press, New York, NY, 1988.

Once a sequence has been mapped to a precise chromosomal location, the physical position of the sequence on the chromosome can be correlated with genetic map data. Such data are found, for example, in V. McKusick, *Mendelian Inheritance in Man* (available on line through Johns Hopkins University Welch Medical Library). The  
10 relationship between genes and diseases that have been mapped to the same chromosomal region are then identified through linkage analysis (coinheritance of physically adjacent genes).

Next, it is necessary to determine the differences in the cDNA or genomic sequence between affected and unaffected individuals. If a mutation is observed in some  
15 or all of the affected individuals but not in any normal individuals, then the mutation is likely to be the causative agent of the disease.

With current resolution of physical mapping and genetic mapping techniques, a cDNA precisely localized to a chromosomal region associated with the disease could be one of between 50 and 500 potential causative genes. (This assumes 1 megabase  
20 mapping resolution and one gene per 20 kb).

The nucleic acid molecule(s) of the present invention are also useful as anti-sense inhibitors of NTR3 expression. Such inhibition may be effected by nucleic acid molecules which are complementary to and hybridize to expression control sequences (triple helix formation)-or to NTR3 mRNA. Anti-sense probes may be designed by  
25 available techniques using the sequence of NTR3 disclosed herein. Anti-sense inhibitors provide information relating to the decrease or absence of a NTR3 polypeptide in a cell or organism.

The nucleic acid molecules of the invention may be used for gene therapy. Nucleic acid molecules which express NTR3 *in vivo* provide information relating to the  
30 effects of the polypeptide in cells or organisms. NTR3 nucleic acid molecules, fragments, and/or derivatives that do not themselves encode biologically active polypeptides may be



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useful as hybridization probes in diagnostic assays to test, either qualitatively or quantitatively, for the presence of NTR3 DNA or corresponding RNA in mammalian tissue or bodily fluid samples.

5 NTR3 polypeptide fragments, variants, and/or derivatives, whether biologically active or not, are useful for preparing antibodies that bind to an NTR3 polypeptide. The antibodies may be used for *in vivo* and *in vitro* diagnostic purposes, such as in labeled form to detect the presence of NTR3 polypeptide in a body fluid or cell sample. The antibodies may bind to an NTR3 polypeptide so as to diminish or block at least one activity characteristic of an NTR3 polypeptide, or may bind to a polypeptide to  
10 increase an activity.

#### **Assays for Modulators of NTR3 Polypeptide Activity**

In some situations, it may be desirable to identify molecules that are modulators, *i.e.*, agonists or antagonists, of the activity of NTR3 polypeptide. Natural or synthetic molecules that modulate NTR3 polypeptide may be identified using one or more  
15 of the screening assays, such as those described herein. Such molecules may be administered either in an *ex vivo* manner, or in an *in vivo* manner by local or intravenous (iv) injection, or by oral delivery, implantation device, or the like.

"Test molecule(s)" refers to the molecule(s) that is/are under evaluation for the ability to modulate (*i.e.*, increase or decrease) the activity of an NTR3 polypeptide.  
20 Most commonly, a test molecule will interact directly with an NTR3 polypeptide. However, it is also contemplated that a test molecule may also modulate NTR3 polypeptide activity indirectly, such as by affecting NTR3 gene expression, or by binding to an NTR3 binding partner (*e.g.*, receptor or ligand). In one embodiment, a test molecule will bind to an NTR3 polypeptide with an affinity constant of at least about  $10^{-6}$  M, preferably about  $10^{-8}$  M, more preferably about  $10^{-9}$  M, and even more preferably about  $10^{-10}$  M.  
25

Methods for identifying compounds which interact with NTR3 polypeptides are encompassed by the invention. In certain embodiments, a NTR3 polypeptide is incubated with a test molecule under conditions which permit the interaction of the test molecule to NTR3 polypeptide, and the extent of interaction can be measured. The test  
30 molecules can be screened in a substantially purified form or in a crude mixture.

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In certain embodiments, a NTR3 polypeptide agonist or antagonist may be a protein, peptide, carbohydrate, lipid, or small molecular weight molecule which interacts with NTR3 polypeptide to regulate its activity. Molecules which regulate NTR3 polypeptide expression include nucleic acids which are complementary to nucleic acids encoding a NTR3 polypeptide, or are complementary to nucleic acid sequences which direct or control the expression of NTR3 polypeptide, and which act as anti-sense regulators of expression.

The measurement of the interaction of test molecules with NTR3 polypeptides may be carried out in several formats, including cell-based binding assays, membrane binding assays, solution-phase assays and immunoassays. In general, test molecules are incubated with a NTR3 polypeptide for a specified period of time and NTR3 polypeptide activity is determined by one or more assays measuring biological activity.

The interaction of test molecules with NTR3 polypeptides may also be assayed directly using polyclonal or monoclonal antibodies in an immunoassay. Alternatively, modified forms of NTR3 polypeptides containing epitope tags as described herein may be used in immunoassays.

Homogeneous assay technologies for radioactivity (SPA; Amersham) and time resolved fluorescence (HTRF, Packard) can also be implemented. Binding can be detected by labeling with radioactive isotopes ( $^{125}\text{I}$ ,  $^{35}\text{S}$ ,  $^3\text{H}$ ), fluorescent dyes (fluorescein), lanthanides such as Europium ( $\text{Eu}^{3+}$ ) chelates or cryptates, orbipyridyl-ruthenium ( $\text{Ru}^{2+}$ ) complexes. It is understood that the choice of a labeled probe will depend upon the detection system used. Alternatively, a NTR3 polypeptide may be modified with an unlabeled epitope tag (*e.g.*, biotin, peptides, His6, myc, Fc) and bound to proteins such as streptavidin, anti-peptide or anti-protein antibodies which have a detectable label as described above.

Binding of test molecules to NTR3 polypeptides may also be assayed directly using polyclonal or monoclonal antibodies in an immunoassay. Alternatively, modified forms of NTR3 polypeptides containing epitope tags as described above may be used in solution and immunoassays.

In one embodiment, a NTR3 agonist or antagonist may be a protein, peptide, carbohydrate, lipid or small molecular weight molecule which interacts with NTR3 to

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regulate its activity. Potential protein antagonists of NTR3 include antibodies which bind to active regions of the polypeptide and inhibit or eliminate at least one activity of NTR3. Molecules which regulate NTR3 polypeptide expression may include nucleic acids which are complementary to nucleic acids encoding a NTR3 polypeptide, or are complementary to nucleic acids sequences which direct or control expression of polypeptide, and which act as anti-sense regulators of expression.

In the event that NTR3 polypeptides display biological activity through an interaction with a binding partner (e.g., a receptor or a ligand), a variety of *in vitro* assays may be used to measure the binding of a NTR3 polypeptide to a corresponding binding partner (such as a selective binding agent or ligand). These assays may be used to screen test molecules for their ability to increase or decrease the rate and/or the extent of binding of a NTR3 polypeptide to its binding partner. In one assay, a NTR3 polypeptide is immobilized in the wells of a microtiter plate. Radiolabeled NTR3 binding partner (for example, iodinated NTR3 binding partner) and the test molecule(s) can then be added either one at a time (in either order) or simultaneously to the wells. After incubation, the wells can be washed and counted (using a scintillation counter) for radioactivity to determine the extent of binding to which the binding partner bound to NTR3 polypeptide. Typically, the molecules will be tested over a range of concentrations, and a series of control wells lacking one or more elements of the test assays can be used for accuracy in the evaluation of the results. An alternative to this method involves reversing the "positions" of the proteins, i.e., immobilizing NTR3 binding partner to the microtiter plate wells, incubating with the test molecule and radiolabeled NTR3 and determining the extent of NTR3 binding (See, for example, Chapter 18 of Ausubel *et al.*, eds., *Current Protocols in Molecular Biology*, John Wiley & Sons, New York, NY, 1995).

As an alternative to radiolabeling, an NTR3 polypeptide or its binding partner may be conjugated to biotin and the presence of biotinylated protein can then be detected using streptavidin linked to an enzyme, such as horseradish peroxidase (HRP) or alkaline phosphatase (AP), that can be detected colorometrically, or by fluorescent tagging of streptavidin. An antibody directed to an NTR3 polypeptide or to an NTR3 binding partner and is conjugated to biotin may also be used and can be detected after incubation with enzyme-linked streptavidin linked to AP or HRP

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A NTR3 polypeptide and a NTR3 binding partner can also be immobilized by attachment to agarose beads, acrylic beads or other types of such inert solid substrates. The substrate-protein complex can be placed in a solution containing the complementary protein and the test compound. After incubation, the beads can be precipitated by centrifugation, and the amount of binding between an NTR3 polypeptide and its binding partner can be assessed using the methods described herein. Alternatively, the substrate-protein complex can be immobilized in a column and the test molecule and complementary protein are passed through the column. The formation of a complex between an NTR3 polypeptide and its binding partner can then be assessed using any of the techniques set forth herein, *i.e.*, radiolabeling, antibody binding, or the like.

Another *in vitro* assay that is useful for identifying a test molecule which increases or decreases the formation of a complex between a NTR3 binding protein and a NTR3 binding partner is a surface plasmon resonance detector system such as the BIAcore assay system (Pharmacia, Piscataway, NJ). The BIAcore system may be carried out using the manufacturer's protocol. This assay essentially involves the covalent binding of either NTR3 polypeptide or a NTR3 binding partner to a dextran-coated sensor chip which is located in a detector. The test compound and the other complementary protein can then be injected either simultaneously or sequentially into the chamber containing the sensor chip. The amount of complementary protein that binds can be assessed based on the change in molecular mass which is physically associated with the dextran-coated side of the sensor chip; the change in molecular mass can be measured by the detector system.

In some cases, it may be desirable to evaluate two or more test compounds together for their ability to increase or decrease the formation of a complex between a NTR3 polypeptide and a NTR3 binding partner complex. In these cases, the assays described herein can be readily modified by adding such additional test compound(s) either simultaneous with, or subsequent to, the first test compound. The remainder of the steps in the assay are as set forth herein.

*In vitro* assays such as those described herein may be used advantageously to screen rapidly large numbers of compounds for effects on complex formation by NTR3 and NTR3 binding partner. The assays may be automated to screen compounds generated in phage display, synthetic peptide and chemical synthesis libraries.

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Compounds which increase or decrease the formation of a complex between a NTR3 polypeptide and a NTR3 binding partner may also be screened in cell culture using cells and cell lines expressing either NTR3 polypeptide or NTR3 binding partner. Cells and cell lines may be obtained from any mammal, but preferably will be from human or other primate, canine, or rodent sources. The binding of an NTR3 polypeptide to cells expressing NTR3 binding partner at the surface is evaluated in the presence or absence of test molecules and the extent of binding may be determined by, for example, flow cytometry using a biotinylated antibody to an NTR3 binding partner. Cell culture assays may be used advantageously to further evaluate compounds that score positive in protein binding assays described above.

Cell cultures can also be used to screen the impact of a drug candidate. For example, drug candidates may decrease or increase the expression of the NTR3 gene. In certain embodiments, the amount of NTR3 polypeptide that is produced may be measured after exposure of the cell culture to the drug candidate. In certain embodiments, one may detect the actual impact of the drug candidate on the cell culture. For example, the overexpression of a particular gene may have a particular impact on the cell culture. In such cases, one may test a drug candidate's ability to increase or decrease the expression of the gene or its ability to prevent or inhibit a particular impact on the cell culture. In other examples, the production of a particular metabolic product such as a fragment of a polypeptide, may result in, or be associated with, a disease or pathological condition. In such cases, one may test a drug candidate's ability to decrease the production of such a metabolic product in a cell culture.

A yeast two hybridtwo-hybrid system (Chien *et al.*, *Proc. Natl. Acad. Sci. USA*, 88:9578-9583, 1991) can be used to identify novel polypeptides that bind to, or interact with, NTR3 polypeptides. As an example, hybrid constructs comprising DNA encoding a cytoplasmic domain of an NTR3 polypeptide fused to a yeast GAL4-DNA binding domain may be used as a two-hybrid bait plasmid. Positive clones emerging from the screening may be characterized further to identify interacting proteins.

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### P38 Inhibitors

A new approach to intervention between the extracellular stimulus and the secretion of IL-1 and TNF $\alpha$  from the cell involves blocking signal transduction through inhibition of a kinase which lies on the signal pathway. One example is through inhibition of P-38 (also called "RK" or "SAPK-2", Lee *et al.*, *Nature*, 372:739, 1994), a known ser/thr kinase (clone reported in Han *et al.*, *Biochimica Biophysica Acta*, 1265:224-227 1995). A linear relationship has been shown for effectiveness in a competitive binding assay to P-38, and the same inhibitor diminishing the levels of IL-1 secretion from monocytes following LPS stimulation. Following LPS stimulation of monocytes, the levels of messenger RNA for TNF $\alpha$  have been shown to increase 100 fold, but the protein levels of TNF-a are increased 10,000 fold. Thus, a considerable amplification of the TNF signaling occurs at the translational level. Following LPS stimulation of monocytes in the presence of a P-38 inhibitor, the levels of mRNA are not affected, but the levels of final TNF protein are dramatically reduced (up to 80-90% depending on the effectiveness of the P-38 inhibitor). Thus, the above experiments lend strong support to the conclusion that inhibition of P-38 leads to diminished translational efficiency. Further evidence that TNF $\alpha$  is under translational control is found in the deletion experiments of Beutler *et al.* and Lee, wherein segments of 3' untranslated mRNA (3' UTR) are removed resulting in high translational efficiency for TNF $\alpha$ . More importantly, the P-38 inhibitors did not have an effect on the level of TNF $\alpha$  (*i.e.*, translational efficiency) when the appropriate segments of TNF $\alpha$  mRNA are deleted. Thus, the correlative data between the level of binding of inhibitors to P-38 and the diminished IL-1 and TNF $\alpha$  levels following LPS stimulation with the same inhibitors, plus the above biochemical evidence regarding the effect of P-38 inhibitors on translational efficiency of both TNF $\alpha$  and IL-1 make a strong cause and effect relationship. The role of P-38 in the cell is still being delineated; so therefore, other beneficial effects regarding inflammatory diseases or other disease states obtained from its inhibition maybe forthcoming.

Elevated levels of TNF $\alpha$  and/or IL-1 may contribute to the onset, etiology, or exacerbate a number of disease states, including, but not limited to: rheumatoid arthritis; osteoarthritis; rheumatoid spondylitis; gouty arthritis; inflammatory bowel disease; adult respiratory distress syndrome (ARDS); psoriasis; Crohn's disease; allergic rhinitis;

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ulcerative colitis; anaphylaxis; contact dermatitis; asthma; antiviral therapy including those viruses sensitive to TNF $\alpha$  inhibition - HIV-1, HIV-2, HIV-3, cytomegalovirus (CMV), influenza, adenovirus, and the herpes viruses including HSV-1, HSV-2, and herpes zoster; muscle degeneration; cachexia; Reiter's syndrome; type II diabetes; bone resorption diseases; graft vs. host reaction; ischemia reperfusion injury; atherosclerosis; brain trauma; Alzheimer's disease; multiple sclerosis; cerebral malaria; sepsis; septic shock; toxic shock syndrome; fever and mylagias due to infection.

Substituted imidazole, pyrrole, pyridine, pyrimidine and the like compounds have been described for use in the treatment of cytokine mediated diseases by inhibition of proinflammatory cytokines, such as IL-1, IL-6, IL-8 and TNF. Substituted imidazoles for use in the treatment of cytokine mediated diseases have been described in U.S. Patent No. 5,593,992; WO 93/14081; WO 97/18626; WO 96/21452; WO 96/21654; WO 96/40143; WO 97/05878; WO 97/05878; (each of which is incorporated herein by reference in its entirety). Substituted imidazoles for use in the treatment of inflammation has been described in US Pat. No. 3,929,807 (which is incorporated herein by reference in its entirety). Substituted pyrrole compounds for use in the treatment of cytokine mediated diseases have been described in WO 97/05877; WO 97/05878; WO 97/16426; WO 97/16441; and WO 97/16442 (each of which is incorporated herein by reference in its entirety). Substituted aryl and heteroaryl fused pyrrole compounds for use in the treatment of cytokine mediated diseases have been described in WO 98/22457 (which is incorporated herein by reference in its entirety). Substituted pyridine, pyrimidine, pyrimidinone and pyridazine compounds for use in the treatment of cytokine mediated diseases have been described in WO 98/24780; WO 98/24782; WO 99/24404; and WO 99/32448 (each of which is incorporated herein by reference in its entirety).

### **Internalizing Proteins**

The *TAT* protein sequence (from HIV) can be used to internalize proteins into a cell by targeting the lipid bi-layer component of the cell membrane. See e.g., Falwell *et al.*, *Proc. Natl. Acad. Sci.*, 91: 664-668, 1994. For example, an 11 amino acid sequence (YGRKKRRQRRR; SEQ ID NO: 5) of the HIV *TAT* protein (termed the "protein transduction domain", or *TAT* PDT) has been shown to mediate delivery of large

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bioactive proteins such as  $\beta$ -galactosidase and p27Kip across the cytoplasmic membrane and the nuclear membrane of a cell. See Schwarze *et al.*, *Science*, 285: 1569-1572, 1999; and Nagahara *et al.*, *Nature Medicine*, 4: 1449-1452, 1998. Schwartz *et al.* (*Science*, 285: 1569-72, 1999) demonstrated that cultured cells acquired  $\beta$ -gal activity when exposed to a fusion of the TAT PDT and  $\beta$ -galactosidase. Injection of mice with the TAT- $\beta$ -gal fusion proteins resulted in  $\beta$ -gal expression in a number of tissues, including liver, kidney, lung, heart, and brain tissue.

It will thus be appreciated that the TAT protein sequence may be used to internalize a desired protein or polypeptide into a cell. In the context of the present invention, the TAT protein sequence can be fused to another molecule such as a NTR3 antagonist (*i.e.* anti-NTR3 selective binding agent or small molecule) and administered intracellularly to inhibit the activity of the NTR3 molecule. Where desired, the NTR3 protein itself, or a peptide fragment or modified form of NTR3, may be fused to such a protein transducer for administering to cells using the procedures, described above.

### 15      Therapeutic Uses

Members of the TNF ligand family have been implicated in mediation of a number of diseases. The pleiotropic nature of the TNF and related ligand family members prevents generalization about whether a particular polypeptide is beneficial or injurious. It is clear that in some instances, the local effects of TNF and other members of the TNF-ligand family of cytokines improve host defense mechanisms by mobilizing substrate, increasing immune cell function, stimulating inflammation, and in killing cancer cells. However, in other cases the toxicity of TNF and related cytokines may cause disease by mediating shock, tissue injury, or catabolic injury. There are many diseases wherein injury that is mediated by members of the TNF ligand family may be treated or ameliorated by the administration of soluble forms of the receptor or other ligand binding molecules. These diseases include acquired-immunodeficiency syndrome (AIDS), anemia, autoimmune diseases, cachexia, cancer, cerebral malaria, diabetes mellitus, disseminated intravascular coagulopathy, erythroid sick syndrome, hemorrhagic shock, hepatitis, insulin resistance, leprosy, leukemia, lymphoma, meningitis, multiple sclerosis, myocardial ischaemia, obesity,



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rejection of transplanted organs, rheumatoid arthritis, septic shock syndrome, stroke, adult respiratory distress syndrome (ARDS), tuberculosis, and a number of viral diseases.

### **NTR3 Compositions and Administration**

Pharmaceutical compositions of NTR3 polypeptides are within the scope of the present invention for prophylactic and therapeutic treatment of humans and animals for indications resulting from abnormal expression of NTR3 ligand or where it is determined that administration of NTR3 ligand polypeptide will result in the amelioration or cure of the indications. Such compositions may comprise a therapeutically effective amount of a NTR3 polypeptide and/or its binding partner, or therapeutically active fragment(s), variant(s), or derivative(s) thereof in admixture with a pharmaceutically acceptable additives and/or carriers. Suitable formulation materials or pharmaceutically acceptable agents include, but are not limited to, antioxidants, preservatives, colors, flavoring, and diluting agents, emulsifying agents, suspending agents, solvents, fillers, bulking agents, buffers, delivery vehicles, diluents, excipients, and/or pharmaceutical adjuvants. Typically, a therapeutic compound containing NTR3 polypeptide(s) will be administered in the form of a composition comprising purified polypeptide, fragment(s), variant(s), or derivative(s) in conjunction with one or more physiologically acceptable carriers, excipients, or diluents. For example, a suitable vehicle may be water for injection, physiological solution, or artificial cerebrospinal fluid possibly supplemented with other materials common in compositions for parenteral delivery.

Neutral buffered saline or saline mixed with serum albumin are exemplary appropriate carriers. Preferably, the product is formulated as a lyophilizate using appropriate excipients (*e.g.*, sucrose). Other standard carriers, diluents, and excipients may be included as desired. Other exemplary compositions comprise Tris buffer of about pH 7.0-8.5, or acetate buffer of about pH 4.0-5.5, which may further include sorbitol or a suitable substitute therefor. The pH of the solution should also be selected based on the relative solubility of NTR3 ligand at various pHs.

The primary solvent in a composition may be either aqueous or non-aqueous in nature. In addition, the vehicle may contain other formulation materials for modifying or maintaining the pH, osmolarity, viscosity, clarity, color, sterility, stability, isotonicity,

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rate of dissolution, or odor of the formulation. Similarly, the composition may contain additional formulation materials for modifying or maintaining the rate of release of NTR3 protein, or for promoting the absorption or penetration of NTR3 protein.

5 Compositions comprising the NTR3 polypeptide compositions can be administered parentally. Alternatively, the compositions may be administered intravenously or subcutaneously. When systemically administered, the therapeutic compositions for use in this invention may be in the form of a pyrogen-free, parentally acceptable aqueous solution. The preparation of such pharmaceutically acceptable protein solutions, with due regard to pH, isotonicity, stability and the like, is within the skill of the art.

10 Therapeutic formulations of NTR3 polypeptide compositions useful for practicing the present invention may be prepared for storage by mixing the selected composition having the desired degree of purity with optional physiologically acceptable carriers, excipients, or stabilizers (*Remington's Pharmaceutical Sciences*, 18th Edition, A.R. Gennaro, *ed.*, Mack Publishing Company, 1990) in the form of a lyophilized cake or an aqueous solution.

15 Acceptable carriers, excipients or stabilizers are nontoxic to recipients and are preferably inert at the dosages and concentrations employed, and include buffers such as phosphate, citrate, or other organic acids; antioxidants such as ascorbic acid; low molecular weight polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, arginine or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; salt-forming counterions such as sodium; and/or nonionic surfactants such as Tween, pluronics or polyethylene glycol (PEG).

25 An effective amount of the NTR3 polypeptide(s) composition to be employed therapeutically will depend, for example, upon the therapeutic objectives such as the indication for which the composition is being used, the route of administration (*e.g.*, whether it is administered locally or systemically), and the condition of the patient (*e.g.*, patient's general health, anaureusis, age, weight, sex). It is essential, when determining the therapeutically effective dose, to take into account the quantity of NTR3 or other members of the TNF family of ligand secreted which are responsible for the disease as well

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as the quantity of endogenous NTR3 . Basically, it can be assumed that for effective treatment of a disease triggered by the secretion of the cytokine(s), at least the same molar amount of the NTR3 polypeptide(s) is required as quantity of ligand secreted, and possibly a multiple excess might be needed, although less may be needed depending on the nature of the specific ligand involved and the nature of its interaction with NTR3 . Accordingly, it will be necessary for the therapist to titer the dosage and/or *in vivo* modify the route of administration as required to obtain the optimal therapeutic effect. A typical daily dosage may range from about 0.1 mg/kg to up to 100 mg/kg or more, depending on the factors mentioned above. Typically, a clinician will administer the composition until a dosage is reached that achieves the desired effect. The composition may therefore be administered as a single dose, or as two or more doses (which may or may not contain the same amount of NTR3 polypeptide) over time, or as a continuous infusion via implantation device or catheter.

As further studies are conducted, information will emerge regarding appropriate dosage levels for treatment of various conditions in various patients, and the ordinary skilled worker, considering the therapeutic context, the type of disorder under treatment, the age and general health of the recipient, will be able to ascertain proper dosing.

The NTR3 polypeptide composition to be used for *in vivo* administration must be sterile. This is readily accomplished by filtration through sterile filtration membranes. Where the composition is lyophilized, sterilization using this method may be conducted either prior to or following lyophilization and reconstitution. The composition for parenteral administration ordinarily will be stored in lyophilized form or in solution.

Therapeutic compositions generally are placed into a container having a sterile access port, for example, an intravenous solution bag or vial having a stopper pierceable by a hypodermic injection needle.

Once the pharmaceutical composition has been formulated, it may be stored in sterile vials as a solution, suspension, gel, emulsion, solid, or as a dehydrated or lyophilized powder. Such formulations may be stored either in a ready-to-use form or in a form (*e.g.*, lyophilized) requiring reconstitution prior to administration.

Effective administration forms, such as (1) slow-release formulations, (2) inhalant mists, or (3) orally active formulations are also envisioned. Pharmaceutical

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compositions comprising therapeutically effective dose of the NTR3 polypeptide also may be formulated for parenteral administration. Such parenterally administered therapeutic compositions are typically in the form of a pyrogen-free, parenterally acceptable aqueous solution comprising NTR3 in a pharmaceutically acceptable vehicle. The NTR3  
5 pharmaceutical compositions also may include particulate preparations of polymeric compounds such as polylactic acid, polyglycolic acid, etc. or the introduction of NTR3 into liposomes. Hyaluronic acid may also be used, and this may have the effect of promoting sustained duration in the circulation.

A particularly suitable vehicle for parenteral injection is sterile distilled  
10 water in which NTR3 is formulated as a sterile, isotonic solution, properly preserved. Yet another preparation may involve the formulation of NTR3 with an agent, such as injectable microspheres, bio-erodible particles or beads, or liposomes, that provides for the controlled or sustained release of the protein product which may then be delivered as a depot injection. Other suitable means for the introduction of NTR3 include implantable drug delivery  
15 devices which contain the NTR3 and/or its binding partner.

The preparations of the present invention may include other components, for example parenterally acceptable preservatives, tonicity agents, cosolvents, wetting agents, complexing agents, buffering agents, antimicrobials, antioxidants and surfactants, as are well known in the art. For example, suitable tonicity enhancing agents include alkali metal  
20 halides (preferably sodium or potassium chloride), mannitol, sorbitol and the like. Suitable preservatives include, but are not limited to, benzalkonium chloride, thimerosal, phenethyl alcohol, methylparaben, propylparaben, chlorhexidine, sorbic acid and the like. Hydrogen peroxide may also be used as preservative. Suitable cosolvents are for example glycerin, propylene glycol and polyethylene glycol. Suitable complexing agents are for example  
25 caffeine, polyvinylpyrrolidone, beta-cyclodextrin or hydroxypropyl-beta-cyclodextrin. Suitable surfactants or wetting agents include sorbitan esters, polysorbates such as polysorbate 80, tromethamine, lecithin, cholesterol, tyloxapal and the like. The buffers can be conventional buffers such as borate, citrate, phosphate, bicarbonate, or Tris-HCl.

The formulation components are present in concentration that are acceptable  
30 to the site of administration. For example, buffers are used to maintain the composition at

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physiological pH or at slightly lower pH, typically within a pH range of from about 5 to about 8.

When parenteral administration is contemplated, the therapeutic compositions for use in this invention may be in the form of a pyrogen-free, parenterally acceptable aqueous solution comprising the desired NTR3 molecule in a pharmaceutically acceptable vehicle. A particularly suitable vehicle for parenteral injection is sterile distilled water in which an NTR3 molecule is formulated as a sterile, isotonic solution, properly preserved. Yet another preparation can involve the formulation of the desired molecule with an agent, such as injectable microspheres, bio-erodible particles, polymeric compounds (such as polylactic acid or polyglycolic acid), or beads or liposomes, that provides for the controlled or sustained release of the product which may then be delivered via a depot injection. Hyaluronic acid may also be used, and this may have the effect of promoting sustained duration in the circulation. Other suitable means for the introduction of the desired molecule include implantable drug delivery devices.

A pharmaceutical composition may be formulated for inhalation. For example, NTR3 may be formulated as a dry powder for inhalation. Inhalation NTR3 polypeptide or NTR3 nucleic acid solutions may also be formulated with a propellant for aerosol delivery. In yet another embodiment, solutions may be nebulized. Pulmonary administration is further described in PCT Appl. No. PCT/US/001875, which describes pulmonary delivery of chemically modified proteins.

It is also contemplated that certain formulations containing NTR3 may be administered orally. In one embodiment, NTR3 molecules which are administered in this fashion may be formulated with or without those carriers customarily used in the compounding of solid dosage forms such as tablets and capsules. For example, a capsule may be designed to release the active portion of the formulation at the point in the gastrointestinal tract when bioavailability is maximized and pre-systemic degradation is minimized. Additional agents can be included to facilitate absorption of the NTR3 receptor polypeptide. Diluents, flavorings, low melting point waxes, vegetable oils, lubricants, suspending agents, tablet disintegrating agents, and binders may also be employed.

Another pharmaceutical composition may involve an effective quantity of NTR3 in a mixture with non-toxic excipients which are suitable for the manufacture of

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tablets. By dissolving the tablets in sterile water, or another appropriate vehicle, solutions can be prepared in unit-dose form. Suitable excipients include, but are not limited to, inert diluents, such as calcium carbonate, sodium carbonate or bicarbonate, lactose, or calcium phosphate; or binding agents, such as starch, gelatin, or acacia; or lubricating agents such as magnesium stearate, stearic acid, or talc.

Additional NTR3 pharmaceutical composition will be evident to those skilled in the art, including formulations involving NTR3 polypeptides in sustained- or controlled-delivery formulations. Techniques for formulating a variety of other sustained- or controlled-delivery means, such as liposome carriers, bio-erodible microparticles or porous beads and depot injections, are also known to those skilled in the art. *See*, for example, the PCT Appl. No. PCT/US93/00829 which describes controlled release porous polymeric microparticles for the delivery of pharmaceutical compositions. Additional examples include semipermeable polymer matrices in the form of shaped articles, *e.g.* films or microcapsules.

In a specific embodiment, the present invention is directed to kits for producing a single-dose administration unit. The kits may each contain both a first container having a dried protein and a second container having an aqueous formulation. Also included within the scope of this invention are kits containing single and multi-chambered pre-filled syringes (*e.g.*, liquid syringes and lyosyringes).

The effective amount of an NTR3 pharmaceutical composition to be employed therapeutically will depend, for example, upon the therapeutic context and objectives. One skilled in the art will appreciate that the appropriate dosage levels for treatment will thus vary depending, in part, upon the molecule delivered, the indication for which the NTR3 molecule is being used, the route of administration, and the size (body weight, body surface or organ size) and condition (the age and general health) of the patient. Accordingly, the clinician may titer the dosage and modify the route of administration to obtain the optimal therapeutic effect. A typical dosage may range from about 0.1 mg/kg to up to about 100 mg/kg or more, depending on the factors mentioned above. In other embodiments, the dosage may range from 0.1 mg/kg up to about 100 mg/kg; or 1 mg/kg up to about 100 mg/kg; or 5 mg/kg up to about 100 mg/kg.

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The frequency of dosing will depend upon the pharmacokinetic parameters of the NTR3 molecule in the formulation used. Typically, a clinician will administer the composition until a dosage is reached that achieves the desired effect. The composition may therefore be administered as a single dose, or as two or more doses (which may or may not contain the same amount of the desired molecule) over time, or as a continuous infusion via an implantation device or catheter. Further refinement of the appropriate dosage is routinely made by those of ordinary skill in the art and is within the ambit of tasks routinely performed by them. Appropriate dosages may be ascertained through use of appropriate dose-response data.

The route of administration of the pharmaceutical composition is in accord with known methods, *e.g.* orally, through injection by intravenous, intraperitoneal, intracerebral (intra-parenchymal), intracerebroventricular, intramuscular, intra-ocular, intraarterial, intraportal, or intralesional routes, or routes; by sustained release systems or by implantation devices. Where desired, the compositions may be administered by bolus injection or continuously by infusion, or by implantation device.

One may further administer the present pharmaceutical compositions by pulmonary administration, see, *e.g.*, International Publication No: WO 94/20069, which discloses pulmonary delivery of chemically modified proteins, herein incorporated by reference. For pulmonary delivery, the particle size should be suitable for delivery to the distal lung. For example, the particle size may be from 1 mm to 5 mm, however, larger particles may be used, for example, if each particle is fairly porous. Alternatively or additionally, the composition may be administered locally via implantation into the affected area of a membrane, sponge, or other appropriate material on to which receptor polypeptide has been absorbed or encapsulated. Where an implantation device is used, the device may be implanted into any suitable tissue or organ, and delivery may be directly through the device via bolus, or via continuous administration, or via catheter using continuous infusion.

NTR3 polypeptide and/or its binding partner may also be administered in a sustained release formulation or preparation. Suitable polymer compositions preferably have intrinsic and controllable biodegradability so that they persist for about a week to about six months; are non-toxic containing no significant toxic monomers and degrading

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into non-toxic components; are biocompatible, are chemically compatible with substances to be delivered, and tend not to denature the active substance; are sufficiently porous to allow the incorporation of biologically active molecules and their subsequent liberation from the polymer by diffusion, erosion or a combination thereof; are able to remain at the site of the application by adherence or by geometric factions, such as being formed in place or softened and subsequently molded or formed into microparticles which are trapped at a desired location; are capable of being delivered by techniques of minimum invasivity such as by catheter, laparoscope or endoscope. Sustained release matrices include polyesters, hydrogels, polylactides (U.S. 3,773,919, EP 58,481), copolymers of L-glutamic acid and gamma ethyl-L-glutamate (Sidman *et al.*, *Biopolymers*, 22:547-556, 1983), poly (2-hydroxyethyl-methacrylate) (Langer *et al.*, *J. Biomed. Mater. Res.*, 15:167-277, 1981; and Langer, *Chem. Tech.*, 12:98-105, 1982), ethylene vinyl acetate (Langer *et al.*, *supra*) or poly-D(-)-3-hydroxybutyric acid (EP 133,988). Sustained-release compositions also may include liposomes, which can be prepared by any of several methods known in the art (*e.g.*, Eppstein *et al.*, *Proc. Natl. Acad. Sci. USA*, 82: 3688-3692, (1985); EP 36,676; EP 88,046; EP 143,949, incorporated herein by reference).

The NTR3 polypeptides, variants, derivatives or fragments thereof, may be employed alone, together, or in combination with other pharmaceutical compositions. The NTR3 polypeptides, fragments, variants, and derivatives may be used in combination with cytokines, cytokine inhibitors, growth factors, antibiotics, anti-inflammatories, and/or chemotherapeutic agents as is appropriate for the indication being treated

In some cases, it may be desirable to use NTR3 polypeptide pharmaceutical compositions in an *ex vivo* manner. In such instances, cells, tissues, or organs that have been removed from the patient are exposed to NTR3 polypeptide pharmaceutical compositions after which the cells, tissues and/or organs are subsequently implanted back into the patient.

In other cases, a NTR3 polypeptide can be delivered by implanting into patients certain cells that have been genetically engineered, using methods such as those described herein, to express and secrete the polypeptides, fragments, variants, or derivatives. Such cells may be animal or human cells, and may be autologous, heterologous or xenogeneic. Optionally, the cells may be immortalized. In order to decrease the chance of



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an immunological response, the cells may be encapsulated to avoid infiltration of surrounding tissues.

The encapsulation materials are typically biocompatible, semi-permeable polymeric enclosures or membranes that allow the release of the protein product(s) but prevent the destruction of the cells by the patient's immune system or by other detrimental factors from the surrounding tissues.

Methods used for membrane encapsulation of cells are familiar to the skilled artisan, and preparation of encapsulated cells and their implantation in patients may be accomplished without undue experimentation. See, *e.g.*, U.S. Patent Nos. 4,892,538; 5,011,472; and 5,106,627, incorporated herein by reference. A system for encapsulating living cells is described in International Publication No: WO 91/10425. Techniques for formulating a variety of other sustained or controlled delivery means, such as liposome carriers, bio-erodible particles or beads, are also known to those in the art, and are described, for example, in U.S. Patent No. 5,653,975, incorporated herein by reference. The cells, with or without encapsulation, may be implanted into suitable body tissues or organs of the patient.

As discussed herein, it may be desirable to treat isolated cell populations such as stem cells, lymphocytes, red blood cells, chondrocytes, neurons, and the like; add as appropriate with one or more NTR3 polypeptides, variants, derivatives and/or fragments. This can be accomplished by exposing the isolated cells to the polypeptide, variant, derivative, or fragment directly, where it is in a form that is permeable to the cell membrane.

The present invention relates to improved methods for both the *in vitro* production of therapeutic proteins and for the production and delivery of therapeutic proteins by gene therapy.

#### **Homologous Recombination**

It is further envisioned that NTR3 protein may be produced by homologous recombination, or with recombinant production methods utilizing control elements introduced into cells already containing DNA encoding NTR3. For example, homologous recombination methods may be used to modify a cell that contains a normally

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transcriptionally silent NTR3 gene, or under expressed gene, and thereby produce a cell which expresses therapeutically efficacious amounts of NTR3. Homologous recombination is a technique originally developed for targeting genes to induce or correct mutations in transcriptionally active genes (Kucherlapati, *Prog. in Nucl. Acid Res. and Mol. Biol.*, 36:301, 1989). The basic technique was developed as a method for introducing specific mutations into specific regions of the mammalian genome (Thomas *et al.*, *Cell*, 44:419-428, 1986; Thomas and Capecchi, *Cell*, 51:503-512, 1987; Doetschman *et al.*, *Proc. Natl. Acad. Sci.*, 85:8583-8587, 1988) or to correct specific mutations within defective genes (Doetschman *et al.*, *Nature*, 330:576-578, 1987). Exemplary homologous recombination techniques are described in U.S. Patent No: 5,272,071, EP Publication No: 91 90 3051, EP Publication No. 505 500; PCT/US90/07642, International Publication No: WO 91/09955, incorporated herein by reference.

Through homologous recombination, the DNA sequence to be inserted into the genome can be directed to a specific region of the gene of interest by attaching it to targeting DNA. The targeting DNA is a nucleotide sequence that is complementary (homologous) to a region of the genomic DNA, into which insertion of the sequence is sought. Small pieces of targeting DNA that are complementary to a specific region of the genome are put in contact with the parental strand during the DNA replication process. It is a general property of DNA that has been inserted into a cell to hybridize, and therefore, recombine with other pieces of endogenous DNA through shared homologous regions. If this complementary strand is attached to an oligonucleotide that contains a mutation or a different sequence or an additional nucleotide, it too is incorporated into the newly synthesized strand as a result of the recombination. As a result of the proofreading function, it is possible for the new sequence of DNA to serve as the template. Thus, the transferred DNA is incorporated into the genome.

Attached to these pieces of targeting DNA are regions of DNA which may interact with or control the expression of a NTR3 polypeptide, *e.g.* flanking sequence. For example, a promoter/enhancer element, a suppresser, or an exogenous transcription modulatory element is inserted in the genome of the intended host cell in proximity and orientation sufficient to influence the transcription of DNA encoding the desired NTR3 polypeptide. The control element controls a portion of the DNA present in the host cell

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genome. Thus, the expression of NTR3 polypeptide may be achieved not by transfection of DNA that encodes the NTR3 gene itself, but rather by the use of targeting DNA (containing regions of homology with the endogenous gene of interest) coupled with DNA regulatory segments that provide the endogenous gene sequence with recognizable signals for transcription of a NTR3 protein.

In an exemplary method, the expression of a desired targeted gene in a cell (*i.e.*, a desired endogenous cellular gene) is altered by the introduction, by homologous recombination into the cellular genome at a preselected site, by the introduction of DNA which includes at least a regulatory sequence, an exon and a splice donor site. These components are introduced into the chromosomal (genomic) DNA in such a manner that this, in effect, results in the production of a new transcription unit (in which the regulatory sequence, the exon and the splice donor site present in the DNA construct are operatively linked to the endogenous gene). As a result of the introduction of these components into the chromosomal DNA, the expression of the desired endogenous gene is altered.

Altered gene expression, as described herein, encompasses activating (or causing to be expressed) a gene which is normally silent (unexpressed) in the cell as obtained, as well as, increasing the expression of a gene which is not expressed at physiologically significant levels in the cell as obtained. The embodiment further encompasses changing the pattern of regulation or induction such that it is different from the pattern of regulation or induction that occurs in the cell as obtained, and reducing (including eliminating) expression of a gene which is expressed in the cell as obtained.

One method by which homologous recombination can be used to increase, or cause, NTR3 polypeptide production from a cell's endogenous NTR3 gene involves first using homologous recombination to place a recombination sequence from a site-specific recombination system (*e.g.*, Cre/loxP, FLP/FRT) (see, Sauer, *Current Opinion In Biotechnology*, 5:521-527, 1994; and Sauer, *Methods In Enzymology*, 225:890-900, 1993); upstream (that is, 5' to) of the cell's endogenous genomic NTR3 polypeptide coding region. A plasmid containing a recombination site homologous to the site that was placed just upstream of the genomic NTR3 polypeptide coding region is introduced into the modified cell line along with the appropriate recombinase enzyme. This recombinase enzyme causes the plasmid to integrate, via the plasmid's recombination site, into the recombination site

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located just upstream of the genomic NTR3 polypeptide coding region in the cell line (Baubonis and Sauer, *Nucleic Acids Res.*, 21:2025-2029, 1993; and O'Gorman *et al.*, *Science*, 251:1351-1355, 1991). Any flanking sequences known to increase transcription (e.g., enhancer/promoter, intron, intron or translational enhancer), if properly positioned in this plasmid, would integrate in such a manner as to create a new or modified transcriptional unit resulting in de novo or increased NTR3 polypeptide production from the cell's endogenous NTR3 gene.

A further method to use the cell line in which the site-specific recombination sequence has been placed just upstream of the cell's endogenous genomic NTR3 polypeptide coding region is to use homologous recombination to introduce a second recombination site elsewhere in the cell line's genome. The appropriate recombinase enzyme is then introduced into the two-recombination-site cell line, causing a recombination event (deletion, inversion, inversion or translocation) (Sauer, *Current Opinion In Biotechnology*, *supra*, 1994; and Sauer, *Methods In Enzymology*, *supra*, 1993) that would create a new or modified transcriptional unit resulting in de novo or increased NTR3 polypeptide production from the cell's endogenous NTR3 gene.

An additional approach for increasing, or causing, the expression of NTR3 polypeptide from a cell's endogenous NTR3 gene involves increasing, or causing, the expression of a gene or genes (e.g., transcription factors) and/or decreasing the expression of a gene or genes (e.g., transcriptional repressors) in a manner which results in de novo or increased NTR3 polypeptide production from the cell's endogenous NTR3 gene. This method includes the introduction of a non-naturally occurring polypeptide (e.g., a polypeptide comprising a site specific site-specific DNA binding domain fused to a transcriptional factor domain) into the cell such that de novo or increased NTR3 polypeptide production from the cell's endogenous NTR3 gene results.

The present invention further relates to DNA constructs useful in the method of altering expression of a target gene. In certain embodiments the exemplary DNA constructs comprise: (a) a targeting sequence; (b) one or more regulatory sequences; (c) an exon; and (d) an unpaired splice-donor site. The targeting sequence in the DNA construct directs the integration of elements (a) - (d) into a target gene in a cell such that the elements (b) - (d) are operatively linked to sequences of the endogenous target gene. In another

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embodiment, the DNA constructs comprise: (a) a targeting sequence, (b) one or more regulatory sequences, (c) an exon, (d) a splice-donor site, (e) an intron, and (f) a splice-acceptor site, wherein the targeting sequence directs the integration of elements (a) - (f) such that the elements of (b) - (f) are operatively linked to the endogenous gene. The targeting sequence is homologous to the preselected site in the cellular chromosomal DNA with which homologous recombination is to occur. In the construct, the exon is generally 3' of the regulatory sequence and the splice-donor site is 3' of the exon.

If the sequence of a particular gene is known, such as the nucleic acid sequence of NTR3 presented herein, a piece of DNA that is complementary to a selected region of the gene can be synthesized or otherwise obtained, such as by appropriate restriction of the native DNA at specific recognition sites bounding the region of interest. This piece serves as a targeting sequence(s) upon insertion into the cell and will hybridize to its homologous region within the genome. If this hybridization occurs during DNA replication, this piece of DNA, and any additional sequence attached thereto, will act as an Okazaki fragment and will be incorporated into the newly synthesized daughter strand of DNA. The present invention, therefore, includes nucleotides encoding a NTR3 polypeptide, which nucleotides may be used as targeting sequences.

Alternatively, gene therapy can be employed as described below.

#### NTR3 Cell Therapy and Gene Therapy

NTR3 cell therapy, e.g., the implantation of cells producing NTR3, is also encompassed by the present invention. This embodiment involves implanting cells capable of synthesizing and secreting a biologically active form of the soluble NTR3. Such soluble NTR3 polypeptide producing cells may be cells that are natural producers of NTR3 polypeptide or may be recombinant cells whose ability to produce NTR3 polypeptide has been augmented by transformation with a gene encoding the desired NTR3 molecule or with a gene augmenting the expression of NTR3 polypeptide. Such a modification may be accomplished by means of a vector suitable for delivering the gene as well as promoting its expression and secretion. In order to minimize a potential immunological reaction in patients being administered a NTR3 protein as may occur with the administration of a polypeptide of a foreign species, it is preferred that the natural cells producing NTR3 be of

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human origin and produce human NTR3. Likewise, it is preferred that the recombinant cells producing NTR3 be transformed with an expression vector containing a gene encoding a human NTR3 polypeptide.

5           Implanted cells may be encapsulated to avoid infiltration of surrounding tissue. Human or non-human animal cells may be implanted in patients in biocompatible, semipermeable polymeric enclosures or membranes that allow release of NTR3 but that prevent the release of NTR3 polypeptide but prevent the destruction of the cells by the patient's immune system or by other detrimental factors from the surrounding tissue. Alternatively, the patient's own cells, transformed to produce NTR3 polypeptide *ex vivo*,  
10           may be implanted directly into the patient without such encapsulation.

          Techniques for the encapsulation of living cells are known in the art, and the preparation of the encapsulated cells and their implantation in patients may be routinely accomplished without undue experimentation. For example, Baetge *et al.* ( WO 95/05452; and PCT/US94/09299) describe membrane capsules containing genetically engineered cells  
15           for the effective delivery of biologically active molecules. The capsules are biocompatible and are easily retrievable. The capsules are biocompatible and are easily retrievable. The capsules encapsulate cells transfected with recombinant DNA molecules comprising DNA sequences coding for biologically active molecules operatively linked to promoters that are not subject to down regulation *in vivo* upon implantation into a mammalian host. The  
20           devices provide for the delivery of the molecules from living cells to specific sites within a recipient. In addition, *see* U.S. Patent Numbers Nos. 4,892,538, 5,011,472, and 5,106,627, incorporated herein by reference. A system for encapsulating living cells is described in International Application WO 91/10425 of Aebischer *et al.*, International Application WO 91/10470 of Aebischer *et al.*; Winn *et al.*, *Exper. Neurol.*, 113:322-329,  
25           1991; Aebischer *et al.*, *Exper. Neurol.*, 111:269-275, 1991; and Tresco *et al.*, *ASAIO*, 38:17-23, 1992, and is incorporated herein by reference.

*In vivo* and *in vitro* gene therapy delivery of NTR3 is also encompassed by the present invention. *In vivo* gene therapy may be accomplished by introducing the gene encoding NTR3 into cells via local injection of a polynucleotide molecule or other  
30           appropriate delivery vectors. (Hefti, *J. Neurobiology.*, 25:1418-1435, 1994). For example, a polynucleotide molecule encoding NTR3 may be contained in an adeno-associated virus

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vector for delivery to the targeted cells (International Publication No. WO 95/34670; International Application No. PCT/US95/07178). The recombinant adeno-associated virus (AAV) genome typically contains AAV inverted terminal repeats flanking a DNA sequence encoding NTR3 operably linked to functional promoter and polyadenylation sequences.

5                   Alternative viral vectors include, but are not limited to, retrovirus, adenovirus, herpes simplex virus and papilloma virus vectors. U.S. Patent No. 5,672,344 describes an *in vivo* viral-mediated gene transfer system involving a recombinant neurotrophic HSV-1 vector. U.S. Patent No. 5,399,346, provides examples of a process for providing a patient with a therapeutic protein by the delivery of human cells which have  
10                   been treated *in vitro* to insert a DNA segment encoding a therapeutic protein. Additional materials and methods for the practice of gene therapy techniques are described in U.S. patent No. 5,631,236; gene therapy involving adenoviral vectors are described in U.S. Patent No 5,672,510; and gene therapy involving the use of retroviral vectors are described in U.S. Patent No. 5,635,399.

15                   Nonviral delivery methods include liposome-mediated transfer, naked DNA delivery (direct injection), receptor-mediated transfer (ligand-DNA complex), electroporation, calcium phosphate precipitation and microparticle bombardment (*e.g.*, gene gun). Gene therapy materials and methods may also include inducible promoters, tissue-specific enhancer-promoters, DNA sequences designed for site-specific integration,  
20                   DNA sequences capable of providing a selective advantage over the parent cell, labels to identify transformed cells, negative selection systems and expression control systems (safety measures), cell-specific binding agents (for cell targeting), cell-specific internalization factors, transcription factors to enhance expression by a vector as well as methods of vector manufacture. Such additional methods and materials for the practice of gene therapy  
25                   techniques are described in U.S. Patent No. 4,970,154, International Application No. WO 9640958, U.S. Patent No. 5,679,559, U.S. 5,676,954, U.S. Patent No. 5,593,875, and U.S. Patent No. 4,945,050. Expression control techniques include chemical induced regulation (*e.g.*, International Application Nos. WO 9641865 and WO 9731899), the use of a progesterone antagonist in a modified steroid hormone receptor system (*e.g.*, U.S. Patent  
30                   No. 5,364,791), ecdysone control systems (*e.g.*, International Application No. WO

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9637609), and positive tetracycline-controllable transactivators (*e.g.*, U.S. Patent Nos. 5,589,362; 5,650,298; and 5,654,168).

It is also contemplated that NTR3 gene therapy or cell therapy can further include the delivery of a second protein. For example, the host cell may be modified to express and release soluble forms of both NTR3 and TNF- $\alpha$ R, or NTR3 and IL-1R. Alternatively, the NTR3 and TNF- $\alpha$ R, or NTR3 and IL-1R, may be expressed in and released from separate cells. Such cells may be separately introduced into the patient or the cells may be contained in a single implantable device, such as the encapsulating membrane described above.

One manner in which gene therapy can be applied is to use the NTR3 gene (either genomic DNA, cDNA, and/or synthetic DNA encoding a NTR3 polypeptide, or a fragment, variant, or derivative thereof) which may be operably linked to a constitutive or inducible promoter to form a "gene therapy DNA construct". The promoter may be homologous or heterologous to the endogenous NTR3 gene, provided that it is active in the cell or tissue type into which the construct will be inserted. Other components of the gene therapy DNA construct may optionally include, as required, DNA molecules designed for site-specific integration (*e.g.*, endogenous flanking sequences useful for homologous recombination), tissue-specific promoter, enhancer(s) or silencer(s), DNA molecules capable of providing a selective advantage over the parent cell, DNA molecules useful as labels to identify transformed cells, negative selection systems, cell specific binding agents (as, for example, for cell targeting) cell-specific internalization factors, and transcription factors to enhance expression by a vector as well as factors to enable vector manufacture.

A gene therapy DNA construct can then be introduced into the patient's cells (either *ex vivo* or *in vivo*) using viral or non-viral vectors. One means for introducing the gene therapy DNA construct by means of viral vectors as described herein. Certain vectors, such as retroviral vectors, will deliver the DNA construct to the chromosomal DNA of the cells, and the gene can integrate into the chromosomal DNA. Other vectors will function as episomes, and the gene therapy DNA construct will remain in the cytoplasm. The use of gene therapy vectors is described, for example, in U.S. Patent Nos. 5,672,344; 5,399,346; 5,631,236; and 5,635,399, incorporated herein by reference.



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In yet other embodiments, regulatory elements can be included for the controlled expression of the NTR3 gene in the target cell. Such elements are turned on in response to an appropriate effector. In this way, a therapeutic polypeptide can be expressed when desired. One conventional control means involves the use of small molecule dimerizers or rapalogs (as described in WO 9641865 (PCT/US96/099486); WO 9731898 (PCT/US97/03137) and WO9731899 (PCT/US95/03157) WO 9731899 (PCT/US95/03157)) used to dimerize chimeric proteins which contain a small molecule-binding domain and a domain capable of initiating biological process, such as a DNA-binding protein or a transcriptional activation protein. The dimerization of the proteins can be used to initiate transcription of the transgene.

An alternative regulation technology uses a method of storing proteins expressed from the gene of interest inside the cell as an aggregate or cluster. The gene of interest is expressed as a fusion protein that includes a conditional aggregation domain which results in the retention of the aggregated protein in the endoplasmic reticulum. The stored proteins are stable and inactive inside the cell. The proteins can be released, however, by administering a drug (*e.g.*, small molecule ligand) that removes the conditional aggregation domain and thereby specifically breaks apart the aggregates or clusters so that the proteins may be secreted from the cell. (*See, Science* 287:816-817, and 826-830, 2000).

Other suitable control means or gene switches include, but are not limited to, the following systems. Mifepristone (RU486) is used as a progesterone antagonist. The binding of a modified progesterone receptor ligand-binding domain to the progesterone antagonist activates transcription by forming a dimer of two transcription factors which then pass into the nucleus to bind DNA. The ligand bindingligand-binding domain is modified to eliminate the ability of the receptor to bind to the natural ligand. The modified steroid hormone receptor system is further described in U.S. 5,364,791; WO9640911, and WO9710337. WO 9640911 and WO 9710337.

Yet another control system uses ecdysone (a fruit fly steroid hormone) which binds to and activates an ecdysone receptor (cytoplasmic receptor). The receptor then translocates to the nucleus to bind a specific DNA response element (promoter from ecdysone-responsive gene). The ecdysone receptor includes a transactivation domain/DNA-binding domain/ligand-binding domain to initiate transcription. The ecdysone system is

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further described in U.S. 5,514,578; WO9738117; WO9637609; WO 9738117; WO 9637609 and WO9303162.

Another control means uses a positive tetracycline-controllable transactivator. This system involves a mutated tet repressor protein DNA-binding domain  
5 (mutated tet R-4 amino acid changes which resulted in a reverse tetracycline-regulated transactivator protein, *i.e.*, it binds to a tet operator in the presence of tetracycline) linked to a polypeptide which activates transcription. Such systems are described in U.S. Patent Nos. 5,464,758; 5,650,298 and 5,654,168.

Additional expression control systems and nucleic acid constructs are  
10 described in U.S. Patent Nos. 5,741,679 and 5,834,186, to Innovir Laboratories Inc.

*In vivo* gene therapy may be accomplished by introducing the gene encoding an NTR3 polypeptide into cells via local injection of an NTR3 nucleic acid molecule or by other appropriate viral or non-viral delivery vectors. Hefti, vectors (Hefti, *Neurobiology*, 25:1418-1435, 1994). For example, a nucleic acid molecule encoding an  
15 NTR3 polypeptide may be contained in an adeno-associated virus (AAV) vector for delivery to the targeted cells (*e.g.*, Johnson, International Publication No. WO95/34670; WO 95/34670 and International Application No. PCT/US95/07178). The recombinant AAV genome typically contains AAV inverted terminal repeats flanking a DNA sequence encoding an NTR3 polypeptide operably linked to functional promoter and polyadenylation  
20 sequences.

Alternative suitable viral vectors include, but are not limited to, retrovirus, adenovirus, herpes simplex virus, lentivirus, hepatitis virus, parvovirus, papovavirus, poxvirus, alphavirus, coronavirus, rhabdovirus, paramyxovirus, and papilloma virus vectors. U.S. Patent No. 5,672,344 describes an *in vivo* viral-mediated gene transfer system  
25 involving a recombinant neurotrophic HSV-1 vector. U.S. Patent No. 5,399,346 provides examples of a process for providing a patient with a therapeutic protein by the delivery of human cells which have been treated *in vitro* to insert a DNA segment encoding a therapeutic protein. Additional methods and materials for the practice of gene therapy techniques are described in U.S. Patent No. 5,631,236 involving adenoviral vectors; U.S.  
30 Patent No. 5,672,510 involving retroviral vectors; and U.S. 5,635,399 involving retroviral vectors expressing cytokines.

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Nonviral delivery methods include, but are not limited to, liposome-mediated transfer, naked DNA delivery (direct injection), receptor-mediated transfer (ligand-DNA complex), electroporation, calcium phosphate precipitation, and microparticle bombardment (e.g., gene gun). Gene therapy materials and methods may also include the use of inducible promoters, tissue-specific enhancer-promoters, DNA sequences designed for site-specific integration, DNA sequences capable of providing a selective advantage over the parent cell, labels to identify transformed cells, negative selection systems and expression control systems (safety measures), cell-specific binding agents (for cell targeting), cell-specific internalization factors, and transcription factors to enhance expression by a vector as well as methods of vector manufacture. Such additional methods and materials for the practice of gene therapy techniques are described in U.S. Patent No. 4,970,154 involving electroporation techniques; WO96/40958 involving nuclear ligands; U.S. Patent No. 5,679,559 describing a lipoprotein-containing system for gene delivery; U.S. Patent No. 5,676,954 involving liposome carriers; U.S. Patent No. 5,593,875 concerning methods for calcium phosphate transfection; and U.S. Patent No. 4,945,050 wherein biologically active particles are propelled at cells at a speed whereby the particles penetrate the surface of the cells and become incorporated into the interior of the cells.

A means to increase endogenous NTR3 polypeptide expression in a cell via gene therapy is to insert one or more enhancer elements into the NTR3 polypeptide promoter, where the enhancer element(s) can serve to increase transcriptional activity of the NTR3 polypeptides gene. The enhancer element(s) used will be selected based on the tissue in which one desires to activate the gene(s); enhancer elements known to confer promoter activation in that tissue will be selected. For example, if a NTR3 gene encoding a NTR3 polypeptide is to be "turned on" in T-cells, the lck promoter enhancer element may be used. Here, the functional portion of the transcriptional element to be added may be inserted into a fragment of DNA containing the NTR3 polypeptide promoter (and optionally, inserted into a vector, 5' and/or 3' flanking sequence(s), etc.) using standard cloning techniques. This construct, known as a "homologous recombination construct", can then be introduced into the desired cells either *ex vivo* or *in vivo*.

Gene therapy also can be used to decrease NTR3 polypeptide expression where desired by modifying the nucleotide sequence of the endogenous promoter(s). Such

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modification is typically accomplished via homologous recombination methods. For example, a DNA molecule containing all or a portion of the promoter of the NTR3 gene(s) selected for inactivation can be engineered to remove and/or replace pieces of the promoter that regulate transcription. For example, the TATA box and/or the binding site of a transcriptional activator of the promoter may be deleted using standard molecular biology techniques; such deletion can inhibit promoter activity thereby repressing the transcription of the corresponding NTR3 gene. The deletion of the TATA box or the transcription activator binding site in the promoter may be accomplished by generating a DNA construct comprising all or the relevant portion of the NTR3 polypeptide promoter(s) (from the same or a related species as the NTR3 gene(s) to be regulated) in which one or more of the TATA box and/or transcriptional activator binding site nucleotides are mutated via substitution, deletion and/or insertion of one or more nucleotides. As a result the TATA box and/or activator binding site has decreased activity or is rendered completely inactive. The construct, which also will typically contain at least about 500 bases of DNA that correspond to the native (endogenous) 5' and 3' DNA sequences adjacent to the promoter segment that has been modified. The construct may be introduced into the appropriate cells (either *ex vivo* or *in vivo*) either directly or via a viral vector as described herein. Typically, the integration of the construct into the genomic DNA of the cells will be via homologous recombination, where the 5' and 3' DNA sequences in the promoter construct can serve to help integrate the modified promoter region via hybridization to the endogenous chromosomal DNA.

#### **Additional uses of NTR3 Nucleic Acids and Polypeptides**

Nucleic acid molecules of the present invention (including those that do not themselves encode biologically active polypeptides) may be used to map the locations of the NTR3 gene and related genes on chromosomes. Mapping may be done by techniques known in the art, such as PCR amplification and *in situ* hybridization.

NTR3 nucleic acid molecules (including those that do not themselves encode biologically active polypeptides), may be useful as hybridization probes in diagnostic assays to test, either qualitatively or quantitatively, for the presence of an NTR3 DNA or corresponding RNA in mammalian tissue or bodily fluid samples.

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The NTR3 polypeptides may be used (simultaneously or sequentially) in combination with one or more cytokines, growth factors, antibiotics, anti-inflammatories, and/or chemotherapeutic agents as is appropriate for the indication being treated.

5 Other methods may also be employed where it is desirable to inhibit the activity of one or more NTR3 polypeptides. Such inhibition may be effected by nucleic acid molecules which are complementary to and which hybridize to expression control sequences (triple helix formation) or to NTR3 mRNA. For example, antisense DNA or RNA molecules, which have a sequence that is complementary to at least a portion of the selected NTR3 gene(s) can be introduced into the cell. Anti-sense probes may be designed  
10 by available techniques using the sequence of NTR3 polypeptide disclosed herein.

Typically, each such antisense molecule will be complementary to the start site (5' end) of each selected NTR3 gene. When the antisense molecule then hybridizes to the corresponding NTR3 mRNA, translation of this mRNA is prevented or reduced. Anti-sense inhibitors provide information relating to the decrease or absence of an NTR3  
15 polypeptide in a cell or organism.

Alternatively, gene therapy may be employed to create a dominant-negative inhibitor of one or more NTR3 polypeptides. In this situation, the DNA encoding a mutant polypeptide of each selected NTR3 polypeptide can be prepared and introduced into the cells of a patient using either viral or non-viral methods as described herein. Each such  
20 mutant is typically designed to compete with endogenous polypeptide in its biological role.

In addition, an NTR3 polypeptide, whether biologically active or not, may be used as an immunogen, that is, the polypeptide contains at least one epitope to which antibodies may be raised. Selective binding agents that bind to an NTR3 polypeptide (as described herein) may be used for *in vivo* and *in vitro* diagnostic purposes, including, but  
25 not limited to, use in labeled form to detect the presence of NTR3 polypeptide in a body fluid or cell sample. The antibodies may also be used to prevent, treat, or diagnose a number of diseases and disorders, including those recited herein. The antibodies may bind to an NTR3 polypeptide so as to diminish or block at least one activity characteristic of an NTR3 polypeptide, or may bind to a polypeptide to increase at least one activity  
30 characteristic of an NTR3 polypeptide (including by increasing the pharmacokinetics of the NTR3 polypeptide).

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cDNA encoding Fhm polypeptide in *E. coli* was deposited with the ATCC on \_\_\_\_\_ and having ATCC accession no. \_\_\_\_\_.

The subject matter of the present invention is further described by following examples, which are intended for illustration purposes only, and should not be construed  
5 as limiting the scope of the invention in any way.

### EXAMPLE 1

#### Isolation of Murine NTR3 Gene

A directional oligo-dT primed cDNA library was prepared from KG-1 cell line (ATCC) mRNA as previously described (Simonet *et al.*, *Cell*, 89:309-319, (1997)). A  
10 0.6 Kb EcoRI.XhoI fragment from an EST clone (Genebank accession no. aa155701, clone ID 592256) was labeled with <sup>32</sup>P and used as a probe to screen the KG-1 cell DNA library by colony hybridization (Simonet *et al.*, *Cell* 89:309-319, 1997. A positive clone containing a 1 Kb insert was identified, isolated, and sequenced using standard techniques. The  
15 sequence of cDNA encoding NTR3 is set out as SEQ ID NO: 1 and comprises 1055 nucleotides and encodes a polypeptide of 300 amino acids. Sequence analysis failed to

identify a likely transmembrane region, suggesting that NTR3 is expressed as a soluble receptor.

### EXAMPLE 2

#### Tissue Specific Expression of NTR3

20 The tissue-specific expression pattern of the NTR3 gene was investigated by Northern blot analysis using a <sup>32</sup>P- labeled PCR product as a probe to detect the presence of NTR3 transcript in various tissues.

Cytoplasmic and poly- A<sup>+</sup> RNA were isolated from placenta, developing embryos, and various adult tissues using standard techniques (Sambrook, J. *et al.*,  
25 *Molecular Cloning*, Cold Spring Harbor Laboratory Press, New York, NY, 1989). Cells/tissues were lysed with 20 ml of TRIzol reagent (BRL), homogenized for 30

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seconds, and extracted with 4 ml of chloroform. The tubes were centrifuged at 4000 rpm for 30 minutes, and the aqueous phase in each tube was transferred to a new tube. RNA was precipitated by adding 10 ml isopropanol, mixing, and centrifuging for 30 minutes at 4200 rpm. The RNA pellet was washed with 10 ml of 70% ethanol, dried briefly, and resuspended in 0.5 ml TE buffer. Poly A<sup>+</sup> RNA was prepared by using a commercially available mRNA purification kit (Pharmacia). After elution of poly A<sup>+</sup> RNA from the column in 750 µl of TE buffer, the sample was then ethanol precipitated by adding 40 µl sample buffer and 1 ml ethanol and chilling at -70° C overnight. Poly A<sup>+</sup> RNA was then fractionated using a formaldehyde/agarose gel electrophoresis system. Following electrophoresis, the gel was processed and the RNA transferred to a nylon membrane. *See Sambrook et al. supra.* Northern blots were then prehybridized in 20 ml of prehybridization solution containing 5 X SSPE, 50% formamide, 5 X Denhardt's solution, 0.5% SDS and 100 µg/ml denatured salmon sperm DNA for 2-4 hours at 42° C. The blots were then hybridized in 20 ml of hybridization solution containing 6 X SSPE, 50% formamide, 5 X Denhardt's solution, 0.5% SDS, 100 µg/ml denatured salmon sperm DNA. Approximately 5 ng/ml of random primed, PCR-amplified <sup>32</sup>P- labeled (RadPrime Kit, GIBCO) NTR3 cDNA was used as a probe. The blots were hybridized for 18-24 hours at 42° C. The blots were then washed in 0.1 X SSC, 0.1% SDS at 55° C. The blots were then exposed to x-ray films for three days at 80° C.

Northern blot analysis detected a 1.2 kb transcript hybridizing to a NTR3 probe in colon, lung and spleen. NTR3 is also strongly expressed in SW480, a human adenocarcinoma cell line.

### EXAMPLE 3

#### Expression of an NTR3 Fc Fusion Protein

Full length NTR3 was amplified by PCR and fused in frame N-terminal to the DNA encoding the Fc region of a human IgG in PCEP4 vector. The construct was then transfected into 293-EBNA-1 cells by the calcium phosphate precipitation method as described in Ausubel *et al. Curr. Prot. Mol. Biol.*, 1, 9.1.1-9.1.3, 1994. The

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transfected cells were then selected in 200 µg/ml hygromycin (Gibco/BRL). The resulting hygromycin-resistant cells were pooled and grown to confluence. The cells were then washed with PBS once and were cultured in serum-free medium for 72 hrs. The conditioned medium was then collected. The NTR3-Fc fusion protein was purified by protein-A column chromatography (Pierce) using the manufacturer's recommended procedures.

As explained in the following example, the resulting fusion protein was shown to be capable of binding to Fhm, a named TNF ligand family member.

#### EXAMPLE 4

##### Use of NTR3 Polypeptides to Screen for and Identify an NTR3 Ligand

A PCR fragment corresponding to the full length NTR3 coding region was fused in-frame in front of the human IgG1 Fc in PCEP4 vector. The plasmid encoding the NTR3Fc fusion protein was introduced into 293 EBNA-1 cells by the calcium phosphate precipitation method (Ausubel *et al.*, *Curr. Prot. Mol. Biol.*, 1, 9.1.1-9.1.3 (1994)). After 48 hours, medium from transfected cells was collected. The Fc fusion protein was purified as previously described (Simonet *et al.*, *Cell*, 89:309-319, 1997).

For ligand binding assays,  $2 \times 10^5$  COS-7 cells were seeded in 6-well plates. The next day, cells were transfected with expression plasmids for TNF ligand members by lipofectamin method according to the manufacturer's instructions (Gibco BRL Life Technologies). After 48 hours of transfection, cells were replaced with medium containing NTR3Fc fusion protein generated as described above. After 1 hour incubation at room temperature (RT), cells were washed three times with 5 ml phosphate-buffered saline (PBS). Cells were then incubated in DMEM medium containing 5% BSA and 1:500 dilution of goat anti-human IgG Fc conjugated with alkaline phosphatase (Sigma) for another hour at RT. After three washes with 5 ml TBS buffer, cells were stained with Fast Red TR/AS-MX Substrate Kit (Pierce). Positive staining was determined by visual examination under microscope. NTR3Fc bound specifically to COS-7 cells transfected with Fhm, another novel TNF ligand family member.



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The Fhm ligand is described with particularity in co-owned, co-filed, provisional U.S. Patent Application filed August 4, 1999, Attorney Docket No. 01017/35550, incorporated herein by reference in its entirety.

### **EXAMPLE 5**

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#### **Production of Anti-NTR3 Antibodies**

Antibodies to NTR3 polypeptides may be obtained by immunization with purified protein or with NTR3 peptides produced by biological or chemical synthesis. Substantially pure NTR3 ligand protein or polypeptide may be isolated from transfected cells as described in Example 3. Concentration of protein in the final preparation may be adjusted, for example, by concentration on an amicon filter device, to the level of a few micrograms/ml. Monoclonal or polyclonal antibodies to the protein can then be prepared by any of the procedures known in the art for generating antibodies such as those described in Hudson and Bay, "Practical Immunology, Second Edition", Blackwell Scientific Publications, incorporated herein by reference.

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Polyclonal antiserum containing antibodies to heterogenous epitopes of a single protein can be prepared by immunizing suitable animals with the expressed protein described above, which can be unmodified or modified to enhance immunogenicity. Effective polyclonal antibody production is affected by many factors related both to the antigen and the host species. For example, small molecules tend to be less immunogenic than large molecules and may require the use of carriers or adjuvants. Also, host animals vary in response to site of inoculations and dose, with both inadequate or excessive doses of antigen resulting in low titer antisera. Small doses (ng levels) of antigen administered at multiple intradermal sites appear to be most reliable. An effective immunization protocol for rabbits can be found in Vaitukaitis, J. *et al. J. Clin. Endocrinol. Metab.* 33: 988-991, 1971.

25

Booster injections can be given at regular intervals, and antiserum harvested when antibody titer thereof, as determined semi-quantitatively, for example, by double immunodiffusion in agar against known concentrations of the antigen, begin to fall. See, for example, Ouchterlony, O. *et al.*, Chap. 19 in: *Handbook of Experimental Immunology* ed. D. Weir, Blackwell, 1973. Plateau concentration of antibody is usually

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in the range of 0.1 to 0.2 mg/ml of serum (about 12  $\mu$ m). Affinity of the antisera for the antigen is determined by preparing competitive binding curves, as described, for example, by Fisher, D., Chapt. 42 in; *Manual of Clinical Immunology*, 2d Ed. Eds. Rose and Friedman, Amer. Soc. For Microbiol., Washington, D.C., 1980.

5                   Alternative procedures for obtaining anti-NTR3 antibodies may also be employed, such as immunization of transgenic mice harboring human Ig loci for production of fully human antibodies, and screening of synthetic antibody libraries, such as those generated by mutagenesis of an antibody variable domain.

#### EXAMPLE 6

##### 10                   Functional Analysis of the Role of NTR3

To determine the functional role of NTR3 and its ligand *in vivo*, the NTR3 gene and/or the NTR3 ligand gene is either over-expressed in the germ line of animals or inactivated in the germ line of mammals by homologous recombination. Animals in which the gene is over-expressed under the regulatory control of exogenous or endogenous promoter elements are known as transgenic animals. Animals in which an endogenous gene has been inactivated by homologous recombination are also known as "knockout" animals. Exemplary mammals include rabbits and rodent species such as mice. Exemplary procedures are described in U.S. Patent No. 5,489,743 and International Patent Publication No. WO 94/28122, both incorporated herein by reference.

20                   Transgenic animals allow for the determination of the effect(s) of over expression or inappropriate expression of the NTR3 on development and disease processes. NTR3 transgenic animals can also serve as a model system to test compounds that can modulate receptor activity.

25                   The "knockout" animals allow for the determination of the role of NTR3 ligand in embryonic development, and in immune and proliferative responses. The role of NTR3 ligand in development, and in immune and proliferative response is determined by analysis the effects of gene knockout on the development of the embryo as well as on the development and differentiation of various organs and tissues such as the immune

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system in these animals. (as determined by FACS analysis of cell populations at different stages of development).

While the present invention has been described in terms of the preferred embodiments, it is understood that variations and modifications will occur to those skilled in the art. Therefore, it is intended that the appended claims cover all such  
5 equivalent variations which come within the scope of the invention as claimed.

**WHAT IS CLAIMED IS:**

1. An isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of:
  - (a) the nucleotide sequence set forth in SEQ ID NO: 1;
  - 5 (b) a nucleotide sequence encoding the polypeptide set forth in SEQ ID NO: 2;
  - (c) a nucleotide sequence which hybridizes under moderately or highly stringent conditions to the complement of (a) or (b), wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2; and
  - 10 (d) a nucleotide sequence complementary to any of (a)-(c).
  
2. An isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of:
  - (a) a nucleotide sequence encoding a polypeptide that is at least about 70 percent identical to the polypeptide set forth in SEQ ID NO: 2, wherein the polypeptide
  - 15 has an activity of the polypeptide set forth in SEQ ID NO: 2;
  - (b) a nucleotide sequence encoding an allelic variant or splice variant of the nucleotide sequence set forth in SEQ ID NO: 1, wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;
  - (c) a nucleotide sequence of SEQ ID NO: 1; (a); or (b) encoding a
  - 20 polypeptide fragment of at least about 25 amino acid residues, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;
  - (d) a nucleotide sequence of SEQ ID NO: 1, or (a)-(c) comprising a fragment of at least about 16 nucleotides;
  - (e) a nucleotide sequence which hybridizes under moderately or highly
  - 25 stringent conditions to the complement of any of (a)-(d), wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2; and
  - (f) a nucleotide sequence complementary to any of (a)-(c).

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3. An isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of:

5 (a) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one conservative amino acid substitution, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(b) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one amino acid insertion, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

10 (c) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one amino acid deletion, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(d) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 which has a C- and/or N- terminal truncation, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

15 (e) a nucleotide sequence encoding a polypeptide set forth in SEQ ID NO: 2 with at least one modification selected from the group consisting of amino acid substitutions, amino acid insertions, amino acid deletions, C-terminal truncation, and N-terminal truncation, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

20 (f) a nucleotide sequence of (a)-(e) comprising a fragment of at least about 16 nucleotides;

(g) a nucleotide sequence which hybridizes under moderately or highly stringent conditions to the complement of any of (a)-(f), wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2; and

25 (h) a nucleotide sequence complementary to any of (a)-(e).

4. A vector comprising the nucleic acid molecule of claims 1, 2, or 3.

5. A host cell comprising the vector of claim 4.

6. The host cell of claim 5 that is a eukaryotic cell.

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7. The host cell of claim 5 that is a prokaryotic cell.
8. A process of producing a NTR3 polypeptide comprising culturing the host cell of claim 5 under suitable conditions to express the polypeptide, and optionally isolating the polypeptide from the culture.
- 5 9. A polypeptide produced by the process of claim 8.
- 10 10. The process of claim 8, wherein the nucleic acid molecule comprises promoter DNA other than the promoter DNA for the native NTR3 polypeptide operatively linked to the DNA encoding the NTR3 polypeptide.
11. The isolated nucleic acid molecule according to claim 2 wherein the percent identity is determined using a computer program selected from the group consisting of GAP, BLASTP, BLASTN, FASTA, BLASTA, BLASTX, BestFit, and the Smith-Waterman algorithm.
- 15 12. A process for identifying candidate inhibitors of NTR3 polypeptide activity or production comprising exposing a cell according to claims 5, 6, or 7 to the candidate inhibitors, and measuring NTR3 polypeptide activity or production in said cell, comparing activity of NTR3 in cells exposed to the candidate inhibitor with activity in cells not exposed to the candidate inhibitor.
- 20 13. A process for identifying candidate stimulators of NTR3 polypeptide activity or production comprising exposing a cell according to claims 5, 6, or 7 to the candidate stimulators, and measuring NTR3 polypeptide activity or production in said cell, comparing activity of NTR3 in cells exposed to the candidate stimulator with activity in cells not exposed to the candidate stimulator.
14. An isolated polypeptide comprising the amino acid sequence set forth in SEQ ID NO: 2.

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15. An isolated polypeptide comprising the amino acid sequence selected from the group consisting of:

(a) the mature amino acid sequence set forth in SEQ ID NO: 2, comprising a mature amino terminus at residue 1, optionally further comprising an amino-terminal methionine;

(b) an amino acid sequence for an ortholog of SEQ ID NO: 2, wherein the encoded polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(c) an amino acid sequence that is at least about 70 percent identical to the amino acid sequence of SEQ ID NO: 2, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(d) a fragment of the amino acid sequence set forth in SEQ ID NO: 2 comprising at least about 25 amino acid residues, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(e) an amino acid sequence for an allelic variant or splice variant of either the amino acid sequence set forth in SEQ ID NO: 2, or at least one of (a)-(c) wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2.

16. An isolated polypeptide comprising the amino acid sequence selected from the group consisting of:

(a) the amino acid sequence set forth in SEQ ID NO: 2 with at least one conservative amino acid substitution, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(b) the amino acid sequence set forth in SEQ ID NO: 2 with at least one amino acid insertion, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(c) the amino acid sequence set forth in SEQ ID NO: 2 with at least one amino acid deletion, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2;

(d) the amino acid sequence set forth in SEQ ID NO: 2 which has a C- and/or N-terminal truncation, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2; and

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(e) the amino acid sequence set forth in SEQ ID NO: 2, with at least one modification selected from the group consisting of amino acid substitutions, amino acid insertions, amino acid deletions, C-terminal truncation, and N-terminal truncation, wherein the polypeptide has an activity of the polypeptide set forth in SEQ ID NO: 2.

5           17. A polypeptide according to claim 15 or 16 wherein the amino acid at position 49 of SEQ ID NO: 2 is selected from the group consisting of alanine, serine and cysteine.

10           18. A polypeptide according to claim 15 or 16 wherein the amino acid at position 52 of SEQ ID NO: 2 is selected from the group consisting of alanine, serine and cysteine.

          19. A polypeptide according to claim 15 or 16 wherein the amino acid at position 91 of SEQ ID NO: 2 is selected from the group consisting of serine, alanine and cysteine.

15           20. A polypeptide according to claim 15 or 16 wherein the amino acid at position 132 of SEQ ID NO: 2 is selected from the group consisting of alanine, serine and cysteine.

20           21. A polypeptide according to claim 15 or 16 wherein the amino acid of SEQ ID NO: 2 at position 150 is selected from the group consisting of alanine, serine and cysteine.

          22. A polypeptide according to claim 15 or 16 wherein the amino acid at position 193 of SEQ ID NO: 2 is selected from the group consisting of alanine, serine and cysteine.

25           23. An isolated polypeptide encoded by the nucleic acid molecule of claims 1, 2, or 3.



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24. The isolated polypeptide according to claim 15 wherein the percent identity is determined using a computer program selected from the group consisting of GAP, BLASTP, BLASTN, FASTA, BLASTA, BLASTX, BestFit, and the Smith-Waterman algorithm.

5           25. An antibody produced by immunizing an animal with a peptide comprising an amino acid sequence of SEQ ID NO: 2.

26. An antibody or fragment thereof that specifically binds the polypeptide of claims 14, 15, or 16.

27. The antibody of claim 26 that is a monoclonal antibody.

10           28. A hybridoma that produces a monoclonal antibody that binds to a peptide comprising an amino acid sequence of SEQ ID NO: 2.

29. A method of detecting or quantitating the amount of NTR3 in a sample comprising contacting a sample suspected of containing NTR3 polypeptide with the anti-NTR3 antibody or fragment of claims 25, 26, or 27 and detecting the binding of said  
15 antibody or fragment.

30. A selective binding agent or fragment thereof that specifically binds at least one polypeptide wherein said polypeptide comprises the amino acid sequence selected from the group consisting of:

- 20           (a) the amino acid sequence set forth in SEQ ID NO: 2;  
            (b) a fragment of the amino acid sequence set forth in at least one of SEQ ID NO: 2; and  
            (c) a naturally occurring variant of (a) or (b).

31. The selective binding agent of claim 30 that is an antibody or fragment thereof.

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32. The selective binding agent of claim 30 that is a humanized antibody.

33. The selective binding agent of claim 30 that is a human antibody or fragment thereof.

34. The selective binding agent of claim 30 that is a polyclonal antibody or  
5 fragment thereof.

35. The selective binding agent claim 30 that is a monoclonal antibody or fragment thereof.

36. The selective binding agent of claim 30 that is a chimeric antibody or fragment thereof.

10 37. The selective binding agent of claim 30 that is a CDR-grafted antibody or fragment thereof.

38. The selective binding agent of claim 30 that is an antiidiotypic antibody or fragment thereof.

15 39. The selective binding agent of claim 30 which is a variable region fragment.

40. The variable region fragment of claim 41 which is a Fab or a Fab' fragment.

20 41. A selective binding agent or fragment thereof comprising at least one complementarity determining region with specificity for a polypeptide having the amino acid sequence of SEQ ID NO: 2.

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42. The selective binding agent of claim 30 which is bound to a detectable label.

43. The selective binding agent of claim 30 which antagonizes NTR3 polypeptide biological activity.

5           44. A method for treating, preventing, or ameliorating a disease, condition, or disorder comprising administering to a patient an effective amount of a selective binding agent according to claim 30.

45. A selective binding agent produced by immunizing an animal with a polypeptide comprising an amino acid sequence of SEQ ID NO: 2.

10           46. A hybridoma that produces a selective binding agent capable of binding a polypeptide according to claims 14, 15, or 16.

47. A composition comprising the polypeptide of claims 14, 15, or 16 and a pharmaceutically acceptable formulation agent.

15           48. The composition of claim 47 wherein the pharmaceutically acceptable formulation agent is a carrier, adjuvant, solubilizer, stabilizer, or anti-oxidant.

49. The composition of claim 47 wherein the polypeptide comprises the mature amino acid sequence set forth in SEQ ID NO: 2.

50. A polypeptide comprising a derivative of the polypeptide of claims 14, 15, or 16.

20           51. The polypeptide of claim 50 which is covalently modified with a water-soluble polymer.

- 122 -

52. The polypeptide of claim 51 wherein the water-soluble polymer is selected from the group consisting of polyethylene glycol, monomethoxy-polyethylene glycol, dextran, cellulose, poly-(N-vinyl pyrrolidone) polyethylene glycol, propylene glycol homopolymers, polypropylene oxide/ethylene oxide co-polymers,  
5 polyoxyethylated polyols, and polyvinyl alcohol.

53. A composition comprising a nucleic acid molecule of claims 1, 2, or 3 and a pharmaceutically acceptable formulation agent.

54. A composition of claim 53 wherein said nucleic acid molecule is contained in a viral vector.

10 55. A viral vector comprising a nucleic acid molecule of claims 1, 2, or 3.

56. A fusion polypeptide comprising the polypeptide of claims 14, 15, or 16 fused to a heterologous amino acid sequence.

57. The fusion polypeptide of claim 56 wherein the heterologous amino acid sequence is an IgG constant domain or fragment thereof.

15 58. A method for treating, preventing or ameliorating a medical condition in a mammal resulting from decreased levels of NTR3 polypeptide comprising administering to a patient the polypeptide of claims 14, 15, or 16 or the polypeptide encoded by the nucleic acid of claims 1, 2, or 3 to said mammal.

20 59. A method of diagnosing a pathological condition or a susceptibility to a pathological condition in a subject caused by or resulting from abnormal levels of NTR3 polypeptide comprising:

(a) determining the presence or amount of expression of the polypeptide of claims 14, 15, or 16 or the polypeptide encoded by the nucleic acid molecule of claims 1, 2, or 3 in a sample; and

- 123 -

(b) comparing the level of NTR3 polypeptide in a biological, tissue or cellular sample from normal subjects or the subject at an earlier time, wherein susceptibility to a pathological condition is based on the presence or amount of expression of the polypeptide.

5           60.    A device, comprising:  
            (a)    a membrane suitable for implantation; and  
            (b)    cells encapsulated within said membrane, wherein said cells secrete a polypeptide of claims 14, 15, or 16, and wherein said membrane is permeable to said protein and impermeable to materials detrimental to said cells.

10           61.    A device, comprising:  
            (a)    a membrane suitable for implantation; and  
            (b)    the NTR3 polypeptide encapsulated within said membrane, wherein said membrane is permeable to the polypeptide.

15           62.    A method of identifying a compound which binds to a polypeptide comprising:  
            (a)    contacting the polypeptide of claims 14, 15, or 16 with a compound; and  
            (b)    determining the extent of binding of the polypeptide to the compound.

            63.    A method of modulating levels of a polypeptide in an animal comprising administering to the animal the nucleic acid molecule of claims 1, 2, or 3.

20           64.    A transgenic non-human mammal comprising the nucleic acid molecule of claims 1, 2, or 3.

            65.    A diagnostic reagent comprising a detectably labeled polynucleotide encoding the amino acid sequence set out in SEQ ID NO: 2, or a fragment, variant or homolog thereof including allelic variants and spliced variants thereof.

- 124 -

66. The diagnostic reagent of claim 65, wherein said labeled polynucleotide is a first-strand cDNA.

67. A method for determine the presence of NTR3 nucleic acids in a biological sample comprising the steps of:

- 5 (a) providing a biological sample suspected of containing NTR3 nucleic acids;
- (b) contacting the biological sample with a diagnostic reagent according to claim 60 under conditions wherein the diagnostic reagent will hybridize with NTR3 nucleic acids contained in said biological sample;
- 10 (c) detecting hybridization between NTR3 nucleic acid in the biological sample and the diagnostic reagent; and
- (d) comparing the level of hybridization between the biological sample and diagnostic reagent with the level of hybridization between a known concentration of NTR3 nucleic acid and the diagnostic reagent.

15 68. A method for detecting the presence of NTR3 nucleic acids in a tissue or cellular sample comprising the steps of:

- (a) providing a tissue or cellular sample suspected of containing NTR3 nucleic acids;
- (b) contacting the tissue or cellular sample with a diagnostic reagent according to claim 66 under conditions wherein the diagnostic reagent will hybridize with NTR3 nucleic acids;
- 20 (c) detecting hybridization between NTR3 nucleic acid in the tissue or cellular sample and the diagnostic reagent; and
- (d) comparing the level of hybridization between the tissue or cellular sample and diagnostic reagent with the level of hybridization between a known concentration of NTR3 nucleic acid and the diagnostic reagent.
- 25

69. The method of claim 67 or 68 wherein said polynucleotide molecule is DNA.

- 125 -

70. The method of claim 67 or 68 wherein said polynucleotide molecule is RNA.

71. An antagonist of NTR3 polypeptide activity selected from the group consisting of NTR3 selective binding agents, small molecules, antisense  
5 oligonucleotides, and peptides or derivatives thereof having specificity for NTR3 polypeptide.

34 PTYPWRDAETGERLVCAQCPPGTFVQRPERRDSPTTCGPCPPCQYTQFWN 83  
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26 PKYLHYDEETSHOLLCDKCPGTYLKOHTAKWKTVCAPCPDHYTDSWH 75  
  
84 YLERSRYCNVLCGEREEEARACHATHNRACRCRTGFFAHAGFCLEHASC 133  
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134 PGAGVIAPGTPSONTOCQPCPPPGTFSASSSSEQCQPHRNCTALGLALNV 183  
| | | | : | | | . | | | | | . | | | | | . | | |  
126 PGFGVVOAGTPERNTVCKRCPDGGFFSNETSSKAPCRKHTNCSVFGLLLTQ 175  
  
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| . . | | : | .  
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FIGURE 1 OF 1



- 1 -

## SEQUENCE LISTING

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&lt;120&gt; NTR3 A Novel Member of the TNF-Receptor Supergene Family

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&lt;140&gt;

&lt;141&gt;

&lt;150&gt; US 60/147,297

&lt;151&gt; 1999-08-04

&lt;160&gt; 4

&lt;170&gt; PatentIn Ver. 2.0

&lt;210&gt; 1

&lt;211&gt; 1055

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&lt;400&gt; 1

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- 2 -

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 <213> Homo sapiens

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- 3 -

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Thr Pro Thr Tyr Pro Trp Arg Asp Ala Glu Thr Gly Glu Arg Leu Val  
                   35                  40                  45

Cys Ala Gln Cys Pro Pro Gly Thr Phe Val Gln Arg Pro Cys Arg Arg  
                   50                  55                  60

Asp Ser Pro Thr Thr Cys Gly Pro Cys Pro Pro Arg His Tyr Thr Gln  
                   65                  70                  75                  80

Phe Trp Asn Tyr Leu Glu Arg Cys Arg Tyr Cys Asn Val Leu Cys Gly  
                   85                  90                  95

Glu Arg Glu Glu Glu Ala Arg Ala Cys His Ala Thr His Asn Arg Ala  
                   100                  105                  110

Cys Arg Cys Arg Thr Gly Phe Phe Ala His Ala Gly Phe Cys Leu Glu  
                   115                  120                  125

His Ala Ser Cys Pro Pro Gly Ala Gly Val Ile Ala Pro Gly Thr Pro  
                   130                  135                  140

Ser Gln Asn Thr Gln Cys Gln Pro Cys Pro Pro Gly Thr Phe Ser Ala  
                   145                  150                  155                  160

Ser Ser Ser Ser Ser Glu Gln Cys Gln Pro His Arg Asn Cys Thr Ala  
                   165                  170                  175

Leu Gly Leu Ala Leu Asn Val Pro Gly Ser Ser Ser His Asp Thr Leu  
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Ser Ile Lys Arg Leu Gln Arg Leu Leu Gln Ala Leu Glu Ala Pro Glu  
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&lt;210&gt; 3

&lt;211&gt; 161

&lt;212&gt; PRT

&lt;213&gt; Mus musculus

&lt;220&gt;

- 4 -

&lt;223&gt; Mus musculus OPG

&lt;400&gt; 3

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Glu Cys Lys Glu Gly Arg Tyr Leu Glu Ile Glu Phe Cys Leu Lys His
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Arg Asn Thr Val Cys Lys Arg Cys Pro Asp Gly Phe Phe Ser Asn Glu
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Thr Ser Ser Lys Ala Pro Cys Arg Lys His Thr Asn Cys Ser Val Phe
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Ser

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&lt;210&gt; 4

&lt;211&gt; 11

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

&lt;223&gt; Description of Artificial Sequence: peptide

&lt;400&gt; 4

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Tyr Gly Arg Lys Lys Arg Arg Gln Arg Arg
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# INTERNATIONAL SEARCH REPORT

Intern. # Application No  
PCT/US 00/21287

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07K14/705 C12N15/12 C07K16/28 C12N15/66 C12N15/86  
C12Q1/68 G01N33/53 A01K67/027

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, STRAND

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 07738 A (MASIAKOWSKI PIOTR J ; PROCTER & GAMBLE COMPAGNY (US); MORRIS JODI ) 18 February 1999 (1999-02-18)  the whole document	1-9, 11-50, 53-59, 65-71
X	WO 98 30694 A (HUMAN GENOME SCIENCES INC ; FENG PING (US); NI JIAN (US); EBNER REI) 16 July 1998 (1998-07-16)  abstract page 4, line 7 -page 8, line 2 page 15, line 31 -page 49, line 5; figure 1  --- -/-	1-11, 14-50, 53,58, 59,63, 65-70

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*S\* document member of the same patent family

Date of the actual completion of the international search

7 December 2000

Date of mailing of the international search report

14/12/2000

Name and mailing address of the ISA

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FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Although claims 44, 58 and 63 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

Although claim(s) 59 is directed to a diagnostic method practised on the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

## INTERNATIONAL SEARCH REPORT

Intern Application No  
PCT/US 00/21287

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO 99 14330 A (GENENTECH INC) 25 March 1999 (1999-03-25)</p> <p>abstract page 6, line 1 -page 7, line 7 page 11, line 37 -page 35, line 40; figure 2; examples 1-18</p> <p>---</p>	1-50, 53, 58, 59, 62-71
X	<p>WO 99 26977 A (TSCHOPP JURG ;BIOGEN INC (US)) 3 June 1999 (1999-06-03)</p> <p>page 1, line 1 -page 3, line 20 page 18</p> <p>---</p>	1-50, 56-59, 62, 63, 65-71
X	<p>WO 99 04001 A (ZymoGenetics Inc) 28 January 1999 (1999-01-28)</p> <p>abstract page 5, line 10 -page 9, line 29 page 22, line 1 -page 61, line 20 page 82-85</p> <p>---</p>	1-71
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P, X	<p>DE 198 09 978 A (BASF AG) 16 September 1999 (1999-09-16)</p> <p>page 1, line 1 -page 5, line 46 page 6-8</p> <p>---</p>	1-31, 41-51, 53-56, 58, 59, 63-71
P, X	<p>WO 99 50413 A (MIZRAHI JACQUES ;HEUER JOSEF GEORG (US); NOBLITT TIMOTHY WAYNE (US)) 7 October 1999 (1999-10-07)</p> <p>abstract page 10, line 5 -page 11, line 21 page 14, line 20 -page 45, line 6; figure 2; examples 5, 26</p> <p>-----</p>	1-31, 41-51, 53, 58, 59, 62-71

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

Intern      Application No  
**PCT/US 00/21287**

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